

05-24-2000

U.S. Department of Commerce
Patent and Trademark Office

PATENT



101366757

RECORDATION FORM COVER SHEET
PATENTS ONLY

KEL-104

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☐ New
☒ Resubmission (Non-Recordation)
Document ID# 101251930☐ Correction of PTO Error

Reel # Frame #

☐ Corrective Document

Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement☐ License ☐ Change of Name☐ Merger☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Yukio KUSAKABE

Execution Date
Month Day Year
09/29/1999

Name (line 2) Kazuo OMURA

Second Party

Name (line 1) Hirokazu MORI

Execution Date
Month Day Year
09/29/1999

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) KUSAKABE ELECTRIC & MACHINERY CO., LTD.

Name (line 2)

Address (line 1) 2-11-2, Murotani

Address (line 2) Nishi-ku

Address (line 3) KOBE, HYOGO PREF.

JAPAN

City

State/Country

Zip Code

☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 010799 FRAME: 0114

Correspondent Name and AddressArea Code and Telephone Number **312/861-8608**Name **David I. ROCHE**Address (line 1) **Baker & McKenzie**Address (line 2) **130 E. Randolph Drive**Address (line 3) **Chicago, IL 60601**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

01**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)**09/378,722****Patent Number(s)**If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

01**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:

Deposit AccountEnclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

02-0400

Authorization to charge additional fees:

Yes ☒No ☐**Statement and Signature***To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.***David I. Roche**

Name of Person Signing

David I Roche

Signature

17 May 2000

Date

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

01-27-2000



101251930

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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date

Month Day Year

Name (line 1) Yukio Kusakabe

09/29/1999

Name (line 2)

Second Party

Name (line 1) Kazuo Omura

Execution Date

Month Day Year

09/29/1999

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Kusakabe Electric & Machinery Co., Ltd

Name (line 2)

Address (line 1) 2-11-2, Murotani,

Address (line 2) Nishi-ku

Address (line 3) Kobe city, Hyogo Pref.

JAPAN

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20503

REEL: 010799 FRAME: 0116

ASSIGNMENT**WHEREAS**, the following,*name**residence*

Yukio Kusakabe

1-13, 3, SAKIYAMA, TARUMI-KU
KOBE JAPAN 655

Kazuo Omura

389-3 NAKATSU, KAKOGAWA-CHOU
KAKOGAWA, JAPAN, 675-0068

Hirokazu Mori

2-5-10, SAKURAGAOKA, NISHI-KU
KOBE, JAPAN, 651-2225

(hereinafter "Assignors") have invented a certain new and useful improvement in TUBE FORMING MACHINE USING THREE POINT BENDING, for which an Application for Letters Patent of the United States was filed on August 23, 1999, and which has been assigned U.S. Serial No. 09/378,722; and

WHEREAS, Kusakabe & Machinery Co., Ltd., (hereinafter "Assignee"), a corporation organized and existing under and by virtue of the laws of the Country of Japan, and having its principal place of business at 2-11-2, Murotani, Nishi-ku, Kobe city, Hyogo pref., Japan, is desirous of acquiring the entire right, title and interest in and to said invention, and in and to the Letters Patent relating thereto;

NOW THEREFORE, to all whom it may concern, be it known that for an in good consideration of the sum of ONE DOLLAR (US\$1.00) and other good and valuable consideration to me in hand paid, the receipt of which is hereby acknowledged, I have sold assigned and transferred, and do hereby sell, assign and transfer unto the Assignee, the entire right, title and interest in and to said invention as fully set forth and described in the aforesaid application, preparatory to obtaining Letters Patent of the United States therefore, and United States patent applications which may be made therefore and any and all divisional, continuing, continuation-in-part, and reissue applications therefore, and the patents which may be thereupon issued, and any and all rights to file applications and received patents in countries foreign to the United States, the same to be held and enjoyed by the Assignee to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made; and I hereby agree to sign all necessary papers and do all lawful acts reasonably requisite in connection with the prosecution, assignment, enforcement and disclaimer of each and every of said applications, without further compensation, but at the expense of the Assignee or its successors and assigns.

AND I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the Assignee of my entire right, title and interest in and to the same for the sole use and enjoyment of the Assignee, its successors and assigns.

Yukio Kusakabe Sep 29, 1999
Yukio Kusakabe date

Kazuo Omura Sep, 29, 1999
Kazuo Omura date

Hirokazu Mori Sept 29, 1999
Hirokazu Mori date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attention: Steven Post, Examiner -- Assignment Division,
Box Assignments, CG-4

Applicants: Yukio KUSAKABE,
Kazuo OMURA and
Hirokazu MORI

Title: Tube Forming Machine
Using Three Point Bending

Serial No: 09/378,722

Filing Date: 23 AUG 1999

) I hereby certify that this correspondence
) has been sent by First Class U.S. Mail
) addressed to the Commissioner of
) Patents and Trademarks, Washington, DC
) 20231, on 17 May 2000.

) Susan Leo Vanderwalker 17 May 2000
) Susan Leo Vanderwalker date

**COMMUNICATION REGARDING SUBMISSION OF
REVISED RECORDATION FORM COVER SHEET**

The Honorable Commissioner
of Patents and Trademarks
Washington, DC 20231

COPY

Dear Sir:

Applicant has received a *Notice of Non-Recordation of Document*, indicated as having been mailed on April 4, 2000, DOCUMENT ID NO. 101251930. This Communication is in response thereto.

Applicant submits herewith a **revised** *Recordation Form Cover Sheet*, which has been prepared and executed correctly in response to the Examiner's comments.

Also enclosed herewith as supporting documents are the following:

1. the **originally submitted** *Recordation Form Cover Sheet* (3 pages), showing withdrawal of the Assignment Recordal Fee from our Deposit Account, No. 02-0400 on January 24, 2000; and
2. the **originally submitted** *Assignment* document, which needs to be recorded in the Patent Office.

Applicant does not believe that further fees are required for submission of the revised documents. However, if Applicant's assumption is incorrect, you are hereby authorized to deduct from our Deposit Account, No. 02-0400, the appropriate amount. **When identifying such a withdrawal, please use our Attorney Docket No. KEL-104.**

Applicant believes the enclosed **revised** *Recordation Form Cover Sheet* (2 pages) will meet with your approval. If discussion of the enclosed documents is required, please contact the undersigned at the telephone number indicated below.

Respectfully,



David I. Roche
Reg. No. 30,797

BAKER & McKENZIE
130 E. Randolph Drive
Chicago, IL 60601
ph: (312) 861-8608
fx: (312) 861-8937

COPY



2/7
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 04, 2000

PTAS

KUSAKABE ELECTRIC & MACHINERY CO., LTD.
2-11-2, MUROTANI, NISHI-KU
KOBE CITY, HYOGO PREF. JAPAN



101251930A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 101251930

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE PERSON SUBMITTING THE DOCUMENT MUST SIGN AND DATE THE DOCUMENT.
2. THE DOCUMENT SUBMITTED FOR RECORDING IS NOT ACCEPTABLE SINCE IT DOES NOT INCLUDE A STATEMENT BY THE PARTY ASSERTING THAT TO THE BEST OF THE PERSON'S BELIEF, THE INFORMATION CONTAINED IN THE COVER SHEET IS TRUE AND CORRECT AND ANY COPY SUBMITTED IS A TRUE COPY OF THE ORIGINAL DOCUMENT.
3. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. TO RECORD A DOCUMENT, THE NAME AND ADDRESS OF THE PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENTS SHOULD BE MAILED IS REQUIRED.

STEVEN POST, EXAMINER

PATENT
REEL: 010799 FRAME: 0120

3/7

ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECORDATION FORM COVER SHEET**PATENTS ONLY**TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). *KEL-104- page 2 of 3***Submission Type**

- ☐ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)☐ Departmental File ☐ Secret File**Conveying Party(ies)***Third Party*☐ Mark if additional names of conveying parties attachedName (line 1) *Hirokazu Mori*Execution Date
Month Day Year
*09/29/1999*Name (line 2) **Second Party**Name (line 1) Execution Date
Month Day Year
Name (line 2) **Receiving Party** - *see sheet 1 of 3*☐ Mark if additional names of receiving parties attachedName (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) Address (line 1) Address (line 2) Address (line 3)

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) **FOR OFFICE USE ONLY**

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REEL: 010799 FRAME: 0122

KEL-004 = page 3 of 3

Correspondent Name and Address

Area Code and Telephone Number

312 861-8608

Name David I. Roche

Address (line 1) Baker & McKenzie

Address (line 2) 30 E. Randolph Drive

Address (line 3) Chicago, IL 60601

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

1

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/370,722

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1 (one)

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed

☐

Deposit Account

☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

02-0400

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David I. Roche

Name of Person Signing

David I. Roche

Signature

20 Dec 1999

Date