

09/529328

A/D/S... 40

Case Name: Bookbinder 10

422 Rec'd PCT/PTO 11 APR 2000

4-11-00

PATENT ASSIGNMENT COVER SHEET

To the Honorable Commissioner of Patents and Trademarks: Please

05-24-2000

(1) Conveying Party(ies): Dana C. Bookbinder, Joel P. Carberry, Brent M. Wedding, David L. Weidman



101365077

09,529328

Title: OPTICAL DEVICE HAVING AN OPTICAL COMPONENT ISOLATED FROM A HOUSING

Additional name(s) of conveying party(ies) attached? Yes [] No [X]

(2) Receiving Party: Corning Incorporated, Patent Dept., SP-TI-03-1, Corning, NY 14831

(3) Nature of conveyance: Assignment executed: 6/16/98, 6/16/98, 6/16/98, 6/16/98, , and

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 60/016,688 Filing date: October 10, 1997 B. Patent No.(s): Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on _____.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Svetlana Short, Reg. No. 34,432, Corning Incorporated, Patent Department, SP-TI-03-1, Corning, NY 14831, Phone No.: (607) 974-0412

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- Enclosed (Check No.)
Included with filing fee. Check No.
Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Svetlana Short (Signature)

4/10/2000 Date

Total number of pages comprising cover sheet: ___

ASSIGNMENT

FOR VALUE RECEIVED, WE, **DANA C. BOOKBINDER, JOEL P. CARBERRY, BRENT M. WEDDING and DAVID L. WEIDMAN**, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **OPTICAL DEVICE HAVING AN OPTICAL COMPONENT ISOLATED FROM A HOUSING**, such inventions being generally described in an application for Letters Patent of the United States executed by us on the

DATE 6/16/98
DATE 6/16/98
DATE 6/16/98
DATE 6/16/98

respectively, provisional patent application Serial No. 60/61688 filed by us in the United States on October 10, 1997, and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefor.

WE request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

WE agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

WE authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

WE hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

WE covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed

Signed at Corning, N.Y., this

JUNE 16, 1998 DANA C. Bookbinder
 Dana C. Bookbinder

June 16, 1998 Joel P. Carberry
 Joel P. Carberry

June 16, 1998 Brent M. Wedding
 Brent M. Wedding

6/16/98, 1998 David L. Weidman
 David L. Weidman

State of New York)
 ss
 County of Steuben)

On the 16th day of June, 1998, before me personally came Dana C. Bookbinder known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

PATRICIA L. FREDERICKS
 Notary Public, State of New York
 No. 01FR5073515
 Qualified in Steuben County
 Commission Expires February 24, 1999

Patricia L. Fredericks
 Notary Public

State of New York)
 ss
 County of Steuben)

On the 16th day of June, 1998, before me personally came Joel P. Carberry known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

PATRICIA L. FREDERICKS
 Notary Public, State of New York
 No. 01FR5073515
 Qualified in Steuben County
 Commission Expires February 24, 1999

Patricia L. Fredericks
 Notary Public

State of New York)
 ss
 County of Steuben)

On the 16th day of June, 1998, before me personally came Brent M. Wedding known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

PATRICIA L. FREDERICKS
 Notary Public, State of New York
 No. 01FR5073515
 Qualified in Steuben County
 Commission Expires February 24, 1999

Patricia L. Fredericks
 Notary Public

State of New York)
 ss
 County of Steuben)

On the 16th day of June, 1998, before me personally came David L. Weidman known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

PATRICIA L. FREDERICKS
 Notary Public, State of New York
 No. 01FR5073515
 Qualified in Steuben County
 Commission Expires February 24, 1999

Patricia L. Fredericks
 Notary Public

422-01

PATENT

Case Name/No.: Bookbinder 10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bookbinder et al.

Serial No.:

Filed:

For: OPTICAL DEVICE HAVING AN
OPTICAL COMPONENT
ISOLATED FROM A HOUSING

**COMBINED
CERTIFICATE UNDER
37 C.F.R. § 3.73(b)
and
POWER OF ATTORNEY**

Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

POWER OF ATTORNEY BY ASSIGNEE

CORNING INCORPORATED, the assignee of the full and exclusive right, title and interest in and to the accompanying application for United States Letters Patent entitled OPTICAL DEVICE HAVING AN OPTICAL COMPONENT ISOLATED FROM A HOUSING and executed by Dana C. Bookbinder, Joel P. Carberry, Brent M. Wedding, David L. Weidman, on 6/16/98, 6/16/98, 6/16/98, 6/16/98, appoints the practitioners associated with the Customer Number provided below (i.e., the practitioners associated with the Patent Department, Corning Incorporated) to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith. Please direct all correspondence to Svetlana Short at the address associated with that Customer Number.

Customer Number 22928

CORNING INCORPORATED

Date: 4.6.2000

By 
Alfred L. Michaelsen
Sr. Vice-President and
General Patent Counsel

ASSIGNMENT

FOR VALUE RECEIVED, WE, **DANA C. BOOKBINDER, JOEL P. CARBERRY, BRENT M. WEDDING and DAVID L. WEIDMAN**, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **OPTICAL DEVICE HAVING AN OPTICAL COMPONENT ISOLATED FROM A HOUSING**, such inventions being generally described in an application for Letters Patent of the United States executed by us on the

DATE 6/16/98
DATE 6/16/98
DATE 6/16/98
DATE 6/16/98

respectively, provisional patent application Serial No. 60/61688 filed by us in the United States on October 10, 1997, and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore

WE request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

WE agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

WE authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

WE hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment. consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

WE covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

PATENT