

05-24-2000



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TO THE HONORABLE COMMISSIONER
SIR: PLEASE RECORD THE ATTACHMENT

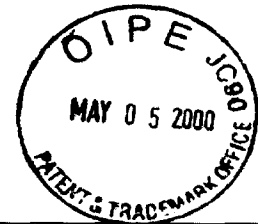
HEREOF.

1. NAME OF CONVEYING PARTY(IES),

1. Toshiya TANAKA
3. Hajime OSAKI
5. Kazuyuki URATANI
- 7.

MPO
S.S.W

2. Tsutomu ARAKI
4. Shinya HAKUTA
6. Fuminori NAKAYA
- 8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: TOSHIBA LIGHTING & TECHNOLOGY CORPORATION

ADDRESS: 3-1, Higashishinagawa 4-chome, Shinagawa-ku, Tokyo, Japan

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> ASSIGNMENT OF | <input checked="" type="checkbox"/> WHOLE | <input type="checkbox"/> PART INTEREST |
| <input checked="" type="checkbox"/> ORIGINAL | <input type="checkbox"/> FACSIMILE/PHOTOCOPY | |
| <input type="checkbox"/> CHANGE OF NAME | <input type="checkbox"/> VERIFIED TRANSLATION | |
| <input type="checkbox"/> SECURITY | <input type="checkbox"/> MERGER | <input type="checkbox"/> OTHER: |

EXEC. DATE: 1) & 2) = Sept 3, 1999; 3), 4) & 6) = Sept. 3, 1999; 5) = Sept 10, 1999

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 st INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 st INVENTOR if not in item 1
09/282,160	258220				

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918

5.5 ATTY DKT:

258220	TLG39911	6. NUMBER INVOLVED: APPLNS 1 + PATS: = TOTAL 1
MATTER NO.	CLIENT REF.	7. AMOUNT OF FEE ENCLOSED: (Code 581) ABOVE TOTAL x \$40 = \$40.
		8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975
		UNDER ORDER NO 70323 258220
		dup. sheet not required CLIENT NO. MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

2

05/22/2000 JSH/BAZZ 00000006 09282160

01 FC:581

Signature

Attorney: Glenn J. Perry

Reg. No. 28458

Atty/Sec: GJP/sdm

TEL: (202) 861-3070

Date: May 5, 2000

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (CDC-103A)

Please return signed/recorded to:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
Ninth Floor, East Tower
1100 New York Avenue, N.W.
Washington, D.C. 20005-3918

USA Patent Appln.
Sole or Joint

For Inventions made outside USA
executed with or after application

Atty. Dkt. PMS 258220 | TLG39911
M# | Client Ref.

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT
NAME(S) OF
INVENTOR(S)

(1)	Toshiya TANAKA	(4)	Shinya HAKUTA
(2)	Tsutomu ARAKI	(5)	Kazuyuki URATANI
(3)	Hajime OSAKI	<input checked="" type="checkbox"/> x box if continued on page 2	

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT
NAME(S) OF
ASSIGNEE(S)
& ADDRESS(ES)

TOSHIBA LIGHTING & TECHNOLOGY CORPORATION
3-1, Higashishinagawa 4-chome, Shinagawa-ku, Tokyo, Japan

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF
INVENTION

SELF BALLASTED FLUORESCENT LAMP AND LIGHTING FIXTURE

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → →

(Complete

line A, B and/or C)

(A) ☐ even date herewith

(B) ☐ on

(C) ☒ in U.S. Appln. No. 09/282,160

filed 31 / March / 1999

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE ♦ ♦ The undersigned hereby authorize(s) Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTOR(S)

DATE SIGNED

WITNESSES

1) Toshiya Tanaka
Name: Toshiya TANAKA

2/September/99

2) Tsutomu Araki
Name: Tsutomu ARAKI

2/September/1999

3) Hajime Osaki
Name: Hajime OSAKI

7. Sep. 1999

4) Shinya Hakuta
Name: Shinya HAKUTA

3. Sep. 1999

5) Kazuyuki Uratani
Name: Kazuyuki URATANI

10. Sep. 1999

ASSIGNMENT
(Continued from page 1)

LISTING OF ADDITIONAL INVENTORS

(6)	Fuminori NAKAYA	(11)
(7)		(12)
(8)		(13)
(9)		(14)
(10)		(15)

SIGNATURES OF ADDITIONAL INVENTORS/WITNESS/DATES SIGNED

INVENTOR(S)

DATE SIGNED

WITNESSES

6) Fuminori Nakaya
Name: Fuminori NAKAYA

Sept. 3, '99

7) _____
Name: _____

8) _____
Name: _____

9) _____
Name: _____

10) _____
Name: _____

11) _____
Name: _____

12) _____
Name: _____

13) _____
Name: _____

14) _____
Name: _____

15) _____
