

S-16-00

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

06-01-2000



101371028

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

# RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
Reel #  Frame #

## Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

*U.S. Government*  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

File  
2nd

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1)  Execution Date  
Month Day Year  
05 01 00

## Second Party

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year  
S964917

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)  ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)     
City State/Country Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

05/31/2000 JSHABAZZ 00000099 5964917

01 FC:581

40.00 OP

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**

REEL: 010804 FRAME: 0836

**Correspondent Name and Address**

Area Code and Telephone Number (507) 238-4201

Name Stephen E. Guetter

Address (line 1) 1120 Lake Avenue

Address (line 2) P. O. Box 933

Address (line 3) Fairmont, Minnesota 56031

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 5

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


5,964,917		

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas J. Rosen

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

## ASSIGNMENT OF PATENT

WHEREAS, on May 1, 2000, John Latting, inventor of United States Letters Patent No. 5,964,917 dated October 12, 1999, effectively assigned all of his rights, title and interest in and to said patent to Rosen's, Inc., a Minnesota corporation.

WHEREAS, Rosen's, Inc. (hereinafter "Assignor") is now the current owner of United States Letters Patent No. 5, 964,917 dated October 12, 1999;

WHEREAS, Assignor has an undivided right, title and interest in and Patent No. 5, 964,917;

WHEREAS, Midtech R&D, Inc., a Minnesota corporation (hereinafter "Assignee"), wishes to acquire Assignor's undivided right, title and interest in and to Patent No. 5, 964,917;

WHEREAS, Assignor desires to grant all right, title and interest in and to Patent No. 5,964,917 immediately upon execution of this Assignment and effective from the date hereof;

NOW THEREFORE, for consideration paid, the receipt of which is hereby acknowledged,

1. Assignor sells, assigns, transfers, and sets over unto the Assignee, Assignor's entire undivided right, title and interest in and to: U.S. Letters Patent No: 5,964,917 dated October 12, 1999.
2. Assignor sells, assigns, transfers and sets over unto Assignee, any and all rights appurtenant to or deriving from said letters patent, including but not limited to any and all rights to use thereof or profits or income therefrom.
3. Assignor intends to have all right, title, interest and use of the patent, assigned herein, to vest in Assignee upon the execution of both this Assignment and the execution of the Patent Recordation Form required by the United States Patent and Trademark Office. Assignor shall take all necessary steps to properly and immediately file the Patent Recordation Form with the United States Patent and Trademark Office. Any delay in the filing of the Patent Recordation Form does not effect Assignee's right, title, interest and use of the patent assigned herein.
4. Assignor appoints Assignee as and for Assignor's true and lawful attorney, with full power of substitution, to institute and prosecute, and to defend, in Assignor or Assignee's name, or both, for Assignee's benefit, any and all proceedings at law or in equity or otherwise, which Assignee deems necessary, appropriate or helpful to perfect, assert, enforce or protect U.S. Letters Patent No. 5,964,917 dated October 12, 1999 and/or any rights appurtenant to or deriving from said letters patent. The foregoing appointment shall extend to each successor and assignee of Assignee.

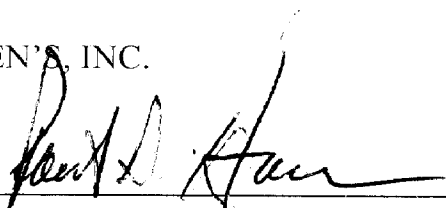
Assignor represents and warrants to Assignee that Assignor has not previously assigned any of Assignor's undivided right, title, interest in or to Patent No. 5,964,917 or said rights.

Effective this 1st day of May, 2000.

ASSIGNOR:

ROSEN'S, INC.

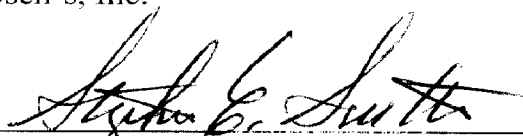
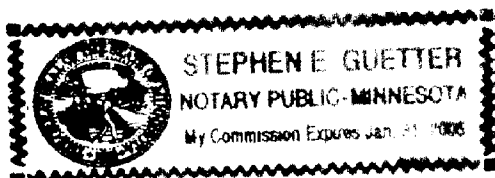
By



Robert A. Hovde  
Its Chief Financial Officer

STATE OF MINNESOTA  
COUNTY OF Martin ) ss.

On this 1st day of May, 2000, before me, the undersigned, a Notary Public in and for the State of MIN, personally appeared Robert A. Hovde, Chief Financial Officer of Rosen's, Inc., a Minnesota corporation, to me personally known and acknowledged that the execution of said instrument was a free and voluntary act on behalf of Rosen's, Inc.

  
Notary Public