

05-31-2000

Docket No.: 95-032

EET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101369406

5-5-00  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Raymond S. Gaston and David L. Smith

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: April 7, 2000

2. Name and address of receiving party(ies):

Name: PneuVentures, Inc.

Internal Address: \_\_\_\_\_

Street Address: 19 Ransier

City: West Seneca State: NY ZIP: 14224

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/506,183

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald J. Iwanejko, Jr.

Internal Address: Cohen & Grigsby, P.C.

Street Address: 11 Stanwix Street, 15th Floor

City: Pittsburgh State: PA ZIP: 15222

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

03-2026

05/30/2000 DNGUYEN 00000332 08506183

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald J. Iwanejko, Jr.

Name of Person Signing

Signature

May 5, 2000

Date

Total number of pages including cover sheet, attachments, and document:

5

PATENT  
REEL: 010810 FRAME: 0597

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☐ U.S. Application or  
☐ U.S. Provisional Application  
For: ☒ U.S. Patent  
For: ☒ PCT Application  
By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or  
entity(ies) who own the  
invention

Raymond S. Gaston

David L. Smith

---

(type or print name(s) of ASSIGNOR(S))

39 Christen Court

2650 W. Blood Road

---

Address

Lancaster, NY 14086

E. Aurora, NY 14052

---

UNITED STATES OF AMERICA

---

Nationality

*(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)*

**Recorded on** \_\_\_\_\_**Reel** \_\_\_\_\_**Frame** \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**

PneuVentures, Inc.

---

(type or print name(s) of ASSIGNEE(S))

19 Ransier

---

Address

West Seneca, NY 14224

---

UNITED STATES OF AMERICA

---

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority,  
 in and to any and all improvements which are disclosed in the invention entitled:  
PNEUMATIC VALVE AND REGULATOR

Name of inventor(s) Raymond S. Gaston, David L. Smith, William M. Gardner, Jr.,  
and Adam Gardner

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith  
 (b) ☐ U.S. patent application executed on \_\_\_\_\_  
 (c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.  
☐ Express mail label no.: \_\_\_\_\_  
 Mailed: \_\_\_\_\_  
☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.  
 (d) ☒ U.S. application no. 08 / 506,183  
filed on July 25, 1995  
 (e) ☐ International application no. PCT/ \_\_\_\_\_ / \_\_\_\_\_  
 (f) ☒ U.S. patent no. 5,755,213 issued May 26, 1998  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

(Assignment of Invention [16-3]—page 2 of 4)

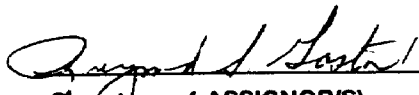
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ day

of \_\_\_\_\_  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date:



Signature of ASSIGNOR(S)

Raymond S. Gaston

David L. Smith

Date:

Date:

Date:

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 4)

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

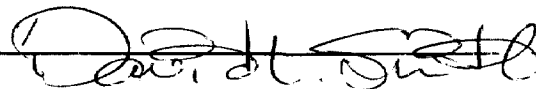
IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ day

of \_\_\_\_\_  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date:

\_\_\_\_\_  
Signature of ASSIGNOR(S)  
Raymond S. Gaston

  
David L. Smith

Date:

Date:

Date:

*(if ASSIGNOR is a legal entity, complete the following information)*

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 4)