06-01-2000

**ASSIC** 



# R SHEET

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|  | То: Но        | norable Commissioner of Patents and Trademarks:  |  |  |  |  |  |
|--|---------------|--|--|--|--|--|--|
|  |               | Please record the attached original document(s) or copy thereof.   |  |  |  |  |  |
|  | 1.            | Name of conveying party(ies) a) Steven J. Gallagher b) J. Wallace Parce  |  |  |  |  |  |
|  | 2.            | Name and address of receiving party(ies):  a) Name: Caliper Technologies Corp.  b) Address: 605 Fairchild Drive  Mountain View, CA 94043     |  |  |  |  |  |
|  | 3.            | Nature of conveyance  Assignment   |  |  |  |  |  |
| 4. Application Number(s) or Patent Number(s): 09/491,691 |               |  |  |  |  |  |  |
|  |               | The title of the (new) application is:   |  |  |  |  |  |
|  |               | SOFTWARE FOR HIGH THROUGHPUT MICROFLUIDIC SYSTEMS  |  |  |  |  |  |
|  | 5.            | Please send all correspondence concerning this (these) documents to:   |  |  |  |  |  |
|  |               | Customer No. 21912 Ritter, Van Pelt & Yi LLP 4906 El Camino Real, Suite 205 Los Altos, CA 94022 (650) 903-3500 (PH) (650) 903-3501 (FX)      |  |  |  |  |  |
| 6. Total number of applications and patents involved: 1  |               |  |  |  |  |  |  |
|  | 7.            | Total fee (37 CFR 3.41): \$40.00   |  |  |  |  |  |
|  |               | Enclosed Any deficiencies are hereby authorized to be charged to Deposit Account No. 50-0685 (Order No. CALPP003)                            |  |  |  |  |  |
|  | 8.<br>copy is | To the best of my knowledge and belief, the foregoing information is true and correct and any attached a true copy of the original document. |  |  |  |  |  |
|  | Date:         | May 10, 2000  Michael J. Ritter  |  |  |  |  |  |
| 05/31/2000<br>01 FC:581                                  | J SHABAZZ     | 00000110 09491691 Registration No. 36,653  |  |  |  |  |  |

Attorney Docket No. CALPP003

(Revised 01/96)

## ASSIGNMENT OF PATENT APPLICATION

(Not Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

#### SOFTWARE FOR HIGH THROUGHPUT MICROFLUIDIC SYSTEMS

for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on January 26, 2000 and which bears the Application No. 09/491,691.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We the undersigned inventor(s) hereby:

- Sell(s), assign(s) and transfer(s) to Caliper Technologies Corp. a Delaware corporation having a place of 1) business at 605 Fairchild Drive, Mountain View, CA 94043, (hereinafter referred to as ("ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from 2) said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the 4) Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Steven J. Gallagher

Date: Hoy 3, 2000

Date: 5/3/00

J. Wallace Parce Signature: 1) Typed Name:

Signature: 2) Typed Name:

Signed on the date(s) indicated beside my (our) signature(s).

Atty Dkt. No. CALPP003

PATENT REEL: 010813 FRAME: 0930

# **DECLARATION AND POWER OF ATTORNEY** FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket No. CALPP003

| As a below-named                            | inventor, I her                     | eby declare that    | :                    |  |  |   |  |
|---|-------------------------------------|---------------------|----------------------|--|--|---|--|
| My residence, post                          | office address                      | and citizenship     | are as stat          | ted below next to my name.   |  |   |  |
| names are listed be                         | low) of the sub                     | ject matter which   | ch is claim          | aly one name is listed below) ned and for which a patent is S, the specification of which,   |  |   |  |
| (check one)                                 | 1.                                  | is attached hereto. |                      |  |  |   |  |
|   | 2.                                  | U.S. Applicat       | tion No              | January 26, 2000<br>09/491,691   |  |   |  |
|   | 3.                                  | International       | PCT Appl             | lication No.   | <del></del>                              |   |  |
| I hereby state that l<br>by any amendment   |                                     |                     | d the conte          | ents of the above-identified s   | pecification, includ                     | ing the claims, as amended                                |  |
| I acknowledge the CFR § 1.56.               | duty to disclos                     | se information v    | which is n           | naterial to the examination of   | f this application in                    | accordance with Title 37,                                 |  |
| patent or inventor'<br>United States, liste | s certificate, or<br>d below and ha | § 365(a) of any     | y PCT Intelow, by cl | nited States code, § 119(a)-(dernational application which hecking the box, any foreign that of the application on whether the state of the application on whether the state of the state of the application on whether the state of the application on whether the state of the state | designated at least application for pate | one country other than the ent or inventor's certificate, |  |
| Prior Foreign Application(s)                |                                     |                     |                      |  | Priority 1                               | Benefits Claimed?   |  |
| (Appl No.)                                  | <u> </u>                            | (Country)           | (Filips              | g Date)  | Yes                                      | □No   |  |
| (Appl. No.)                                 |                                     | (Country)           | (1.1111)             | g Date)  | □Yes                                     | □No   |  |
| (Appl. No.)                                 |                                     | (Country)           | (Filing              | g Date)  | 1 cs                                     |   |  |
| I hereby claim the                          | benefit under 3                     | 5 U.S.C. §119(6     | e) of any (          | United States provisional app  | lication(s) listed bel                   | low:  |  |
| Prior Provisional                           | Application(s)                      | )                   |                      |  |  |   |  |
| (Application No.)                           |                                     | — (Fili             | ng Date)             |  |  |   |  |
| (Application No.)                           |                                     | (Filing Date)       |                      |  |  |   |  |

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Atty. Dkt. No. CALPP003

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| Prior U.S. Application(s)   |   |  |  |  |  |  |
|---|---|--|--|--|--|--|
| (Application No.)   | (Filing Date)   | (Status - patented, pending, abandoned)  |  |  |  |  |
| (Application No.)   | (Filing Date)   | (Status - patented, pending, abandoned)  |  |  |  |  |
| H. Lang (Reg. No. 38,531); Cin<br>No. 45,247); Matthew B. Murj          | dy S. Kaplan (Reg. No. 40,043);<br>phy (Reg. No. 39,787); Jonatha<br>Reg. No. 29,571) as my principal a | an Pelt (Reg. No. 38,352); Susan C. Yi (Reg. No. 39,883); Dan William J. James (Reg. No. 40,661); Joanne Yoshimura (Reg. n A. Quine (Reg. No. 41,261); William M. Smith (Reg. No. ttorneys to prosecute this application and to transact all business in |  |  |  |  |
| Please Direct all Correspondence  | Ritter, Van Pelt & Yi   | Customer No. 21912 Ritter, Van Pelt & Yi LLP 4906 El Camino Real, Suite 205 Los Altos, CA 94022  |  |  |  |  |
| Direct Telephone Calls To:  | Michael J. Ritter at to   | Michael J. Ritter at telephone number (650) 903-3502   |  |  |  |  |
| are believed to be true; and furth<br>made are punishable by fine or in | er that these statements were mad   | lge are true and that all statements made on information and belief e with the knowledge that willful false statements and the like so a 1001 of Title 18 of the United States Code, and that such willful patent issuing thereon.                       |  |  |  |  |
| Typewritten Full Name of Sole or First Inventor:                        | Steven J. Gallagher   | Citizenship: US  |  |  |  |  |
| Inventor's signature:   | J. Gallagler  | Date of Signature: May 3, 2000   |  |  |  |  |
| Residence: (City)   | Palo Alto   | (State/Country) <u>CA/USA</u>  |  |  |  |  |
| Post Office Address:  | 2705 Bryant Street, Palo Alto, C  | A 94306  |  |  |  |  |
| Full Name of Second Joint Inventor (if any):                            | J. Wallace Parce  | Citizenship: <u>US</u>   |  |  |  |  |
| Inventor's signature:   | mylm  | Date of Signature: 5/3/00  |  |  |  |  |
| Residence: (City)   | Palo Alto   | (State/Country)CA/USA  |  |  |  |  |
| Post Office Address:  | 754 Los Robles Avenue, Palo A   | lto, CA 9430 gm  |  |  |  |  |

Atty. Dkt. No. CALPP003

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(Not Accompanying Application)

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- Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the 4) Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

Signature: Steven J. Gallagher

Signature: Typed Name: Date: 5/3/co

Date: 5/3/co

Date: 5/3/co 1)

2)

Typed Name:

Atty Dkt. No. CALPP003

PATENT RECORDED: 05/16/2000 REEL: 010813 FRAME: 0933