

06-02-2000



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05/16/00

2:00 MAY 16 AM 11: 56
OPR/PT/AN/CE

<p>1. Name of party or parties conveying an interest:</p> <p>Daniel Brown David Loakes David Williams Fergal Hill Shiv Kumar Satyam Nampalli Mark McDougall Alan Hamilton Clifford Smith Adrian Christopher Simmonds William Jonathan Cummins Patrick Finn</p>	<p>2. Name and address of party or parties receiving an interest:</p> <p>Name: AMERSHAM PHARMACIA BIOTECH UK LIMITED, a British Corporation Address: Amersham Place, Little Chalfont, Bucks., HP7 9NA, United Kingdom</p>
<p>3. Description of the interest conveyed:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name <input type="checkbox"/> Security Agreement</p> <p>Execution Date: Aug. 20, 1999; Aug. 20, 1999; Aug. 25, 1999; Jan. 10, 2000; Jan. 7, 2000; Jan. 7, 2000; Aug. 27, 1999; Aug. 27, 1999; Aug. 27, 1999; Aug. 31, 1999; Jan. 7, 2000</p>	<p>Other:</p>
<p>4. Application number(s) or patent number(s). Additional sheet attached?</p> <p>YES ___ NO <u>X</u></p> <p>A. Patent Application no.(s): 09/402,048 filed Feb. 1, 2000</p>	<p>If the document is being filed together with a new application, the execution date of the application is:</p> <p>B. Patent no.(s):</p>
<p>5. Name and address of party to whom correspondence concerning this cover sheet should be mailed:</p> <p>Name: Jeffrey S. Sharp, Reg. No. 31,879 MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN Street Address: 6300 Sears Tower 233 S. Wacker Drive City: Chicago State: Illinois Zip: 60606-6402</p>	<p>6. Number of applications and/or patents identified on this cover sheet: 1</p> <p>7. Amount of fee enclosed or authorized to be charged: \$40.00</p> <p>8. Any additional required fee may be charged, or any overpayment credited to our deposit account: 13-2855</p>

9. To the best of my knowledge and belief, the information contained on this cover sheet is true and correct and any copy submitted is a true copy of the original document.

Date: May 11, 2000

Jeffrey S. Sharp
Jeffrey S. Sharp
Reg. No. 31,879

06/02/2000 DNGUYEN 00000045 09/02048

01 FC:581 (40.00 DP)

Total number of pages including cover sheet, attachments, and document: 19

ASSIGNMENT

Serial No: 09/402,048
Filed: 2/1/00
Title: TRICYCLIC BASE ANALOGUES

For _____, and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign(s) to AMERSHAM PHARMACIA BIOTECH UK LIMITED, a British

Corporation, Amersham Place, Little Chalfont, Bucks., HP7 9NA (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on even date herewith, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize(s) and request(s) the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrant(s) themselves (himself/herself) to be the owners (owner) of the interest herein assigned and to have the right to make this assignment and further warrant(s) that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree(s), upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for the aforesaid consideration the undersigned further agree(s) upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

WITNESS my (our) hand(s) this 20th day of August, Nineteen Hundred and ninety nine

Witnesses
1) [Signature]
Name: M. J. CHURCHER

[Signature]
Name: DANIEL BROWN

2) [Signature]
Name: M. J. WEST

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6402

WITNESS my (our) hand(s) this 20TH day of AUGUST, Nineteen Hundred and 99.

Witnesses:

1)
Name: DAVID OWEN

Name DAVID LOAKES

2)
Name: M. SINGH

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1)
Name:

Name DAVID WILLIAMS

2)
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1)
Name:

Name FERGAL HILL

2)
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1)
Name:

Name SHIV KUMAR

2)
Name:

Name

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name SATYAM NAMPALLI

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name MARK McDOUGALL

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name ALAN HAMILTON

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name CLIFFORD SMITH

2) _____
Name:

Name

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name ADRIAN CHRISTOPHER SIMMONDS

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name WILLIAM JONATHAN CUMMINS

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name PATRICK FINN

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name

2) _____
Name:

Name

ASSIGNMENT

Serial No: 09/402,048
Filed: 2/1/00
Title: TRICYCLIC BASE ANALOGUES

For _____, and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign(s) to AMERSHAM PHARMACIA BIOTECH UK LIMITED, a British Corporation, Amersham Place, Little Chalfont, Bucks., HP7 9NA (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on even date herewith, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize(s) and request(s) the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

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For said consideration the undersigned hereby agree(s), upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for the aforesaid consideration the undersigned further agree(s) upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name DANIEL BROWN

2) _____
Name:

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6402

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name DAVID LOAKES

2) _____
Name:

WITNESS my (our) hand(s) this 25th day of AUGUST, Nineteen Hundred and 99.

Witnesses:

1) John Crady
Name:

David Williams
Name DAVID WILLIAMS

2) [Signature]
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name FERGAL HILL

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name SHIV KUMAR

2) _____
Name:

Name

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name SATYAM NAMPALLI

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name MARK McDOUGALL

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name ALAN HAMILTON

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name CLIFFORD SMITH

2) _____
Name:

Name

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name ADRIAN CHRISTOPHER SIMMONDS

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name WILLIAM JONATHAN CUMMINS

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name PATRICK FINN

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name

2) _____
Name:

Name

ASSIGNMENT

Serial No: 09/402, 048
Filed: 2/1/00
Title: TRICYCLIC BASE ANALOGUES

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6402

For _____, and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign(s) to AMERSHAM PHARMACIA BIOTECH UK LIMITED, a British

Corporation, Amersham Place, Little Chalfont, Bucks., HP7 9NA (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on even date herewith, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

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WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: DANIEL BROWN

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name DAVID LOAKES

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name DAVID WILLIAMS

2) _____
Name:

WITNESS my (our) hand(s) this 10th day of January, ~~Nineteen Hundred~~ and TWO THOUSAND.

Witnesses:

1) Vladimir Benes x _____ x
Name: VLADIMIR BENES Name FERGAL HILL

2) Barbara Schwager x
Name: Barbara Schwager

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name SHIV KUMAR

2) _____
Name: _____

Atty. Docket No: 28911/35902

ASSIGNMENT

Serial No: 09/402,048
Filed: 2/1/00
Title: TRICYCLIC BASE ANALOGUES

For _____, and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign(s) to AMERSHAM PHARMACIA BIOTECH UK LIMITED, a British Corporation, Amersham Place, Little Chalfont, Bucks., HP7 9YA (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on even date herewith, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

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WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name: _____ Name DANIEL BROWN

2) _____
Name: _____

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6402

Atty. Docket No: 28911/35902

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name:

Name DAVID LOAKES

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name:

Name DAVID WILLIAMS

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name:

Name FERGAL HILL

2) _____
Name:

WITNESS my (our) hand(s) this 7 day of January, Two thousand ~~Nineteen Hundred and~~ _____

Witnesses:

1) [Signature]
Name:

[Signature]
Name SHIV KUMAR

2) [Signature]
Name: BERNARD F. McARDLE

Name _____

Atty. Docket No: 28911/35902

WITNESS my (our) hand(s) this 7th day of January, Two Thousand

Witnesses:

1) [Signature]
Name:

Name SATYAM NAMPALLI

2) [Signature]
Name: BERNARD F. MCARDLE

WITNESS my (our) hand(s) this 7th day of January, Two Thousand

Witnesses:

1) [Signature]
Name:

Name MARK McDOUGALL

2) [Signature]
Name: BERNARD F. MCARDLE

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name:

Name ALAN HAMILTON

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name:

Name CLIFFORD SMITH

2) _____
Name:

Name _____

Atty. Docket No: 28911/35902

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name: _____

Name ADRIAN CHRISTOPHER SIMMONDS

2) _____
Name: _____

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name: _____

Name WILLIAM JONATHAN CUMMINS

2) _____
Name: _____

WITNESS my (our) hand(s) this 7th day of January, Two Thousand _____

Witnesses:

1) Bernard F. McArdle
Name: BERNARD F. McARDLE

Patrick Finn
Name PATRICK FINN

2) [Signature]
Name: _____

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____

Witnesses:

1) _____
Name: _____

Name _____

2) _____
Name: _____

Name _____

ASSIGNMENT

Serial No: 09/402,048
Filed: 2/1/00
Title: TRICYCLIC BASE ANALOGUES

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WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: DANIEL BROWN

2) _____
Name:

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6402

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name DAVID LOAKES

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name DAVID WILLIAMS

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name FERGAL HILL

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name SHIV KUMAR

2) _____
Name: _____ Name

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name SATYAM NAMPALLI

2) _____
Name: _____

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name: _____ Name MARK McDOUGALL

2) _____
Name: _____

WITNESS my (our) hand(s) this 27th day of August, Nineteen Hundred and 99.

Witnesses:

1) IP Hesselwood
Name: IP HESSEWOOD Alan L. Hamilton
Name ALAN HAMILTON

2) C J Carter
Name: C J CARTER

WITNESS my (our) hand(s) this 27th day of August, Nineteen Hundred and 99.

Witnesses:

1) IP Hesselwood
Name: IP HESSEWOOD Clifford Smith
Name CLIFFORD SMITH

2) C J Carter
Name: C J CARTER

WITNESS my (our) hand(s) this 27th day of August, Nineteen Hundred and 99.

Witnesses:

1) [Signature]
Name: IP HESSELEWOOD

[Signature]
Name ADRIAN CHRISTOPHER SIMMONDS

2) [Signature]
Name: C J CARTER

WITNESS my (our) hand(s) this 31st day of August, Nineteen Hundred and 99.

Witnesses:

1) [Signature]
Name: IP HESSELEWOOD

[Signature]
Name WILLIAM JONATHAN CUMMINS

2) [Signature]
Name: C J CARTER

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name PATRICK FINN

2) _____
Name:

WITNESS my (our) hand(s) this _____ day of _____, Nineteen Hundred and _____.

Witnesses:

1) _____
Name:

Name

2) _____
Name:

Name