

RECORDATION FORM COVER SHEET
PATENT APPLICATIONS & PATENTS ONLY

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Bernd NICKEL
 3. Thomas KLENNER
 5. Peter EMIG
 7. Erik BRUYNEEL
 9. Kirsten PETERS

2. Gerald BACHER
 4. Thomas BECKERS
 6. Jurgen ENGE
 8. Gunter KAMF

06-02-2000



101371891

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: ASTA Medica Aktiengesellschaft

ADDRESS: An der Pikardie 10
 D-01277 Dresden, Germany

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST
☐ ORIGINAL ☐ FACSIMILE/PHOTOCOPY
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: February 16, 18, 22, 23, 2000

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) February 16, 18, 22, 24, 2000

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1* INVENTOR if not in item 1	B. PATENT NO(S)	M#	1* INVENTOR if not in item 1
09/492,531	266021				

5. Name & Address of Party to Whom Correspondence
 Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
 1100 New York Avenue, NW
 Ninth Floor
 Washington, DC 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 266021 99/17 PH/DE/GI
 MATTER NO. CLIENT REF.

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO 11468 266021
 dup. sheet not required CLIENT NO. MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

05/31/2000 MARMDL 00000130 09492531

04 FC:581

Ann S. Hobbs
 40.00 DP
 Signature

10. Total number of pages including this
 cover sheet, attachments and document
 (do not file dup. Cover sheet)

7

Attorney: Ann S. Hobbs

Reg. No. 36830

Atty/Sec: ASH/vaw

TEL: (202) 861-3063

Date: May 30, 2000

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Please return signed/recorded to:

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USA Patent Appln.
Sole or Joint

For Inventions made outside USA
executed with or after application

Atty. Dkt. PMS 266021	99/17PH/De/Gi
M#	Client Ref.

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT NAME(S) OF INVENTOR(S)	(1) Bernd NICKEL (3) Thomas KLENNER (5) Peter EMIG	(2) Gerald BACHER (4) Thomas BECKERS <input checked="" type="checkbox"/> x box if continued on page 2
-------------------------------------	--	---

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT NAME(S) OF ASSIGNEE(S) & ADDRESS(ES)	ASTA Medica Aktiengesellschaft An der Pikardie 10 D-01277 Dresden, Germany
--	--

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof, and all resulting patents, known as

TITLE OF INVENTION	INDOLYL-3-GLYOXYLIC ACID DERIVATIVES HAVING THERAPEUTICALLY VALUABLE PROPERTIES
-----------------------	---

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → → (A) ☐ even date herewith
(B) ☐ on _____
(C) ☒ in U.S. Appin. No. _____ / _____ filed January 27, 2000

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE → → The undersigned hereby authorize(s) Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTORS	DATE SIGNED	WITNESSES
1): Name: Bernd NICKEL		
2): Name: Gerald BACHER		
3): Name: Thomas KLENNER		
4) Name: Name: Thomas BECKERS		
5) Name: Name: Peter EMIG		

(Continued from page 1)

**INSERT
NAME(S) OF
INVENTOR(S)**

(6) Jürgen ENGEL	(7) Erik BRUYNEEL
(8) Günter KAMP	(9) Kirsten PETERS
(10)	(11)
(12)	(13)
(14)	(15)

INVENTORS

DATE SIGNED

WITNESSES

6):

Name:

Jürgen ENGEL

7)

Name:

Erik BRUYNEEL

8)

Name:

Günter KAMP

9)

Name:

Kirsten PETERS

10)

Name:

11)

Name:

12)

Name:

13)

Name:

14)

Name:

15)

Name:

REEL: 010818 FRAME: 0415

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For Inventions made outside USA
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& ADDRESS(ES)

ASTA Medica Aktiengesellschaft

An der Pikardie 10

D-01277 Dresden, Germany

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF
INVENTION

INDOLYL-3-GLYOXYLIC ACID DERIVATIVES HAVING THERAPEUTICALLY VALUABLE PROPERTIES

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → →
(Complete

line A, B and/or C)

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(B) ☐ on

(C) ☒ in U.S. Appln. No. / filed January 27, 2000

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INVENTORS

1):
Name: Bernd NICKEL
2):
Name: Gerald BACHER
3):
Name: Thomas KLENNER
4) Name: Thomas BECKERS
5) Name: Peter EMIG
Name: Peter EMIG

DATE SIGNED

Feb. 16, 2000
Feb. 16, 2000
Feb. 16, 2000
Feb. 16, 2000
Feb. 16, 2000

WITNESSES

M. Gocher
D. Lammert
M. Gocher
D. Lammert
J. Kersch

(Continued from page 1)

INSERT
NAME(S) OF
INVENTOR(S)

(6) Jürgen ENGEL	(7) Erik BRUYNEEL
(8) Günter KAMP	(9) Kirsten PETERS
(10)	(11)
(12)	(13)
(14)	(15)

INVENTORS

6):

Name:

7)

Name:

8)

Name:

9)

Name:

10)

Name:

11)

Name:

12)

Name:

13)

Name:

14)

Name:

15)

Name:

DATE SIGNED

Feb. 23, 00

WITNESSES

St. Paul

Jürgen ENGEL

Erik BRUYNEEL

Günter KAMP

Kirsten PETERS

PATENT

REEL: 010818 FRAME: 0417

Pillsbury Madison & Sutro LLP
Intellectual Property Group
 1100 New York Avenue, NW
 Ninth Floor
 Washington, DC 20005-3918

For Inventions made outside USA <u>executed with or after application</u>	
Atty. Dkt. PMS 266021	99/17PH/De/Gi
M#	Client Ref.

NONPROVISIONAL

NONPROVISIONAL

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(5) Peter EMIG	<input checked="" type="checkbox"/> x box if continued on page 2

INSERT	ASTA Medica Aktiengesellschaft
NAME(S) OF	
ASSIGNEE(S)	An der Pikardie 10
& ADDRESS(ES)	D-01277 Dresden, Germany

**TITLE OF
INVENTION**

INDOLYL-3-GLYOXYLIC ACID DERIVATIVES HAVING THERAPEUTICALLY VALUABLE PROPERTIES

(C) ☒ in U.S. Appln. No. / filed January 27, 2000

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WITNESSES

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Page 10 of 10

PATENT
REEL: 010818 FRAME: 0418

09/10/00

ASSIGNMENT

(Continued from page 1)

LISTING OF ADDITIONAL INVENTORS

INSERT
NAME(S) OF
INVENTOR(S)

(6) Jürgen ENGEL	(7) Erik BRUYNEEL
(8) Günter KAMP	(9) Kirsten PETERS
(10)	(11)
(12)	(13)
(14)	(15)

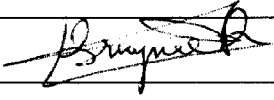
SIGNATURES OF ADDITIONAL INVENTORS/WITNESSES/DATES SIGNED

INVENTORS

DATE SIGNED

WITNESSES

6):
Name: Jürgen ENGEL
7)
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Name: Kirsten PETERS
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18/02/2000
