

06-05-2000



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OPR/FINANCE

RECORDATION FORM COVER SHEET  
PATENTS ONLY

05/15/00

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)  
Document ID#

Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

Conveyance Type

Assignment  Security Agreement

License  Change of Name

Merger  Other

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name	Execution Date		
	Month	Day	Year
Name (line 1) Zhang, Kevin J.	04	22	2000
Name (line 2)			
<b>Second Party</b>			
Name (line 1) Scobey, Michael A.	04	14	2000
Name (line 2)			

Receiving Party

Mark if additional names of receiving parties attached

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Optical Coating Laboratory, Inc.

Name (line 2) a corporation of the State of Delaware

Address (line 1) 2789 Northpoint Parkway

Address (line 2)

Address (line 3) Santa Rosa CA 95407-7397

City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

06/02/2000 DNGUYEN 00000160 500891 09518708

FOR OFFICE USE ONLY

01 FC:581 40.00 CH

**Correspondent Name and Address**

Area Code and Telephone Number

707-591-0789

Name Scott W. Hewett

Address (line 1) 400 West Third Street

Address (line 2) Suite 223

Address (line 3) Santa Rosa, CA 95401

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09518708						

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 50-0891

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Scott W. Hewett

Name of Person Signing

Signature

May 10, 2000

Date

**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**

FORM PTO-1619C  
Expires 06/30/99  
OMB 0651-0027

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

*Enter additional Conveying Parties*

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).*

**Patent Application Number(s)**

**Patent Number(s)**

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**ASSIGNMENT OF APPLICATION**

Whereas I, Kevin J. Zhang, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in an INTEGRATED MODULAR OPTICAL AMPLIFIER, hereinafter called the "invention", for which an application for a United States Patent was filed on March 3, 2000 (Attorney Docket No. OCL0001.1US) and assigned U.S. Patent Application Serial Number 09/518,708 and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and behoof; and for the use and behoof of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Executed this 24<sup>th</sup> day of April, 2000 at Santa Rosa, California

  
Kevin J. Zhang

**ASSIGNMENT OF APPLICATION**

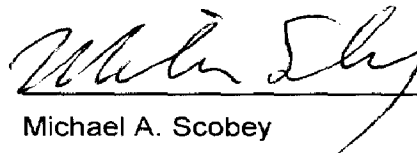
Whereas I, Michael A. Scobey, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in an INTEGRATED MODULAR OPTICAL AMPLIFIER, hereinafter called the "invention", for which an application for a United States Patent was filed on March 3, 2000 and assigned U.S. Patent Application Serial No. 09/518,708, and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and behoof; and for the use and behoof of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Executed this 14 day of April, 2000 at Santa Rosa, California

  
Michael A. Scobey

**ASSIGNMENT OF APPLICATION**


Whereas I, Yiqiang Li, of Milpitas, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in an INTEGRATED MODULAR OPTICAL AMPLIFIER, hereinafter called the "invention", for which an application for a United States Patent was filed on March 3, 2000 (Attorney Docket No. OCL0001.1US and assigned U.S. Application Serial No. 09/518,708, and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and behoof; and for the use and behoof of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Executed this 24 day of April, 2000 at Fremont, California

  
Yiqiang "Steve" Li