

06-05-2000



To the Honorable Commissioner of Patent

101373958

original documents or copy thereof.

1. Name of conveying party(ies):

David E. Johnson  
Frank J. Oles  
Thilo W. Goetz

5/15/00

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: May 11, 2000

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

Title: INTERACTIVE AUTOMATED RESPONSE SYSTEM

If this document is being filed together with a new application, the execution date of the application is May 11, 2000

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

C. Lamont Whitham, Esq.  
Whitham, Curtis & Whitham  
Reston International Center  
11800 Sunrise Valley Drive, Suite 900  
Reston, Virginia 20191

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

☐ Total fee due

☒ Any deficiencies in the enclosed fees

8. Deposit account number:

50-0510/IBM (Yorktown Heights)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

C. Lamont Whitham  
Registration 22,424  
Tel.: (703) 391-2510

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

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Docket No.: YO9-99-286

# ASSIGNMENT

## Whereas, We

Inventor and city	(1) David E. Johnson State of New York	City of Cortlandt Manor County of Westchester
Inventor and city	(2) Frank J. Oles State of New York	City of Peekskill County of Westchester
Inventor and city	(3) Thilo W. Goetz State of New York	City of Mt. Kisco County of Westchester

have invented certain improvements in

TITLE INTERACTIVE AUTOMATED RESPONSE SYSTEM

and have executed, respectively, a United States patent application therefor on

### DATE THAT

INVENTOR	(1) May 11,	2000,	(2) May 11,	2000,
DECLARATION	(3) May 11,	2000.		

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504. (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

CITY  
DATE

(1) Signed and sealed  
at Yorktown Heights NY  
on 5/11 2000, David E. Johnson SIGNATURE  
David E. Johnson INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

On this 11 day of MAY 2000, before me personally came  
(1) INVENTOR David E. Johnson to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

State of New York  
County of Westchester

Mary Beth Miller  
Notary  
MARY BETH MILLER  
Notary Public, State of New York  
No. 4521347  
Qualified in Putnam County  
Term Expires 2-28-01

I of 2

Docket No.: YO9-99-286

CITY  
DATE

(2) Signed and sealed  
at Yonkers Heights, NY  
on 5/11 2000, Frank J. Oles SIGNATURE  
Frank J. Oles INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

On this 11 day of May 2000, before me personally came  
(2) INVENTOR Frank J. Oles to me personally known, and known to me to be the person described in and who  
executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act  
and deed.

State of New York  
County of Westchester

Mary Beth Miller  
Notary

MARY BETH MILLER  
Notary Public, State of New York  
No. 4521347  
Qualified in Putnam County  
Term Expires 2-28-01

CITY  
DATE

(3) Signed and sealed  
at Yonkers Heights, NY  
on 5/11/00 2000, Thilo W. Goetz SIGNATURE  
Thilo W. Goetz INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

On this 11<sup>th</sup> day of May 2000, before me personally came  
(3) INVENTOR Thilo W. Goetz to me personally known, and known to me to be the person described in and who  
executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act  
and deed.

State of New York  
County of Westchester

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Notary

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Notary Public, State of New York  
No. 4521347  
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