06-05-2000



PATENT

101372634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Practitioner's Docket No. U 011685-1

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for

Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications

or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒	deposited with the United States Postal Service Patents, Washington, D.C. 20231.	in an envelope add	dressed to the Assistant Commis	sioner for
	37 C.F.R. § 1.8(a)		37 C.F.R. § 1.10	k
×	with sufficient postage as first class mail.		as "Express Mail Post Office	e to Addressee"
			Mailing Lab No	(mandatory
	TRA transmitted by facsimile to the Patent and Trade	ANSMISSION emark Office.		, ··
Date:	May 11, 2000		ord J. Mass ord print name of person certifyin	g)
*WAR	NING: Each paper or fee filed by "Express Management of the placed thereon prior to mailing. 37 C "Since the filing of correspondence u	C.F.R. § 1.10(b).	•	

will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement

06/02/2000 JSHABAZZ 00000102 09052391

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01 FC:581

40.00 OP

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

	NOTE:	See 37 C.F.R. §3.21.				
	NOTE:	§ 3.21 does not apply to do 67).	ocuments other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at			
1.	This as	ssignment is for the foll	owing patent application or issued patent:			
	NOTE:	Do NOT enter both applica	ation AND patent number.			
Natio	onal applic	cation: SN: 09/052,391	filed on March 31, 1998			
Prov	isional ap	plication:	filed on			
		pplication: PCT				
	nt No:	F F	Issued:			
1 4101		(complete if applicabl	mplete if applicable) which was previously assigned and recorded			
		Date	Reel			
			Reel Frame			
subsequent to, the execution of the identify the patent application by it so that there can be no mistake as tapplication under \S 1.53(c) is executive provisional application by name of			at application filed under § 1.53(b) is executed concurrently with, or on of the patent application, but before the patent application is filed, it must tion by its date of execution, name of each inventor, and title of the invention etake as to the patent application intended. If an assignment of a provisional is executed before the provisional application is filed, it must identify the name of each inventor and title of the invention so that there can be no all application intended. 37 C.F.R. § 3.21.			
		(also con	nplete the following, if applicable)			
[]	and als	so for the applications a	nd/or patents			
		shown on the attached	•			

APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added

NOTE:

"Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS AND TOTAL FEE

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. §3.41.

(Assignment (Document) Cover Sheet—page 2 of 6) 16-6

2.	Α.	The total number of applications and/or patents identified in this cover sheet is1						
	В.	The total fee is (37 C.F.R. § 1.21(h)):						
		$\frac{1}{\text{Total number of applications}} \times \$40.00 = \$ \ \underline{40.00}$						
	C.	Payment of fee is made by: [X] the attached check for \$ 40.00 [] Please charge Account the sum of \$ A duplicate of this cover sheet is attached.						
	Please	charge Account 12-0425 for any fee deficiency or credit to account any overpayment.						
		NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))						
	NOTE	"The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.						
3.	The pa	The party(ies) conveying interest is (are):						
	Name Name Name Name	Name 1: Sandhya Suresh SUDGE Name 2: Kulbhushan Balwant BASTAWADE Name 3: Digamber Vitthal GOKHALE Name 4: Rohini Ramesh JOSHI Name 5: Uttam Ramrao KALKOTE Name 6: Thottapillil RAVINDRANATHAN						
	2	NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § 3.31 (a)(2))						
4.	The rig	Name: Council of Scientific and Industrial Research Address: Rafi Marg, New Delhi-110 001, INDIA						
		DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))						
5.	The ac	companying document intends to accomplish: [X] an assignment. [] a security agreement. [] a merger. [] a license. [] a change of name. [] a change of address. [] other:						
		(Assignment (Document) Cover Sheet—page 3 of 6) 16-6						

NAME AND ADDRESS OF PARTY TO WHOM **CORRESPONDENCE SHOULD BE MAILED** (37 C.F.R. § 3.31 (a)(5))

6.

7.

6.		Please address correspondence to	:
		Name: C	Clifford J. Mass
		Address: c	/o Ladas & Parry
		<u>2</u>	6 West 61st Street
		<u> </u>	New York, New York 10023
		Telephone No.: (
			IENT (DOCUMENT) EXECUTED 7 C.F.R. § 3.31(a)(7))
7.	A.	[X] The attached assignment (doc	nument) was executed on April 9, 2000
			Date
OF	₹	If there is more than one conveying party assignment document for each conveying	who executed on different dates , indicate the date of execution of the party.
	В.	[] The attached assignment was	executed byName
		on Date	
		Date	
		[] The attached assignment was	executed byName
		on	
		Date	
		[] The attached assignment was	executed byName
		Date .	
		[] The attached assignment was	executed byName
		Date .	
		[] The attached assignment was	Name
		On Date	

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LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8.

9.

10.

11.

NOTE:	"The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.
[X	tached document:] is in the English language. is not in the English language and an English translation signed by the individual making the translation is attached.
	ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED
NOTE:	See 37 C.F.R. § 3.24.
	tted herewith is:
	the original document. a true copy of the original document, which I certify to be a true copy.
NOTE:	"If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.
NOTE:	"Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." $M.P.E.P.$, 6th ed., § 302.01.
	ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS
	(check item, if applicable)
the	cause the purpose of the attached documents is to record a change of address of the assignee, particulars of the previously recorded assignments for each application and/or patent are own.
	ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME
	(check item, if applicable)
the	cause the purpose of the attached documents is to record a change of name of the assignee, e particulars of the previously recorded assignments for each application and/or patent are own.

(Assignment (Document) Cover Sheet—page 5 of 6) 16-6

CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. [] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

STATEMENT (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.

Date: May 11, 2000

Name of party submitting document

Signature of party submitting document

(complete the following the party submitting the document is applicant following)

SIGNATURE OF PRACTITIONER

Reg. No.: 30,086

Clifford J. Mass
(sype or print name of practitioner)

P.O. Address

Customer No.:

Co Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

14.	The total number of pages being submitted, including cover sheet attachment(s), and documents
	are:

Total number of pages submitted

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P	ractitioner'	's	Docket	No.	U 011685-	-1

PATENT

For:	[] U.S. and/or [] Foreign Rights
For:	[X] U.S. Application or
	[] U.S. Provisional Application
For:	[] U.S. Patent
For:	[] PCT Application
By:	Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):	
SANDHYA SURESH SUDGE	National Chemical Laboratory, Pune-411008, Maharashtra, INDIA
(Name of the inventors)	Address Nationality
KULBHUSHAN BALWANT BASTAWADE	National Chemical Laboratory, Pune-411008, Maharashtra, INDIA
DIGAMBER VITTHAL GOKHALE	National Chemical Laboratory, Pune-411008, Maharashtra, INDIA
ROHINI RAMESH JOSHI	National Chemical Laboratory, Pune-411008, Maharashtra, INDIA
UTTAM RAMRAO KALKOTE	National Chemical Laboratory, Pune-411008, Maharashtra, INDIA
THOTTAPILLIL RAVINDRANATHAN	National Chemical Laboratory, Pune-411008, Maharashtra, INDIA

Council of Scientific and Industrial Research Rafi Marg, New Delhi-110 001, INDIA (Name of the ASSIGNEE) Address Indian Nationality and the successors, assigns and legal representatives of the ASSIGNEE (complete one of the following) [x] the entire right, title and interest an undivided _____ percent (%) interest for the United States and its territorial possessions (check the following box, if foreign rights are also to be assigned) [] and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled: "A NOVEL HALOPHILIC PSEUDOMONAS STRAIN HAVING ACCESSION NO.NCIM 5109 (ATCC 55940) AND A PROCESS FOR PREPARING D(-)N-CARBAMOYLPHENYLGLYCINE USING SAID STRAIN" Name of the inventor (s) Sandhya Suresh Sudge, Kulbhushan Balwant Bastawade, Digamber Vitthal Gokhale, Rohini Ramesh Joshi, Uttam Ramrao Kalkote and Thottapillil Ravindranathan (check and complete (a), (b), (c), (d), (e), (f) or (g)) and which is found in (37 C.F.R. 3.21) U.S. patent application executed on even date herewith (a) ſ] U.S. patent application executed on (b) U.S. provisional application naming the above inventor(s) for the (c) above -entitled invention. Express mail label no. [] Mailed: To comply with 37C.F.R. 3.21 for recordal of this assignment, I an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number

when they become known.

hereby sells, assigns and transfers to

ASSIGNEE

	(d)	[X]		lication No.09/052.391		
	(e)	[]	Filed on March 31, 1998. International application no. PCT///			
	(f)	[]	[] A	nt No issued change of address to which corr nt regarding patent maintenance parately.	•	
	(g)	[]	•	egal equivalent thereof in a forei	gn country, including the	
or any	continu	uation, e		to be obtained for said invention enewal, or substitute thereof, and f.		
has be				vents that no assignment, sale, agreed into which would conflict w		
Patent testify will pr papers enforce thereo	and leg as to the comptly s, instruce said f which	all pert gal equi he same execute ments of applicat may be	inent facts valents as e in any i e and deliver or affidave tion, said necessary	enant that ASSIGNEE will, upo and documents relating to said in may be known and accessible interference, litigation or proce- er to ASSIGNEE or its legal re- ts required to apply for, obtainvention and said Letter Pate or desirable to carry out the pur	to ASSIGNOR and will eding related thereto and epresentatives any and all ain, maintain, issue and ent and said equivalents cose thereto.	
IN WI	TNESS	WHWI	EREOF, V	We have hereunto set hand and se	eal	
	NING: (a) was d			g must be the same as the date of e	xecution of the application,	
SANDI	HYA SUR	ESH SU	DGE	Condo	9-1-9-00	
(Name o	of the inver	itors)		Sudge: Signature of INVENTOR	9-4-2000 Date	
			T BASTAWA	Daving 8	9-4-200 Date	
(Name o	f the inver	itors)		Signature of INVENTOR	Date	
			GOKHALE	Rankas.	09-4-200c	
(Name o	f the inven	itors)	-	Signature of INVENTOR	Date	

ROHINI RAMESH JOSHI	OR Foshi	9-4-2000
(Name of the inventors)	Signature of INVENTOR	Date
UTTAM RAMRAO KALKOTE	Alle alle 7	9- <i>4-</i> 200
(Name of the inventors)	Signature of INVENTOR	Date
THOTTAPILLIL RAVINDRANATHAN	7) x	
(Nome of the inventors)	Lambarat }	9.4.00
(Name of the inventors)	Signature of INVENTOR	Date

Note: No witnessing, notarization is necessary, if the assignment is notarized or legalized, then it will only be prima facie evidence of execution, 35 USC 261. Use next page if notarization is desired.

RECORDED: 05/12/2000