

06-05-2000

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

09,572520

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- ☐ Correction of PTO Error  
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**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
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- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Name (line 1)  Erdogan, Turan

Name (line 2)

**Second Party**

Name (line 1)  Heaney, Alan D.

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1)  University of Rochester

Name (line 2)  an Educational Institution organized under NY State

Address (line 1)  518 Hylan Building

Address (line 2)

Address (line 3)  Rochester  New York  14627

City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) Stegall, David B.

Execution Date  
Month Day Year

05 12 2000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Name (line 1)

Name (line 2)

Address (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



**Correspondent Name and Address**

Area Code and Telephone Number (716) 232-7700

Name Thomas B. Ryan

Address (line 1) Eugene Stephens & Associates

Address (line 2) 56 Windsor Street

Address (line 3) Rochester

Address (line 4) New York 14605

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**



If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
05 12 2000

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Thomas B. Ryan

Name of Person Signing



Signature

MAY 17 2000

Date

## ASSIGNMENT

THIS ASSIGNMENT, made on the date set forth below by Turan Erdogan, residing at 11 Deerborne Lane, Spencerport, New York, 14559; Alan D. Heaney, residing at 60 Crittenden Boulevard, Apt. 731, Rochester, New York, 14620; and David B. Stegall, residing at 754 Elmwood Terrace, Rochester, New York, 14620 (hereinafter referred to as the assignors), witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in AMPLITUDE MASK FOR WRITING LONG-PERIOD GRATINGS set forth in an ~~TE~~ application for Letters Patent of the United States, executed the 12<sup>th</sup> day of ~~April~~ <sup>May</sup> 2000; and

WHEREAS, UNIVERSITY OF ROCHESTER, an educational institution duly organized under and pursuant to the laws of the State of New York, having its principal place of business at 518 Hylan Building, Rochester, New York, 14627 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in

and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

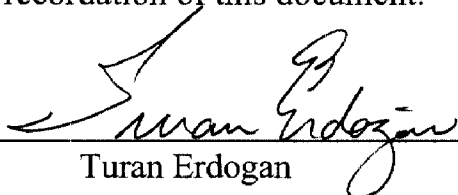
AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, that any

division, continuation or continuation-in-part of any application for Letters Patent, or reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to the said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

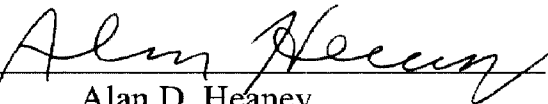
AND said assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to the said assignee, as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

The undersigned hereby grant the firm of Eugene Stephens & Associates, 56 Windsor Street, Rochester, New York, 14605, the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

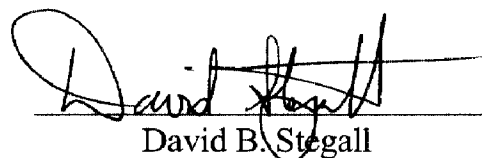
Date: May 12, 2000

  
Turan Erdogan

Date: May 12, 2000

  
Alan D. Heaney

Date: May 12, 2000

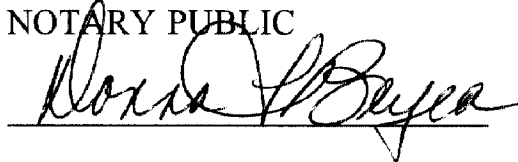
  
David B. Stegall

STATE OF New York )

COUNTY OF Monroe )

On this 12<sup>th</sup> day of May 2000, personally before me came Turan Erdogan, Alan D. Heaney, and David B. Stegall known to me, and known to me to be the persons described in and who signed the annexed Assignment, and being duly sworn, acknowledged that they executed the same.

NOTARY PUBLIC



My Commission Expires:

DONNA L. BEYEA  
Notary Public, State of New York  
MONROE COUNTY, #01BE5042665  
Commission Expires 4/24/01