

06-09-2000

U.S. Department of Commerce
Patent and Trademark Office

PATENT



101376836

RECORDATION FORM COVER SHEET
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☒ New☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type

☐ Assignment☒ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) ASKO, Inc.

Execution Date
Month Day Year
050500

Name (line 2) a corporation of Pennsylvania

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☒ Mark if additional names of receiving parties attached

Name (line 1) National City Bank of Pennsylvania

☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 2) a national banking association

Address (line 1) 20 Stanwix Street

Address (line 2) National City Center

Address (line 3) Pittsburgh

PA/USA

15222

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
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PATENT

REEL: 010822 FRAME: 0965

Correspondent Name and Address

Area Code and Telephone Number (412) 394-2560

Name Stacy Leshock Dee

Address (line 1) Thorp Reed & Armstrong, LLP

Address (line 2) One Riverfront Center

Address (line 3) Pittsburgh, PA 15222

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

5574890

5483812

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCTUS9920763

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

3

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 120.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

20-0888

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

ASKO, Inc., by
William H. Rackoff, President

Name of Person Signing

Signature

5/5/00

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

| | | | |
|---------------|----------------------|----------------------------------|----------------------|
| Name (line 1) | <input type="text"/> | Execution Date Month Day Year | <input type="text"/> |
| Name (line 2) | <input type="text"/> | | |
| Name (line 1) | <input type="text"/> | Execution Date Month Day Year | <input type="text"/> |
| Name (line 2) | <input type="text"/> | | |
| Name (line 1) | <input type="text"/> | Execution Date Month Day Year | <input type="text"/> |
| Name (line 2) | <input type="text"/> | | |

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

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|------------------|---|---|--|
| Name (line 1) | <input type="text" value="PNC Bank, National Association"/> | <input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.) | |
| Name (line 2) | <input type="text"/> | | |
| Address (line 1) | <input type="text" value="249 Fifth Avenue"/> | | |
| Address (line 2) | <input type="text" value="One PNC Plaza"/> | | |
| Address (line 3) | <input type="text" value="Pittsburgh"/> City | <input type="text" value="PA/USA"/> State/Country | <input type="text" value="15222"/> Zip Code |
| Name (line 1) | <input type="text"/> | <input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.) | |
| Name (line 2) | <input type="text"/> | | |
| Address (line 1) | <input type="text"/> | | |
| Address (line 2) | <input type="text"/> | | |
| Address (line 3) | <input type="text"/> City | <input type="text"/> State/Country | <input type="text"/> Zip Code |

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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NOTICE OF SECURITY INTEREST
U.S. PATENTS

WHEREAS, ASKO, Inc., a Pennsylvania corporation (the "Assignor"), has adopted, used and is using the patents listed on the annexed Schedule A, which patents are registered, to the extent indicated, in the United States Patent Office (the "Patents");

WHEREAS, pursuant to a Loan Agreement, dated of even date herewith, by and among the Assignor, Sintermet, Inc., a Pennsylvania corporation, National City Bank of Pennsylvania ("NCB"), PNC Bank, National Association ("PNC") and other financial institutions listed on the signature pages thereof (NCB, PNC and such financial institutions are each a "Bank" and collectively, the "Banks") and National City Bank of Pennsylvania, as agent for the Banks (in such capacity, the "Assignee") (as amended, modified or supplemented from time to time, the "Loan Agreement"), Assignor is obligated to the Assignee, and has entered into a Patent, Trademark and Copyright Assignment, dated of even date herewith (the "Security Agreement") in favor of Assignee; and

WHEREAS, pursuant to the Security Agreement, Assignor has assigned to Assignee (for itself and for the benefit of the Banks), and granted to Assignee a mortgage on, pledge of and security interest in, all right, title and interest of Assignor in and to the Patents, the registrations or applications for registration thereof, and all proceeds thereof, including, without limitation, any royalties, claims for infringement and proceeds of sale or other disposition thereof (the "Patent Collateral") to secure the payment of the Debt (as defined in the Security Agreement).

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and intending to be legally bound hereby, Assignor does hereby further assign unto Assignee (for itself and for the benefit of the Banks) and grant to Assignee (for itself and for the benefit of the Banks) a mortgage on, pledge of and security interest in the Patent Collateral to secure prompt payment of the Debt.

Assignor does hereby further acknowledge and affirm that the rights and remedies of Assignee (for itself and for the benefit of the Banks) with respect to the assignment of, mortgage on, pledge of and security interest in the Patent Collateral made and granted hereby are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth in this Assignment.

Assignee's address is National City Center, 20 Stanwix Street, Pittsburgh, Pennsylvania 15222-4802.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed on this 5th day of May, 2000.

ASKO, Inc.

[Corporate Seal]

By: William H. Peck

Title: President

SCHEDULE A

1. COMPUTER DESIGN TOOL FOR ROTARY SLITTING OF SHEET METAL AND METHOD OF USE THEREFOR, Patent issued by USPTO, Patent No. 5,574,890, issued on November 12, 1996.
2. ROLL APPARATUS FOR REDUCING WORK; Patent issued by USPTO, Patent No. 5,483,812, issued on January 16, 1996.
3. DESIGN TOOL; Patent pending under Patent Cooperation Treaty, Application No. PCT/US99/20763, filed September 10, 1999.