

06-05-2000



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OMB 0651-0027

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U.S. Department of Commerce  
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## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Scobey, Michael A.

Execution Date  
Month Day Year  
04142000

Name (line 2)

## Second Party

Name (line 1) Hallock, Robert W.

Execution Date  
Month Day Year  
05012000

Name (line 2)

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Optical Coating Laboratory, Inc.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) a corporation of the State of Delaware

Address (line 1) 2789 Northpoint Parkway

Address (line 2)

Address (line 3) Santa Rosa

CA

95407-7397

City

State/Country

Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

06/02/2000 DNGUYEN 00000161 500891 09511693

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**PATENT**  
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**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

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#

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

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**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:  
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Scott W. Hewett

Name of Person Signing

Signature

Date

**ASSIGNMENT OF APPLICATION**

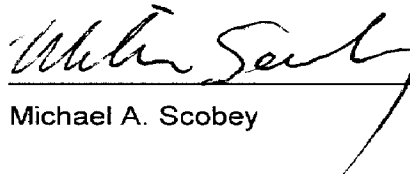
Whereas I, Michael A. Scobey, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in an HYBRID WAVELENGTH SELECTIVE OPTICAL ROUTER AND SWITCH, hereinafter called the "invention", for which an application for a United States Patent was filed on February 23, 2000 and assigned U.S. Application Serial No. 09/511,693, and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and behoof; and for the use and behoof of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Executed this 14 day of April, 2000 at Santa Rosa, California

  
Michael A. Scobey

**ASSIGNMENT OF APPLICATION**

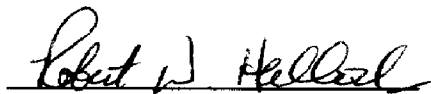
Whereas I, Robert W. Hallock, of Santa Rosa, California, hereafter referred to as APPLICANT, have invented certain new and useful improvements in a HYBRID WAVELENGTH OPTICAL ROUTER AND SWITCH, hereinafter called the "invention", for which an application for a United States Patent was filed on February 23, 2000 and assigned U.S. Application Serial No. 09/511,693, and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and behoof; and for the use and behoof of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Executed this 1<sup>st</sup> day of May, ~~1999~~<sup>2000</sup> at Santa Rosa, California



Robert W. Hallock