

06-09-2000

TO THE ASSISTANT COMMISSIONER C  
SIR: PLEASE RECORD THE ATTACHED

E.O.F.

05/30/00

## 1. NAME OF CONVEYING PARTY(IES) (A

1. Tom MUZIK  
3. John CANNISTRA  
5.  
7.

101376394

2. John W. VICKERS  
4.  
6.  
8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

JCE33 U.S. PTO  
09/580529  
05/30/00

## 2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: PTI Advanced Filtration Inc.

ADDRESS: 2340 Eastman Avenue, Oxnard, CA 93030

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

09/580529

## 3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

- ☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST  
☐ ORIGINAL ☐ FACSIMILE/PHOTOCOPY  
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION  
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: May 23, 2000, May 23, 2000, and May 24, 2000, respectively.

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) May 23, 2000, May 23, 2000, and May 24, 2000, respectively

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 <sup>st</sup> INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 <sup>st</sup> INVENTOR if not in item 1
New Application	266636				

5. Name & Address of Party to Whom Correspondence  
Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 71403/266636

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE  
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO 71403 266636

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

## 9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

Attorney: Jeffrey D. Karzeski

Reg. No. 35914

Atty/Sec: JDK/TLM

TEL: (202) 861-3660

Date: May 30, 2000

FAX: (202) 822-0944

10. Total number of pages including this  
cover sheet, attachments and document  
(do not file dup. Cover sheet)

3

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Please return signed/recorded to:  
Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918

Atty. Dkt.	PMS 266636	None
	M#	Client Ref.

**ASSIGNMENT**  
**of U.S. Origin Patent Application**

WHEREAS, the undersigned, to wit:

1) Tom MUZIK	2) John W. Vickers
3) John Cannistra	4)
5)	6)
7)	8)

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. 71403/0266636

and entitled: FILTER AND VALVE APPARATUS

for which an application for Letters Patent of the United States

☒ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

☐ was filed on \_\_\_\_\_, Appln. No. \_\_\_\_\_ / \_\_\_\_\_ ;

AND WHEREAS PTI Advanced Filtration Inc.

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of Delaware

and having its principal office and place of business at 2340 Eastman Avenue, Oxnard, CA 93030

desires to acquire an interest therein;




NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

<u>Signature</u>	<u>Date Signed</u>	<u>Witness</u>
1)  Name: Tom MUZIK	<u>5/23/2000</u>	_____
2)  Name: John W. Vickers	<u>5/23/2000</u>	_____
3)  Name: John Cannistra	<u>29 MAY 00</u>	_____
4) _____ Name: _____	_____	_____
5) _____ Name: _____	_____	_____
6) _____ Name: _____	_____	_____
7) _____ Name: _____	_____	_____
8) _____ Name: _____	_____	_____