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Docket No.: 182-89 PCT/JUS **09/554157**

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
**16 Rec'd PCT/PTO 09 MAY 2000**



Tab settings

To the Honorable Commissioner of

101378156

the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Wolfgang Heschel
- 2) Dirk Muller

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: Helsa-Werke Helmut Sandler GmbH & Co. KG

Internal Address: Bayreuther Strasse 11

DE-95482 Gefrees

GERMANY

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: 1) 3/28/2000; 2) 3/28/2000

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles R. Hoffmann, Esq.

Internal Address: HOFFMANN & BARON, LLP

6900 Jericho Turnpike

Syosset, New York 11795

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

08-2461

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James F. Harrington  
Name of Person Signing

*James F. Harrington*  
Signature

May 9, 2000  
Date

Total number of pages including cover sheet, attachments, and document: **4**

For:  U.S. and/or  Foreign Rights  
 For:  U.S. Application;  
 U.S. Provisional Application;  
 U.S. Patent; or  
 PCT Application  
 By:  Inventors or  Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS:** (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Wolfgang HESCHEL</u>	<u>Dirk MÜLLER</u>	_____
Name	Name	Name
<u>Karl-Kegel-Strasse 39</u>	<u>Römerstrasse 18</u>	_____
Address	Address	Address
<u>DE- 09599 Freiberg</u>	<u>DE-08056 Zwickau</u>	_____
<u>German</u>	<u>German</u>	_____
Nationality	Nationality	Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_ Reel \_\_\_\_\_  
Frame \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:** Helisa-Werke Helmut Sandler GmbH & Co. KG  
 (Type or print name of ASSIGNEE)

Bayreuther Strasse 11  
 \_\_\_\_\_  
 Address  
DE-95482 Gefrees  
 \_\_\_\_\_

German  
 \_\_\_\_\_  
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

- and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

Production of activated carbon from polymers with aromatic nuclei

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application naming the above inventor(s) for the above-identified invention.  
     Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
 To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d)  U.S. application Serial No. 08/\_\_\_\_\_ filed on \_\_\_\_\_  
(e)  International application No. PCT/DE98/03513  
(f)  U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
     A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related

thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

**WARNING:** Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date:  28/03/2000  
Date of signing

Wolfgang Heschel  
SIGNATURE of ASSIGNOR (Wolfgang Heschel)

Date:  28/03/2000

Dirk Müller  
(Dirk Müller)

Date:  \_\_\_\_\_  \_\_\_\_\_

(If ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[ ] Notarization or Legalization Page Added.