

RECORDATION FORM

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

06-14-2000



To the Honorable Commissioner of Patents and 1

documents or copy thereof.

101380017

1. Name of conveying party(ies):

(a) Guidant Corporation

5-22-00

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, Inc.Street Address: 3200 Lakeside DriveCity: Santa ClaraState: CAZip: 95054Country: USA

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: May 10, 2000

Name and address of receiving party(ies):

Name: (Same as Above)

Street Address: _____

City: _____

State: _____

Zip: _____

Country: _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) - 09/411,029

B. Patent No.(s)

Title: A Chamber For Applying Therapeutic Substances To An Implantable Device

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter H. KangInternal Address: SKJERVEN, MORRILL, MacPHERSON,FRANKLIN & FRIEL LLPStreet Address: 25 METRO DRIVE, SUITE 700City SAN JOSE State CA ZIP 951106. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$40.00

☒ Authorized to be charged to Deposit Account 19-2386☒ Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter H. Kang

40,350

Name of Person Signing

Signature

May 15, 2000
Date

06/13/2000 DNGUYEN 00000305 192386 09411029

Total number of pages comprising cover sheet: 1

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ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, Guidant Corporation, formerly having a place of business at 3200 Lakeside Drive, Santa Clara, CA, and currently having a principal place of business at 111 Monument Circle, 29th Floor, Indianapolis, IN 46204-5129, having acquired by a prior assignment the entire right, title and interest in the invention listed below:

<u>Serial No.:</u>	<u>Filing Date:</u>	<u>Title:</u>	<u>Reel/Frame No.</u>
09/411,029	10/04/99	A Chamber for Applying Therapeutic Substances To an Implantable Device	010301/0747

and having acquired the entire right, title and interest in all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; and having acquired the right to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from the inventors;

hereby sells, assigns and transfers to Advanced Cardiovascular Systems, Inc. a California corporation having a principal place of business at 3200 Lakeside Drive, Santa Clara, CA 95054, its successors and assigns, the entire right, title and interest throughout the world in said inventions, and all patent applications and patents of every country for said inventions, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; Guidant Corporation authorizes the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from Guidant Corporation; and agrees to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and requests the Commissioner of Patents and Trademarks to issue all patents granted for said invention to Advanced Cardiovascular Systems, Inc, its successors and assigns.

Executed this 10th day of May, 2000.

For: Guidant Corporation

For: Advanced Cardiovascular Systems, Inc.

TAH
By *Dodd Gray*
Dodd Gray
Assistant Secretary of Guidant Corporation

TAH
By *Thomas R. Peterson*
Thomas R. Peterson
Vice President and General Counsel

State of Indiana)
) ss.
County of Marion)

On May 10, 2000 before me, a Notary Public personally appeared Dodd Gray and Thomas R. Peterson personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Laura J. Brown
SIGNATURE OF NOTARY
My Commission Expires: 10-21-00
County of Residence: Johnson

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