

06-15-2000



101359825

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
04 25 00

Name (line 1) Brooke Ren

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Stereotaxis, Inc.

Name (line 2)

Address (line 1) 4041 Forest Park Avenue

Address (line 2)

Address (line 3) St. Louis

Missouri

63108

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 010846 FRAME: 0087

Correspondent Name and Address

Area Code and Telephone Number 314-727-5188

Name Bryan K. Wheelock

Address (line 1) Howell & Haferkamp, L.C.

Address (line 2) 7733 Forsyth Blvd., Suite 1400

Address (line 3) St. Louis, Missouri 63105

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/430,118

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

18-1829

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bryan K. Wheelock

Name of Person Signing

Signature

APRIL 26, 2000

Date

PATENT**ASSIGNMENT**

WHEREAS, I, Brooke Ren of 13281 Yorktown Lane, Champlin, Minnesota 55316, have co-invented with Jeffrey M. Garibaldi of 3519 Burgundy Way Estates Drive, St. Louis, Missouri 63129, Bevil J. Hogg of 1008 Alsace Court, Town and Country, Missouri 63017, and Roger N. Hastings of 7013 Carey Lane, Maple Grove, Minnesota 55369, certain new and useful improvements for a *Methods Of And Apparatus For Treating Vascular Defects* for which we executed an application for Letters Patent in the United States identifying us as the only inventors thereof said application being assigned serial number 09/430,118 by the U.S. Patent and Trademark Office and identified as Howell & Haferkamp, L.C., Docket 3176-1831; and

WHEREAS, Stereotaxis, Inc., a corporation of the State of Delaware having its principal office and place of business at 4041 Forest Park Avenue, St. Louis, Missouri 63108, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, I, Brooke Ren, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Stereotaxis, Inc., its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by me, in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and I further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said Stereotaxis, Inc. in accordance with this Assignment.

Brooke Ren
Brooke Ren

STATE OF

COUNTY OF

On this 25 day of April 2000 before me, a Notary Public, within and for the County of Hennepin, State of Minnesota, personally appeared Brooke Ren, to me known to be the person described in and who executed the foregoing assignment and acknowledged that she executed same as her free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Susan Renee Jones
Notary Public

My Commission Expires: 01-31-2005

