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Patent and Trademark Office

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101383203

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dale Peterson
Nancy Nousek-Goebel

2. Name and address of receiving party(ies):

Name: DePuy Orthopaedics

Internal Address: 700 Orthopaedic Drive

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 10, 2000

Street Address: _____

City: Warsaw State: IN ZIP: 46581

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/398,333

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alice O. Martin

Internal Address: Barnes & Thornburg

2600 Chase Plaza

Street Address: 10 South LaSalle Street

City: Chicago State: IL ZIP: 60603

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

10-0435

06/15/2000 DNGUYEN 00000194 100435 09398333

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alice O. Martin

Name of Person Signing

Signature

May 22, 2000

Date

Total number of pages including cover sheet, attachments, and document:

5

PATENT
REEL: 010847 FRAME: 0001

ASSIGNMENT

In consideration of good and valuable consideration,

Name(s) Dale R. Peterson
of Inventor(s) Nancy Nousek-Goebel

Check maker(s) of an invention which is the subject of a US Application
applicable boxes identified by Serial No. 09/398,333 and filing date September 17, 1999
and enter serial
number and date
if available

Title of PLEIOTROPHIN-BASED COMPOSITIONS FOR ENHANCING CONNECTIVE TISSUE REPAIR
Application hereinafter (the "Application(s))"

the undersigned hereby sell(s), assign(s), and set(s) over to

Name of DePuy Orthopaedics
Assignees 700 Orthopaedic Drive
Warsaw, Indiana 46581-0988

(hereinafter designated as the Assignee) their entire right, title and interest in, to and under the Application(s), including all priority rights for the United States and other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries, including Canada and Mexico, which may be granted for such inventions, or any of them, all such inventions and all rights in such Application(s) and Letters Patent to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the Application(s) in the United States and counterpart applications in foreign countries and any continuing, divisional, or reissue applications thereof, and any reexamination of any of such Applications, and also to execute separate assignments in connection with such Applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared or litigation concerning the Application(s), U.S. national counterparts thereof, or continuation(s), division(s), reissue(s), reexamination(s) thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or litigation.

PATENT APPLICATION
ASSIGNMENT

Attorney Docket No.: 265280/64846

Client Reference No.:

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States and in all other countries where Assignee may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting or following from said Application(s) or any division or divisions or continuing or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, as Assignee of the entire interest, and hereby covenants that the undersigned has full right to convey the interest herein assigned, and that the undersigned has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, I have executed this assignment at Somerville NJ this
(City, State)

10th day of May, 2000
(day) (month) (year)

Dale R. Peterson
Inventor (Signature)

Dale R. Peterson
Inventor (Printed Name)

Inventor (Signature)

Nancy Nousek-Goebel
Inventor (Printed Name)

ACKNOWLEDGMENT

STATE OF NJ }
COUNTY OF Somerset }

SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this

10th day of MAY, 2000 of
(day) (month) (year)

Gladys Menck

CHDS01 AOM 54080 **GLADYS MENCK** -2-
Notary Public of New Jersey
My Commission Expires March 31, 2003

PATENT
REEL: 010847 FRAME: 0003

ASSIGNMENT

In consideration of good and valuable consideration,

Name(s)
of Inventor(s)

Dale R. Peterson

Nancy Nousek-Goebl

Check
applicable boxes
and enter serial
number and date
if available

maker(s) of an invention which is the subject of a US Application

identified by Serial No. 09/398,333 and filing date September 17, 1999

Title of
Application

PLEIOTROPHIN-BASED COMPOSITIONS FOR ENHANCING CONNECTIVE TISSUE REPAIR
hereinafter (the "Application(s)")

the undersigned hereby sell(s), assign(s), and set(s) over to

Name of
Assignees

DePuy Orthopaedics

700 Orthopaedic Drive

Warsaw, Indiana 46581-0988

(hereinafter designated as the Assignee) their entire right, title and interest in, to and under the Application(s), including all priority rights for the United States and other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries, including Canada and Mexico, which may be granted for such inventions, or any of them, all such inventions and all rights in such Application(s) and Letters Patent to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the Application(s) in the United States and counterpart applications in foreign countries and any continuing, divisional, or reissue applications thereof, and any reexamination of any of such Applications, and also to execute separate assignments in connection with such Applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared or litigation concerning the Application(s), U.S. national counterparts thereof, or continuation(s), division(s), reissue(s), reexamination(s) thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or litigation.

PATENT APPLICATION
ASSIGNMENT

Attorney Docket No.: 265280/64846

Client Reference No.:

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The undersigned agree(s) to do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States and in all other countries where Assignee may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting or following from said Application(s) or any division or divisions or continuing or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, as Assignee of the entire interest, and hereby covenants that the undersigned has full right to convey the interest herein assigned, and that the undersigned has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, I have executed this assignment at Indianapolis, IN this

9th day of May, 2000
(day) (month) (year)

(City, State)

~~Inventor (Signature)~~

~~Dale R. Peterson~~

~~Inventor (Printed Name)~~

CKT

Nancy Nousek-Goebel

Inventor (Signature)

Nancy Nousek-Goebel

Inventor (Printed Name)

ACKNOWLEDGMENT

STATE OF Indiana

COUNTY OF Hamilton

SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and

Notarial Seal this

9th day of May, 2000
(day) (month) (year)

Christina K. Tyzzer

CHRISTINA K. TYZZER

Notary Public, State of Indiana

County of Hamilton

My Commission Expires 07/06/2008