

06-16-2000



101383172

SHEET

09/554057

U.S. DEPARTMENT OF

Patent and Trademark

Rec'd PCT/PTO 09 MAY 20

To the Honorable Commissioner of

and the attached original documents or copy

1. Name of conveying party(ies):

da Luz MORAES, Luciano

CORREA, Juan Carlos Carne

de BARROS, Daniel Alessandro Oliveira

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: January 19, 1999

2. Name and address of receiving party(ies):

Name: Carrier Corporation

Internal Address:

Street Address: P. O. Box 4800

City: Syracuse State: NY ZIP: 13221

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

PCT/BR98/00074

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frederick A. Goettel, Jr.

Internal Address: Carrier Corporation

05/22/2000 WCLAYBRU 00000022 030835 09554053

02 FC:581 40.00 CH

Street Address: P. O. Box 4800

City: Syracuse State: NY ZIP: 13221

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frederick A. Goettel, Jr.

Name of Person Signing

Signature

Date

Docket # 9276

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet - page 1 of 3)

PATENT  
REEL: 010850 FRAME: 0927

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, I: **Luciano da Luz MORAES**, residing at Av. Inconfidencia, 670 - Apt. 304, 92020-040 Canoas - RS, Brazil, a citizen of Brazil; **Juan Carlos Carne CORREA**, residing at Av. Joao Pessoa, 1784 - Apt. 1605, 90040-001 Porto Alegre - RS, Brazil, a citizen of Chile; and **Daniel Alessandro Oliveira de BARROS**, residing at Av. Pedro Adams Filho, 4007 - Apt. 304, 93320-005 Novo Hamburgo - RS, Brazil, a citizen of Brazil, being inventors of a certain invention entitled "WINDOW ROOM AIR CONDITIONER" (Carrier Docket No.: 9276), for which a PCT International Application No. PCT/BR 98/00074 was filed on the 16<sup>TH</sup> day of September, 1998, and being the owner of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware, USA, corporation with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015 USA, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, except Brazil, and all divisions, reissues, continuations, and extensions thereof. I hereby authorize and request the United States Commissioner of Patents and Trademarks and the issuing authorities in other countries to issue all Letters Patent maturing from said application to said CARRIER CORPORATION, as Assignee.

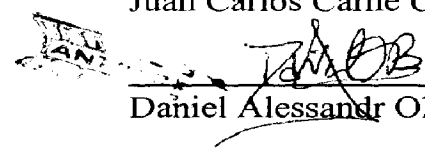
AND, I hereby covenant and agree that I shall at any time, upon request, without further compensation, testify in any interference proceeding, execute all divisional, continuing or reissue applications, make all rightful oaths and generally

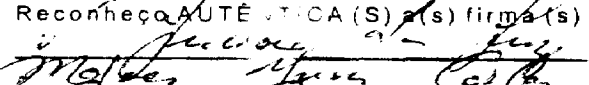
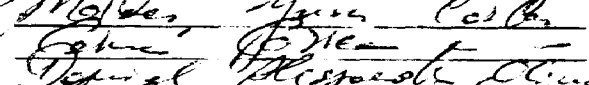
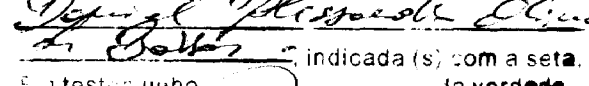
execute and deliver any and all papers or instruments that may be necessary or desirable to secure said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 19<sup>th</sup> day of JANUARY, 19 99.

  
Luciano da Luz MORAES

  
Juan Carlos Carne CORREA

  
Daniel Alessandr Oliveira de BARROS

1º TABELIONÁRIO - CANOAS - RS	Reconheço AUTÊNTICA (S) a(s) firma(s)
	  
	indicada (s) com a seta.
	Em testemunho da verdade.
	Canoas <b>19 JAN 1999</b>
	Tabelião: EDUARDO ANTPACK Substitutos: PAULO ANTPACK - LUIZ CARLOS GONINHO Escr. Aux.: LUIZ ANTPACK - SILVIA ANTPACK - MARCOS A. PINHO

\*\*\*\*\*  
The patent application referred to in the foregoing instrument was filed in the United States Patent and Trademark Office on \_\_\_\_\_, 19\_\_\_\_, and was assigned Serial Number \_\_\_\_\_.

Atty: Frederick A. Goettel, Jr.  
Reg. No. 25,139

\_\_\_\_\_  
Date