

06-19-2000

Docket No. RD-26,198 / USA

FORM  
1-31-92

101384238

ION FORM COVER SHEET  
TENTS ONLYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Alok Mani Srivastava  
Anil Raj DuggalMAD  
5.26.00

## 2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: May 25, 2000 May 25, 2000

09/579037

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

May 25, 2000 May 25, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59

Bldg. K-1, Salamone

P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41.....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-0868

06/16/2000

01 FC:581

ASCOTT 00000126 070868 09579037

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tyler Maddy

Name of Person Signing

Tyler Maddy

Signature

May 26, 2000

Total number of pages including cover sheet, attachments and document

3

OMB No. 0651-0011 (exp 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

# ASSIGNMENT

Docket Number  
RD-26,198/USA

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Alok Mani Srivastava

of Niskayuna, New York

Anil Raj Duggal

of Niskayuna, New York

of

of

of

of

of

of

of

of

of

of

of

of

of

of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

PHOSPHORS FOR LIGHT GENERATION FROM LIGHT EMITTING SEMICONDUCTORS

invented by me (us), as described in the application for United States patent

☒ executed concurrently herewith, or

☐ U.S. Serial No.: \_\_\_\_\_, filed \_\_\_\_\_

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

5/25/2000  
Date

Alok Mani Srivastava  
Alok Mani Srivastava

State of New York ) SS:

County of Schenectady )

This 25<sup>th</sup> day of May, 2000, before me personally came the

above-named Alok Mani Srivastava to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal  
SUSAN ZAMPIER  
Notary Public in the State of New York  
Qualified in Saratoga Co.  
No. 01ZA6089047

Susan M. Zampier  
(Notary Public)

My Commission Expires Nov. 11, 2000

**ASSIGNMENT**

Docket Number

RD-26,198 / 45A

May 25, 2000  
DateAnil Raj Duggal  
Anil Raj Duggal

State of New York ) SS:

County of Schenectady )

This 25<sup>th</sup> day of May, 2000, before me personally came  
the above-named Anil Raj Duggal to me personally known as the  
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the  
same of his/her own free will for the purposes therein set forth.

**SUSAN ZAMPIER**Notary Public in the State of New York  
Qualified in Saratoga Co.

Seal

No. 01ZA5068047

My Commission Expires Nov. 11, 2000

Susan M. Zampier  
(Notary Public)

Date

State of ) SS:

County of )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came  
the above-named \_\_\_\_\_ to me personally known as the  
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the  
same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Date

State of ) SS:

County of )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came  
the above-named \_\_\_\_\_ to me personally known as the  
individual who executed the foregoing assignment, who acknowledged to me that he/she executed the  
same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)