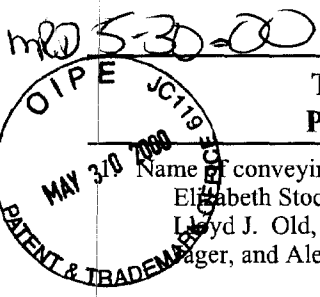


D\$



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06-20-2000

SHEET

To the Honorable  
Please record



Trademarks:  
copy thereof.

101385324

Name of conveying party(ies):  
Elizabeth Stockert, Matthew Scanlan, Ali Gure,  
Lloyd J. Old, Yao-Tseng Chen, Dirk Jager, Elke  
Jager, and Alexander Knuth

Name of receiving party(ies):

Name: Ludwig Institute for Cancer Research

Internal Address: \_\_\_\_\_

Street Address: 605 Third Avenue

City: New York

State: NY Zip: 10158

Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Date: April 17 and May 5, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the  
execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s): 09/493,914

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: NORMAN D. HANSON

Internal Address: FULBRIGHT & JAWORSKI LLP

Street Address: 666 FIFTH AVENUE

City: NEW YORK

State: NY Zip: 10103

6. Total number of applications and patents involved:

ONE

7. Total fee (37 CFR 3.41): . . . . . \$ 200.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

500624

(Attach duplicate copy of this page if paying by deposit account)

06/19/2000 DMUYEN 00000215 09493914

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a  
true copy of the original document.

Norman D. Hanson (Reg. No. 30,946)  
Name of Person Signing

[Signature]  
Signature

May 25, 2000  
Date

Total number of pages including cover sheet, attachments, and document.

6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 010856 FRAME: 0287

Docket No. **LUD 5638(10002492)**

**ASSIGNMENT**

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

**ISOLATED NUCLEIC ACID MOLECULES ENCODING CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER SE, AND USES THEREOF**

for which an application for United States Letter Patent was filed on \_\_\_\_\_ and assigned Serial No. \_\_\_\_\_.

Whereas Ludwig Institute for Cancer Research  
605 Third Avenue  
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.


Said **ASSIGNOR** hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said **ASSIGNEE**, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said **ASSIGNOR** had this assignment and sale not been made.

Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated.


Date(s)

Signature(s)

4/27/00

  
Elizabeth Stockert

4/27/00

  
Matthew Scanlan

Docket No. LUD 5638 (10002492)

**ASSIGNMENT**

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

**ISOLATED NUCLEIC ACID MOLECULE ENCODING  
CANCER ASSOCIATED ANTIGENS, THE ANTIGEN ITSELF,  
AND USES THEREOF**

for which an application for United States Letter Patent was filed on \_\_\_\_\_, and assigned Serial No.

Whereas        Memorial Sloan-Kettering Cancer Center  
                  1275 York Avenue  
                  New York, New York 10021

a New York, not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

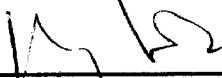
Said **ASSIGNOR** hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said **ASSIGNEE**, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said **ASSIGNOR** had this assignment and sale not been made.

Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated:

Date(s)

Signature(s)

5/5/2000

  
Ali Gure

Docket No. LUD 5638 (10002492)

**ASSIGNMENT**

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

**ISOLATED NUCLEIC ACID MOLECULES ENCODING CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER SE, AND USES THEREOF**

for which an application for United States Letter Patent was filed on \_\_\_\_\_ and assigned Serial No.

Whereas  
Ludwig Institute for Cancer Research  
605 Third Avenue  
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

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Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated.

Date(s)

Signature(s)

4/27/00

Lloyd J. Old

APR 26 2000 18:31 FR FULBRIGHT & JAWORSKI 1212 318 3122 TO 1030#10002492746 P.03/03

Docket No. LUD 5638 (10002492)

**ASSIGNMENT**

Whereas the undersigned [hereinafter (collectively) "**ASSIGNOR**"] have invented certain new and useful improvements in

**ISOLATED NUCLEIC ACID MOLECULE ENCODING  
CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER  
SE, AND USES THEREOF**

for which an application for United States Letter Patent was filed on \_\_\_\_\_, and assigned Serial No.

Whereas Cornell Research Foundation  
20 Thornwood Drive  
Ithaca, New York 11850

a New York, not-for-profit corporation (hereinafter "**ASSIGNEE**") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said **ASSIGNOR** hereby sells, assigns and transfers unto said **ASSIGNEE**, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

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Witness, the hand and seal of the **ASSIGNOR** on the date(s) indicated:

Date(s)

Signature(s)

Apr. 27, 2000

Yao Tseng Chen  
Yao Tseng Chen

Docket No. LUD 5638 (10002492)

**ASSIGNMENT**

Whereas the undersigned [hereinafter (collectively) "ASSIGNOR" have invented certain new and useful improvements in

**ISOLATED NUCLEIC ACID MOLECULES ENCODING CANCER ASSOCIATED ANTIGENS, THE ANTIGENS PER SE, AND USES THEREOF**

for which an application for United States Letter Patent was filed on \_\_\_\_\_ and assigned Serial No.

Whereas Ludwig Institute for Cancer Research  
605 Third Avenue  
New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

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Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

Signature(s)

5-5-2000

Dirk Jager

5-5-2000

Elke Jager

5-5-2000

Alexander Knuth

-1-

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\*\*\* TOTAL PAGE.03 \*\*\*

RECORDED: 05/30/2000

PATENT  
REEL: 010856 FRAME: 0292