

06-20-2000



101385330

Re  
MD 5-32-00

To the Honorable Commissioner of Pat

and original documents or copy thereof.

1. Name of conveying party(ies):

Israel KLEINBERG and Miloroy CODIPILL

Name of receiving party(ies):

Name: **The Research Foundation of State University of New York**

Internal Address:

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name

Other Please correct the name of the conveying party "MILROY" to --MILOROY--. Previously Recorded 2/17/00 at Reel/Frame: 010575/0031

Street Address: **State University of New York at Stony Brook**

City: **Stony Brook State: New York Zip: 11794-3366**

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

I HEREBY CERTIFY THAT THIS PAPER, OR, IF THIS PAPER IS A TRANSMITTAL LETTER, EVERY OTHER PAPER OR FILE REFERRED TO HEREIN, IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER OF PATENTS & TRADEMARKS, WASHINGTON, D.C. 20231

A. Patent Application No.(s)  
09/077,249

B. Patent No.(s)  
ON May 24, 2000 (DATE OF DEPOSIT)  
DATE NAME [Signature]

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: 0974/1B479-US1

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

06/19/2000 DMSUYEN 00000209 09077249

DO NOT USE THIS SPACE

01 FC:581

40.00 OP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Paul F. Fehlner**  
Name of Person Signing

[Signature]  
Signature

May 23, 2000  
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

RE

03-02-2000

ET

2.17.00



101281671

original documents or copy thereof.

To the Honorable Commissioner of Patent:

1. Name of conveying party(ies):

Israel KLEINBERG and Milroy CODIPILLY

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: 5/26/98

2. Name and address of receiving party(ies):

Name: **The Research Foundation of State University of New York**

Internal Address:

Street Address: **State University of New York at Stony Brook**

City: **Stony Brook** State: **New York** Zip: **11794-3366**

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/077,249

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: 0974/1B479-US1

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....(\$40.00)

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Robert C. Sullivan, Jr.**  
Name of Person Signing

Signature

June 10, 1998  
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT**

We, **Israel KLEINBERG**, a citizen of the United States of America, residing at 14 Three Rond Road, Smithtown, New York 11787; and **Miloroy CODIPILLY**, a citizen of **Sri Lanka**, residing at 305A Chelsea Place, Coram, New York, 11727 hereinbelow called the "Assignors", have made a certain invention in

**COMPOSITIONS TO CONTROL ORAL MICROBIAL  
OXIDATION-REDUCTION ( $E_h$ ) LEVELS**

for which an international application designating the U.S. was filed on 23 October 1997 under International Application No. PCT/US97/19598; and

WHEREAS, **The Research Foundation of State University of New York**, a university organized and existing under and by the laws of New York, having a place of business at State University of New York at Stony Brook, Stony Brook, New York 11794-3366 below called the "Assignee"), is desirous of securing the entire right, title and interest in the United States and in all countries throughout the world in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, divisionals, continuations, improvements, reissues or extensions that may be made or granted thereon;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar (\$1.00) to us in hand paid by the said Assignee, and other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, the said Assignors have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto the said Assignee, its successors and assigns, all of our right, title and interest in the United States and in all countries throughout the world in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, continuations, improvements, reissues or extensions that may be made or granted on any of them;

TO HAVE AND TO HOLD the same to the full end of the term or terms for which said Letters Patent may be granted, as fully and completely as the same might be held by us had this sale and assignment not been made.



For the consideration aforesaid, we hereby covenant and agree to and with the said Assignees its successors and assigns, that whenever its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with said application or invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of said Letters Patent is lawful and desirable, we will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure the said Assignee, its successors, and assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignees or its successors or assigns, but at its or their expense;

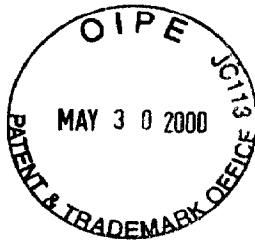
AND the Commissioner of Patents and Trademarks is requested to issue the said Letters Patent, when granted, in accordance with this sale and assignment.

Dated: May 26, 1998

  
Israel KLEINBERG, Inventor

Dated: May 26<sup>th</sup>, 1998

  
Mildroy CODIPILLY, Inventor 



1B479

**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 28, 2000

PTAS



DARBY & DARBY P.C.  
ROBERT C. SULLIVAN, JR.  
805 THIRD AVENUE, 27TH FLOOR  
0974/1B479-US1  
NEW YORK, NEW YORK 10022-7513

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/17/2000

REEL/FRAME: 010575/0031  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KLEINBERG, ISRAEL

DOC DATE: 05/26/1998

ASSIGNOR:  
CODIPILLY, MILROY

DOC DATE: 05/26/1998

ASSIGNEE:  
RESEARCH FOUNDATION OF STATE  
UNIVERSITY OF NEW YORK, THE  
STATE UNIVERSITY OF NEW YORK AT  
STONY BROOK  
STONY BROOK, NEW YORK 11794-3366

SERIAL NUMBER: 09077249  
PATENT NUMBER:

FILING DATE: 06/10/1998  
ISSUE DATE:



010575/0031 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS