

06-22-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT



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OPR/FINANCE

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ **New**

☐ **Resubmission (Non-Recordation)**
Document ID#

☐ **Correction of PTO Error**
Reel # Frame #

☐ **Corrective Document**
Reel # Frame #

Conveyance Type

☐ **Assignment** ☒ **Security Agreement**

☐ **License** ☐ **Change of Name**

☐ **Merger** ☐ **Other**

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ **Departmental File** ☐ **Secret File**

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year
 03/30/2000

Name (line 1) VALU ENGINEERING, INC.

Name (line 2) a California corporation

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) WILMINGTON TRUST OF PENNSYLVANIA

Name (line 2) a Pennsylvania corporation

Address (line 1) 795 East Lancaster Avenue

Address (line 2) Suite 6

Address (line 3) Villanova Pennsylvania/USA 19085
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only. Not Applicable

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

06/21/2000 DNGUYEN 00000212 29083938

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231
PATENT

REEL: 010859 FRAME: 0189

Correspondent Name and Address

Area Code and Telephone Number

(610) 341-1000

Name Paula T. Bradley, Paralegal

Address (line 1) c/o McCausland, Keen & Buckman

Address (line 2) Radnor Court, Suite 160

Address (line 3) 259 North Radnor-Chester Road

Address (line 4) Radnor, PA 19087

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

5

Application Number(s) or Patent Number(s)

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

29083938

09447759

09173661

09452666

09427281

09407146

Patent Number(s)

D336712

5310047

5626221

5190145

5692596

5819911

D340564

5803687

5971618

If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

16

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 640.00

Method of Payment:

Deposit Account

Enclosed

☒

Deposit Account

☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☐

No

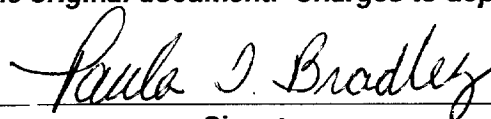
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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

Paula T. Bradley, Paralegal

Name of Person Signing



Signature

May 24, 2000

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLYU.S. Department of Commerce
Patent and Trademark Office
PATENT**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date
Month Day YearExecution Date
Month Day Year**Receiving Party(ies)**☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)**Patent Number(s)**

5967295		

CONFIRMATION OF SECURITY INTEREST IN PATENTS

This ^{SR}30 day of March, 2000, by and between VALU ENGINEERING, INC., a California corporation ("Debtor"), and WILMINGTON TRUST OF PENNSYLVANIA, a Pennsylvania banking corporation ("Secured Party").

WHEREAS, Debtor is Surety to Secured Party for obligations of Solus Industrial Innovations, LLC, a Delaware limited liability company ("Borrower") to Secured Party pursuant to a certain Limited Surety Agreement executed by Debtor on March ^{SR}30, 2000;

WHEREAS, Secured Party is obtaining a security interest in certain collateral described herein and in a certain Security Agreement of even date herewith to which Debtor and Secured Party are parties to secure Debtor's obligations to Secured Party, and Debtor is granting said security interest.

Intending to be legally bound hereby, it is therefore confirmed and agreed:

1. Debtor hereby confirms its grant to Secured Party of a security interest in the collateral described in Exhibit "A" hereto, to secure Debtor's obligations to Secured Party as indicated above.
2. In the event that Debtor or Borrower defaults in the payment or performance of its obligations to Secured Party, then Secured Party shall be entitled to any and all remedies available to it as of the date of default.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement the day and year

first above written.

Signature
attorn

VALU ENGINEERING, INC.

By: *Signature*
Name: STUART LEDICHAH
Title: PRESIDENT

WILMINGTON TRUST OF PENNSYLVANIA

By: *Signature*
Name: Thomas J. Raymond
Title: Vice President

EXHIBIT "A"
TO
CONFIRMATION OF SECURITY INTEREST IN PATENTS

REGISTERED U.S. PATENTS

	<u>U.S. Patent No.</u>	<u>Issue Date</u>
1.	D 336,712	06/22/93
2.	5,190,145	03/02/93
3.	D 340,564	10/19/93
4.	5,310,047	05/10/94
5.	5,692,596	12/02/97
6.	5,803,687	09/08/98
7.	5,626,221	05/06/97
8.	5,819,911	10/13/98
9.	5,971,618	10/26/99
10.	5,967,295	10/19/99

U.S. PATENT APPLICATIONS

	<u>U.S. Patent Application No.</u>	<u>Filing Date</u>
1.	29/083,938	02/20/98
2.	09/173,661	10/15/98
3.	09/427,281	10/26/99
4.	09/447,759	11/23/99
5.	09/452,666	12/01/99
6.	09/407,146	09/28/99

COMMONWEALTH OF PENNSYLVANIA

:

SS

COUNTY OF DELAWARE

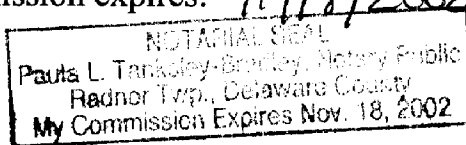
:

On this, the 31st day of March, 2000, before me, a Notary Public in and for the Commonwealth of Pennsylvania, personally appeared Thomas J. Raymond, who acknowledged himself to be the Vice President of Wilmington Trust of Pennsylvania, a Pennsylvania banking corporation, and that he, as such officer, being duly authorized to do so, executed the foregoing instrument on behalf of such corporation for the purposes therein contained.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Paula L. Tanksey-Bradley
Notary Public

My Commission expires: 11/18/2002



STATE OF CALIFORNIA

COUNTY OF

Orange

SS

On this, the 30th day of March, 2000, before me, a Notary Public in and for the State of California, personally appeared Stuart Heddenham, who acknowledged himself to be the president of Valu Engineering, Inc., a California corporation and that he, as such officer, being duly authorized to do so, executed the foregoing instrument on behalf of such corporation for the purposes therein contained.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Susan Marie Husted

Notary Public

My Commission expires:

