

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

U.S. Department of Commerce
Patent and Trademark Office
PATENT

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Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
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- Address (line 1)
- Address (line 2)
- Address (line 3)
City State/Country Zip Code
Sunnyvale CA 94089

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name John C. Merchant
- Address (line 1) Swernofsky Law Group
- Address (line 2) P.O.Box 390013
- Address (line 3) Mountain View, CA 94039-0013
- Address (line 4) United States

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Mail documents to be recorded with required cover sheet(s) information to:
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PATENT

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REEL: 010867 FRAME: 0903

FORM PTO-1619B
Expires 08/30/99
OMB 0651-0027

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U.S. Department of Commerce
Patent and Trademark Office
PATENT**Correspondent Name and Address**

Area Code and Telephone Number 650.947.0700

Name John C. Merchant

Reg. No 45,996

Address (line 1) Swernofsky Law Group

Address (line 2) P.O.Box 390013

Address (line 3) Mountain View, CA 94039-00

Address (line 4) United States

PagesEnter the total number of pages of the attached conveyance document
including any attachments.

#

1

Application Number(s) or Patent Number(s)☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09590027

Patent Number(s)If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Deposit Account

Enclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-0365

Authorization to charge additional fees:

Yes

☒

No

☐**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.*

John C. Merchant

Name of Person Signing



Signature

August 10, 2000

Date

103.1037.01

ASSIGNMENT

WHEREAS, I, Steven R. Kleiman, a citizen of the United States, residing at 157 El Monte Road, Los Altos, California 94022, have invented a **"Communication of Control Information and Data in Client/Server Systems"** for which I have executed application papers for a U.S. patent thereon, which was filed on **June 7, 2000, Serial No. 09/590027**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, Steven R. Kleiman, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

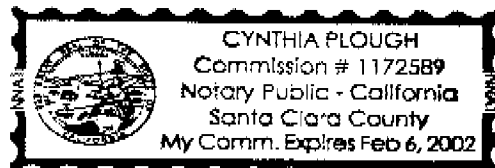
WITNESS OUR HAND at Network Appliance, Inc., this 6th day of July, 2000.

St R Klei
Steven R. Kleiman

NOTARY ACKNOWLEDGEMENT

State of **California**
County of **Santa Clara**

On this **6th** day of **July 2000** before me **Cynthia Plough** personally appeared **Steven R. Kleiman** personally known to me to be the person whose name is subscribed to the within instrument and acknowledge to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Cynthia Plough
Cynthia Plough, Notary Public

Assignment

RECORDED: 08/11/2000

PATENT
REEL: 010867 FRAME: 0905