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Attorney Docket No. 56115534-121334



U. S. Department of Commerce  
Patent and Trademark Office

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JEET

**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher D. W. Jones  
Donald W. Murphy  
John A. Rogers  
Jennifer Tate  
Richard E. Slusher

MRD  
6-1-00

2. Name and Address of receiving party(ies)

Name: Lucent Technologies, Inc.

Address: 600 Mountain Avenue  
P.O. Box 636  
Murray Hill, New Jersey 07974-0636

1530 U.S. PTO  
09/585159  
06/26/00

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Additional name(s) & address(es) attached? Yes  No

Execution Date: May 19, 2000; May 17, 2000; May 17, 2000;  
May 24, 2000; and May 19, 2000, respectively.

4. Application number(s) or patent number(s):

09585159

If this document is being filed together with a new application, the execution date of the application is: June 1, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Victor DeVito  
Baker & McKenzie  
805 Third Avenue  
New York, New York 10022

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

Enclosed

All or any deficiency is authorized to be charged to Deposit Account No. 12-2325

8. Deposit Account No. 12-2325

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of The original document.

Victor DeVito  
Name

Signature

June 1, 2000  
Date

Total number of pages including cover sheet, attachments, and document: 7

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EXPRESS MAIL LABEL NO.: EJ622913726US  
DATE OF DEPOSIT: June 1, 2000

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## ASSIGNMENT AND AGREEMENT

For value received, we, **Christopher D. W. Jones**, of Union, New Jersey, **Donald W. Murphy**, of Somerset, New Jersey, **John A. Rogers**, of New Providence, New Jersey, and **Jennifer Tate**, of Columbus, Ohio, and **Richard E. Slusher**, of Lebanon, New Jersey, hereby sell, assign and transfer to **Lucent Technologies Inc.**, a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **A METHOD FOR FABRICATING A THIN-FILM TRANSISTOR** the specification of which is filed herewith as a United States Patent Application, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid. and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

**Express Mail Label No. : EJ622913726US**

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

*Christopher D. W. Jones*

\_\_\_\_\_  
Christopher D. W. Jones

Date: May 19 2000

State of New Jersey ss.:  
County of Union

On this 19<sup>th</sup> day of May, 2000, before me personally came **Christopher D. W. Jones**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Sheila R. Cole*  
\_\_\_\_\_  
Notary Public

**SHEILA R. COLE**  
**A NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 10/18/2000**

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

*Donald W. Murphy*  
\_\_\_\_\_  
Donald W. Murphy

Date: May 17, 2000

State of New Jersey )  
County of Union ) ss.:

On this 17<sup>th</sup> day of May, 2000, before me personally came **Donald W. Murphy**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Angelina Cagliostro*  
\_\_\_\_\_  
Notary Public

**ANGELINA CAGLIOSTRO**  
**NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 1/21/2004**

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

John A. Rogers  
John A. Rogers

Date: 5/17/00

State of New Jersey ) ss.:  
County of Union )

On this 17<sup>th</sup> day of May, 2000, before me personally came **John A. Rogers**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro  
Notary Public  
**ANGELINA CAGLIOSTRO**  
**A NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 1/21/2004**

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Jennifer Tate  
Jennifer Tate

Date: 5/24/00

State of OHIO )  
County of FRANKLIN ) ss.:

On this 24 day of May, 2000, before me personally came **Jennifer Tate**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Janet H. Larson  
Notary Public



JANET H. LARSON  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES 01/23/2005

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

*Richard E. Slusher*

**Richard E. Slusher**

Date: 05/19/00

State of N.J. )  
County of Union ) ss.:

On this 19th day of May, 2000, before me personally came **Richard E. Slusher**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Jacqueline J. Moskowitz*  
Notary Public

**JACQUELINE J. MOSKOWITZ**  
**NOTARY PUBLIC OF NEW JERSEY**  
My Commission Expires 01/22/2003