

FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

06-27-2000



101390215

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

6-9-00

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

New



Resubmission (Non-Recordation)

Document ID#



Correction of PTO Error

Reel #

Frame #



Corrective Document

Reel #

Frame #

**Conveyance Type**

Assignment



License



Merger



Security Agreement



Change of Name



Other

**U.S. Government**

(For Use ONLY by U.S. Government Agencies)



Departmental File



Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1)

OmniGlow Corporation

Name (line 2)

Execution Date  
Month Day Year

6 7 00

**Second Party**

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1)

HSBC Bank USA, as Agent

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1)

140 Broadway

Address (line 2)

Attn: Leveraged Capital Group

Address (line 3)

New York

New York

10005

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Stephen Prostor

Address (line 1)

HSBC Bank USA

Address (line 2)

140 Broadway

Address (line 3)

New York, New York 10005

Address (line 4)

Attn: Leveraged Capital Group

06/26/2000 ASCOTT 00000212 09305628

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1400.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 010871 FRAME: 0063

**Correspondent Name and Address**

Area Code and Telephone Number (212) 658-2981

Name HSBC Bank USA

Address (line 1) 140 Broadway

Address (line 2) New York, New York 10005

Address (line 3) Attn: Leveraged Capital Group

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Application Number(s) or Patent Number(s)**

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/305,628

09/135,472

09/252,301

09/271,019

09/306,257

09/431,813

**Patent Number(s)**

5980055

5931383

4678608

4717511

4814949

D330602

D331889

5067051

5488544

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 35

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 1,400.00

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

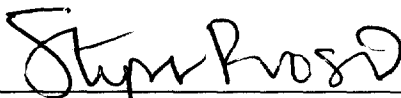
No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Stephen Prostor

Name of Person Signing



Signature

6/7/00

Date

**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)	<input type="text"/>	Execution Date Month Day Year	<input type="text"/>
Name (line 2)	<input type="text"/>		
Name (line 1)	<input type="text"/>	Execution Date Month Day Year	<input type="text"/>
Name (line 2)	<input type="text"/>		
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Name (line 2)	<input type="text"/>		

**Receiving Party(ies)**

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Enter additional Receiving Party(ies)

Name (line 1)	<input type="text"/>	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)		
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Address (line 1)	<input type="text"/>			
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**Application Number(s) or Patent Number(s)**

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

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**Patent Number(s)**

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<input type="text" value="D336031"/>	<input type="text" value="5213405"/>	<input type="text" value="5566679"/>
<input type="text" value="5043851"/>	<input type="text" value="RE35007"/>	<input type="text" value="5348690"/>
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RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

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Patent and Trademark Office  
PATENT

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Patent Application Number(s)

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Patent Number(s)

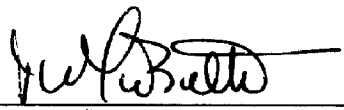
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5237448	5315491	<input type="text"/>
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# NOTICE OF SECURITY INTEREST

Notice is hereby given that OMNIGLOW CORPORATION, a Delaware corporation with an address at 96 Windsor Street, West Springfield, Massachusetts 01089 ("Debtor") has granted a security interest to HSBC Bank USA, with its principal place of business located at 140 Broadway, New York, New York 10005-1180, as agent ("Agent"), in and to all of Debtor's patents, including, without limitation, the patents listed on Exhibit A and the applications and registrations associated therewith, pursuant to an agreement between Debtor and Agent dated of even date herewith and as the same may be amended or supplemented from time to time.

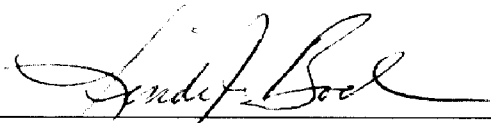
Dated: as of June 7, 2000

OMNIGLOW CORPORATION

By   
Name: P.W. LaBatte  
Title: Treasurer

STATE OF NEW YORK                    )  
  )SS.:  
COUNTY OF NEW YORK                )

On the 7<sup>th</sup> day of June, 2000 before me, the undersigned, a notary public in and for said state, personally appeared P.W. LaBatte, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that (s)he executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

  
Notary Public

LINDA J. BOEHM  
Notary Public, State of New York  
No. 01804750467  
Qualified in Westchester County  
My Commission Expires Oct. 31, 2001

NYC Doc # 219085.1\Pvo \00903\00872

PATENT  
REEL: 010871 FRAME: 0067

**Exhibit A**

**PATENTS**

<b>PATENT</b>	<b>PATENT NUMBER</b>	<b>DATE OF PATENT</b>
Chemiluminescent devices having integral light shields	5980055	11/9/99
Self-illuminated drinking straw	5931383	8/3/99
Chemiluminescent composition	4678608	7/7/87
Chemiluminescent composition	4717511	1/5/88
Chemiluminescent Device	4814949	3/21/89
Chemiluminescent Signal for Attachment to the Arm	D330602	10/27/92
Chemiluminescent Light Stick	D331889	12/22/92
Chemiluminescent Lighting Element	5067051	11/19/91
Chemiluminescent Lighting Element	5488544	1/30/96
Chemiluminescent Lighting Element	5552968	9/3/96
Chemiluminescent Solution Based on Substituted Anthracens	5232635	8/3/93
Chemiluminescent Solution Based on Substituted Perylene	5122306	6/16/92
Divider Clamp Assembly	5097568	3/24/92
Emergency Light Fixture	5446629	8/29/95
Emergency Lighting Device	4972300	11/20/90
Hook for a Light Stick	D336031	6/1/93
Lightstick with Line Attachment Means	5213405	5/25/93
Methods for Managing the Reproductive Status of an Animal Using Heat Mount Detectors	5566679	10/22/96

NYC Doc # 219085.1\Pvo \00903\00872

<b>PATENT</b>	<b>PATENT NUMBER</b>	<b>DATE OF PATENT</b>
Polygonal Chemiluminescent Lighting Device	5043851	8/27/91
Preparation of Chemiluminescent Vinyl Halide or Vinylidene Halide Polymer Structures	RE35007	8/1/95
Preparation of Chemiluminescent Vinyl Halide or Vinylidene Halide Polymer Structures	5348690	9/20/94
Process for Preparing Esters of 3,5,6 - Trichlorosalicylic Acid	5194666	3/16/93
Process for Preparing 3,5,6-Trichlorosalicylic Acid and Esters Thereof	4308395	12/29/81
Solid, Thin Chemiluminescent Device	RE 35132	12/26/95
Vented, Flexible, Thin Chemiluminescent Device	5226710	7/13/93
Material with Enhanced Visibility Characteristics	5243457	9/7/93
Layered Reflecting and Luminous Material	5300783	4/5/94
Visibility Enhancing Material	5237448	8/17/93
Reflecting and Luminous Layered Material	5315491	5/24/94

APPLICATIONS

<b>PATENT</b>	<b>APPLICATION NUMBER</b>	<b>DATE OF APPLICATION</b>
Shaped Chemiluminescent Lights	09/305,628	05/05/99
Chemiluminescent Packaging	09/135,472	08/17/98
Glittering Chemiluminescent Devices	09/252,301	02/18/99
High Output Chemiluminescent Light Formulations	09/271,019	03/17/99
Device for Detecting Heat in Livestock	09/306,257	05/06/99
Variable Chemiluminescent Process and Product	09/431,813	11/02/99

NYC Doc # 219085.1\Pvo \00903\00872

**RECORDED: 06/09/2000**

**PATENT**  
**REEL: 010871 FRAME: 0070**