06-29-2000

# Practitioner's Docket No. U 012642-4

101391957

**PATENT** 

01 FC:581

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Box Assignments Commissioner of Patents and Trademarks** Washington, D.C. 20231



"Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

# ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

#### CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## **MAILING**

deposited with the United States Postal Service in an envelope addressed to the Assistant Comm Patents, Washington, D.C. 20231.			lressed to the Assistant Commissi	oner for		
		37 C.F.R. § 1.8(a	)		37 C.F.R. § 1.10*	
×	with suf	ficient postage as fire	st class mail.		as "Express Mail Post Office of Mailing Label No.	
			TRANSM	ISSION		
	transmit	ted by facsimile to th	ne Patent and Trademark (	Office. Signat	gan bonger	
Date:	JUNE	1, 2000			Regina Loughran r print name of person certifying)	)
*WARN Jallah2 (		placed thereon pric "Since the filing of oversight that can	or to mailing. 37 C.F.R. § correspondence under § be avoided by the exercise	1.10(b). 1.10 withou e of reasona	e number of the "Express Mail" n t the Express Mail mailing label t ble care, requests for waiver of th , 60 Fed. Reg. 56,439, at 56,442.	thereon is an
		40.00.00		(Assignmen	nt (Document) Cover Sheet—pag	e 1 of 6) <b>16-6</b>

# IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

	NOTE.	See 37 C.F.	R. §3.21.						
	NOTE:	§ 3.21 does 67).	not apply to docu	ments other than a	ssignment. Ì	Notice of June 24, 1992 (1140 O.G. 63-72 at			
1.	This as	This assignment is for the following patent application or issued patent:							
	NOTE:	Do NOT en	ter both applicatio	on AND patent nun	nber.				
Provisi	ional app	olication:	09/520,065		filed on filed on	March 7, 2000			
	-	pplication:	PCI		т 1				
Patent	No:	(1-4	Issued:						
		(complete if applicable) which was previously assigned and recorded							
		Date		Reel _					
	NOTE:	subsequent identify the so that there application provisional	to, the execution of patent application e can be no mistal under § 1.53(c) is application by na	of the patent applic in by its date of exec ke as to the patent of s executed before the	ation, but be cution, name application i ie provisione r and title o	e) is executed concurrently with, or efore the patent application is filed, it must to of each inventor, and title of the invention intended. If an assignment of a provisional all application is filed, it must identify the f the invention so that there can be no 1. § 3.21.			
			(also comp	lete the followi	ng, if appl	icable)			
[]	and als	shown on		Vor patents st of FURTHEI or PATENT(S)	<del>-</del>	ASSIGNED			
						Number of pages added			

NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

#### TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS AND TOTAL FEE

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. §3.41.

(Assignment (Document) Cover Sheet—page 2 of 6) 16-6

2.	A.	The total number of applications and/or patents identified in this cover sheet is (1) ONE			
	B.	The total fee is (37 C.F.R. § 1.21(h)):			
		ONE (1) $x $40.00 = $ 40.00$ Total number of applications			
		and/or patents			
	C.	Payment of fee is made by:			
		[x] the attached check for \$ 40.00.			
		[ ] Please charge Account the sum of \$			
		A duplicate of this cover sheet is attached.			
	Dlagge				
	Please	charge Account <u>12-0425</u> for any fee deficiency or credit to account any overpayment.			
		NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))			
		(37 C.P.R. § 3.31(a)(1))			
	NOTE	"The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.			
3.	The pa	rty(ies) conveying interest is (are):			
	Name 1: Paal HAUGSETH				
		2: Helge SIMONSEN			
	Name 3	3:			
	I	NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST			
		(37 C.F.R. § 3.31 (a)(2))			
4.	The rig	ghts are being conveyed to:			
	<b>e</b>	Name: <u>DOLPHIN INTERCONNECT SOLUTIONS AS</u>			
		Address: N-0621 OSLO			
		NORWAY			
		DESCRIPTION OF INTEREST CONVEYED OR			
		TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))			
5.	The acc	companying document intends to accomplish:			
	[x] an assignment.				
	[ ] a security agreement.				
		[ ] a merger. [ ] a license.			
		a change of name.			
		[ ] a change of address.			
		[ ] other:			
		NAME AND ADDRESS OF PARTY TO WHOM			
	C	ORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))			

(Assignment (Document) Cover Sheet—page 3 of 6) 16-6

	Name:	Regina Loughran
	Address:	c/o Ladas & Parry
		26 West 61st Street
		New York, New York 10023
	Telephone N	o.: (212) 708-1802
	DATE ASSIC	NMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))
7. <b>A</b> .	[x] The attached assignment	(document) was executed on May 4, 2000 (both inventors).  Date
OR	If there is more than one conveying assignment document for each conv	party who executed on different dates, indicate the date of execution of the cying party.
В.	[ ] The attached assignment	was executed by Name
	on	Name
	on Date	
	[ ] The attached assignment	
		Name
	on Date	
	[ ] The attached assignment	was executed by
		Name
	on Date	
	[ ] The attached assignment	was executed by Name
	on Date	1.4110
	[ ] The attached assignment	was executed by Name
	on Date	INATIRE

Please address correspondence to:

6.

(Assignment (Document) Cover Sheet—page 4 of 6) 16-6

# LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8.	[x]	"The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.  ached document: is in the English language. is not in the English language and an English translation signed by the individual making the translation is attached.
		ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED
	NOTE:	See 37 C.F.R. § 3.24.
9.	[x]	ted herewith is: the original document. a true copy of the original document, which I certify to be a true copy.
	NOTE:	"If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.
	NOTE:	"Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." $M.P.E.P.$ , 6th ed., § 302.01.
		ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS
		(check item, if applicable)
10.	the	cause the purpose of the attached documents is to record a change of address of the assignee, particulars of the previously recorded assignments for each application and/or patent are wn.
		ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME
		(check item, if applicable)
11.	[ ] Bec	cause the purpose of the attached documents is to record a change of name of the assignee, particulars of the previously recorded assignments for each application and/or patent are wn.

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#### CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. [ ]	A change of address to which correspondence is to be sent regarding patent maintenance fees
	is being sent to the Office separately.

STATEMENT (37 C.F.R. § 3.31(a)(9)) AND SIGNATURE (37 C.F.R. § 3.31(a)(10))				
13. To the best of my knowledge and belief, attached copy is a true copy of the original	the foregoing information is true and correct and any l document.			
recorded, that person's attorney or registe	3.31] means the person whose name appears on the documents to be red agent, or a corporate officer where a corporation's name appears 12, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.			
Date: June 1, 2000	Name of party submitting document  Signature of party submitting document  (complete the following, if the party submitting the document is applicant's attorney)			
Reg. No.: 30958  Tel. No.: (212) 708-1802  Customer No.:	Regina Loughran  SIGNATURE OF PRACTITIONER  c/o Ladas & Parry  26 West 61st Street  (type or print name of practitioner)  New York, New York 10023  P.O. Address			

#### TOTAL NUMBER OF PAGES BEING SUBMITTED

14.	The total number of pages being submitted, including cover sheet attachment(s), and documents
	are:

Nine (9)

Total number of pages submitted

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<b>Practitioner's</b>	Docket No.	U 012642-4

PA	7	$\boldsymbol{E}$	N	T



For:	[ ] U.S. and/or [ ] Foreign Rights
For:	[x] U.S. Application or
	[ ] U.S. Provisional Application
For:	[ ] U.S. Patent
For:	[ ] PCT Application
By:	Multiple Inventors

### ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):		
Paal HAUGSETH	Steinsoppveien 33, N-1476	Norwegian
(type or print name of inventors)	Address Rasta, NORWAY	Nationality
Helge SIMONSEN	Thorleifs allè 3B, N-0489	Norwegian
	Oslo, NORWAY	
hereby sells, assigns and transfers to ASSIGNEE		
Dolphin Interconnect Solutions AS	P.O. Box 70 Bogerud	
(type or print name of ASSIGNEE)	Address	
Norwegian	N-0621 Oslo, NORWAY	
Nationality		

(Assignment of Invention—Multiple Inventors—page 1 of 3) 16-3.2

1 .1	•			A COLOR TEST
and the currectors	accione a	and least	representatives at the	ACCITANIES
and the successors.	, assiziis c	mu icgai	representatives of the	TOSTOTIE

(complete one of the following)

[ ] an undi	ivided	tle and interest percent (%) interest
for the Unit	ted States	and its territorial possessions
		(check the following box, if foreign rights are also to be assigned)
in and to an	ny and all i	countries, including all rights to claim priority, mprovements which are disclosed in the invention entitled: WORK CONTROLLER
Name of in	ventor(s) <u>I</u>	Paal HAUGSETH; Helge SIMONSEN
		(check and complete (a), (b), (c), (d), (e), (f) or (g))
and which i	is found in	(37 C.F.R. § 3.21)
(a)	[]	U.S. patent application executed on even date herewith
(b)	[]	U.S. patent application executed on
(c)	[]	U.S. provisional application naming the above inventor(s) for the above-
		entitled invention.
		[ ] Express mail label no.: Mailed:
	[]	Mailed:
	ΓJ	ASSIGNOR signing below, hereby authorize and request my attorney to
		insert below the filing date and application number when they become
		known.
(d)	[x]	U.S. application no. <u>09/520,065</u>
		filed on March 7, 2000
(e)	[ ]	International application no. PCT///
<b>(f)</b>	[]	U.S. patent no issued
		[ ] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
		(also check (g), if foreign application(s) is also being assigned)
(g)	[ ]	and any legal equivalent thereof in a foreign country, including the right to claim priority
and, in and	to, all Lett	ers Patent to be obtained for said invention by the above application or any

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or reexamination thereof.

(Assignment of Invention—Multiple Inventors—page 2 of 3) 16-3.2

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

1.

Paal Haugseth	(x) Pal Daugeth	this $(X)$ $\frac{4/5}{5}$ - $2 \circ c$ date of signing
(type name of Inventor)	Signature of INVENTOR	date of signing
Helge Simonsen	(X) Held Sumon Signature of INVENTOR	this (X) 4/5 > 2000
(type name of Inventor)	Signature of INVENTOR	date of signing
		this
(type name of Inventor)	Signature of INVENTOR	this date of signing
		this
(type name of Inventor)	Signature of INVENTOR	date of signing
		this
(type name of Inventor)	Signature of INVENTOR	this date of signing
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		this
(type name of Inventor)	Signature of INVENTOR	date of signing
		this
(type name of Inventor)	Signature of INVENTOR	date of signing

[ ] Notarization or Legalization Page Added.

RECORDED: 06/05/2000

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2