

06-29-2000



101392615

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Assistant Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Eugene Y. Chen
William J. Kruper, Jr.
Peter N. Nickias
David R. Wilson

6-12-00

2. Name and address of receiving party(ies):

THE DOW CHEMICAL COMPANY
2030 Dow Center
Midland, Michigan 48674
UNITED STATES OF AMERICA

☐ Additional name(s) of conveying party(ies) is attached.

☐ Additional name(s) & address(es) are attached.

3. Nature of conveyance:

x Assignment

☐ Security Agreement

☐ Other _____

☐ Merger

☐ Change of Name

Execution Date(s) June 14, July 6, June 14, & July 6, 1999 Effective as of June 11, 1999

4. Application Number(s) or Patent Number(s):

09/330,672 Filed June 11, 1999

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Application Number(s) or Patent Number(s) are attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Graham E. Taylor
The Dow Chemical Company
Intellectual Property
P. O. Box 1967
Midland, Michigan 48641-1967
United States of America

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed

☒ Please deduct the above fee from our Deposit Account

8. **Deposit Account 04-1512.** If this estimate is incorrect, please debit or credit our account accordingly.

/2000 ASST 00000290 041512 09330672

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: June 8, 2000

44,521A US

Heather Terry
Administrative Patent Assistant
(517) 636-0903

Total number of pages including attachments: 4

PATENT
REEL: 010875 FRAME: 0400

INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (WITH PRIORITY RIGHTS)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled:

ANSA GROUP 4 METAL BIS (μ -SUBSTITUTED) ALUMINUM COMPLEXES

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

THE DOW CHEMICAL COMPANY
2030 Dow Center
Midland, Michigan 48674
United States of America

a corporation organized and existing under the laws of **the State of DELAWARE, U.S.A.**

(hereinafter referred to as the "Assignee");

my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the

Application No. 09/330672 Filing Date June 11, 1999
in country the United States of America


of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request; and I/we do hereby authorize Assignee or its designee (or nominee(s)) to insert here any corresponding equivalent

Application No. _____ Filing Date _____
in country _____

to the above Priority application of any country where that country's patent property must be identified and entered hereinabove for recordal of this Assignment. I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: **MIDLAND, MICHIGAN 48674 USA**
 this 14th day of June 1999
 Effective as of June 11, 1999

Signature: 
 Full Name: **Eugene Y. Chen**
 Residence: **2101 Eastlawn Drive, Apt. 9**
Midland, Michigan 48642
 Country: **United States of America**
 Citizenship: **China/United States of America**
 P. O. Address: **Same as Residence**
 Employed By: **The Dow Chemical Company**
 Of Country: **United States of America**

At: **MIDLAND, MICHIGAN 48674 USA**
this 6th day of July 1999
Effective as of June 11, 1999

Signature: William J. Kruper, Jr.
Full Name: **William J. Kruper, Jr.**
Residence: **230 Barden Road**
Sanford, Michigan 48657
Country: **United States of America**
Citizenship: **United States of America**
P. O. Address: **Same as Residence**
Employed By: **The Dow Chemical Company**
Of Country: **United States of America**

At: MIDLAND, MICHIGAN 48674 USA
this 14 day of June 19 99
Effective as of June 11, 1999

Signature: Peter N. Nickias
Full Name: **Peter N. Nickias**
Residence: **2705 Mt. Vernon Drive**
Midland, Michigan 48642
Country: **United States of America**
Citizenship: **United States of America**
P. O. Address: **Same as Residence**
Employed By: **The Dow Chemical Company**
Of Country: **United States of America**

At: **MIDLAND, MICHIGAN 48674 USA**
this 6th day of July 1999
Effective as of June 11, 1999

Signature: David R. Wilson
Full Name: **David R. Wilson**
Residence: **1220 West Stewart Road
Midland, Michigan 48640**
Country: **United States of America**
Citizenship: **United States of America**
P. O. Address: **Same as Residence**
Employed By: **The Dow Chemical Company**
Of Country: **United States of America**

☐ Additional names and signatures are attached.

PATENT
REEL: 010875 FRAME: 0401

Docket Ref. **44521A** css

UNITED STATES OF AMERICA)
STATE OF MICHIGAN) SS
County/Parish of Midland)

Peter N. Nickias

SEAL

Notary Public

**CARLA S. SAMUEL
NOTARY PUBLIC, BAY COUNTY, MICHIGAN
ACTING IN MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES OCTOBER 10, 2000**

UNITED STATES OF AMERICA)
STATE OF MICHIGAN) SS
County/Parish of Midland)

David R. Wilson

SEAL

Notary Public

**CARLA S. SAMUEL
NOTARY PUBLIC, BAY COUNTY, MICHIGAN
ACTING IN MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES OCTOBER 10, 2000**

PATENT
REEL: 010875 FRAME: 0402

Docket Ref. **44521A** **css**

PATENT
REEL: 010875 FRAME: 0403