

06-29-2000

09/554159



PCT/PTO 09 MAY 2000

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Practitioner's Docket No. 1

CHAPTER II

IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/ES98/00310

13 NOVEMBER 1998

14 NOVEMBER 1997

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

WHITENING ANTI-PLAQUE AND ANTI-TARTAR LOW ABRASION TOOTHPASTE

TITLE OF INVENTION

Maria ALVAREZ HERNANDEZ

APPLICANT(S)

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTENTION: EO/US

COVER SHEET FOR ASSIGNMENT
IN THE UNITED STATES ELECTED OFFICE (EO/US)
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371

IDENTIFICATION OF APPLICATION

(37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application to which the attached assignment (document) refers, is identified as follows:

- a. Date of execution: May 3, 2000
b. Name of each inventor: 1: Maria ALVAREZ HERNANDEZ
c. Title of invention: WHITENING ANTI-PLAQUE AND ANTI-TARTAR LOW ABRASION TOOTHPASTE

Handwritten: 09/554159

NOTE: See 37 CFR 3.21.

CERTIFICATION UNDER 37 C.F.R. 1.10\*

(Express Mail label number is mandatory.)

(Express Mail certification is optional.)

I hereby certify that this Cover Sheet for Assignment (Document) Accompanying international Application Entering National Phase, along with any document referred to, is being deposited with the United States Postal Service on this date May 9, 2000, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL386268948US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer Rashkin

(type or print name of person mailing paper)

Handwritten signature of Jennifer Rashkin

Signature of person mailing paper

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

WARNING:

Each paper of fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment In the United States Elected Office (EO/US) for international Application Entering National Stage under 35 USC 371--page 1 of 5) 13-21

EL3.86268948US

PATENT

REEL: 010876 FRAME: 0079

**NAME OF PARTY(IES) CONVEYING INTEREST**  
(37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Maria ALVAREZ HERNANDEZ

Name 2: \_\_\_\_\_

Name 3: \_\_\_\_\_

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**  
(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: BIOCOSMETICS, S.L.

Address: Arcos de la Frontera 15

28033 Madrid, SPAIN

**DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED**  
(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

an assignment.

a security agreement.

a license.

a merger.

a change of name.

a change of address.

other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE  
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: William R. Evans  
Address: c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, New York 10023  
Telephone No.: (212) 708- 1930

**DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))**

6. A.  The attached assignment (document) was executed on May 3, 2000  
Date

OR *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*

B.  The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

The attached assignment was executed by \_\_\_\_\_  
Name  
on \_\_\_\_\_ .  
Date

## LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

*NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation.. 37 CFR 3.26.*

The attached document:

is in the English language.

is not in the English language. A verified English translation signed by the individual making the translation is attached.

## ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

*NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 CFR 3.24.*

7. Submitted herewith is:

the original document.

a true copy of the original document.

*NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R § 3.24] by providing a tie copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76 at 67.*

## NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

Attached is a check for \$40.00.

Please charge Account \_\_\_\_\_ \$40.00.

A duplicate of this cover sheet is attached.

**STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31(a)(10))**

9. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

*NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document" Notice of June 24, 1992, 1140 O.G. 63-76, at 65.*

Date: May 9, 2000

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
**Signature of party submitting document**

*(complete the following, if the party submitting the document is applicant's attorney)*

\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Reg. No. 25,858

  
\_\_\_\_\_  
**William R. Evans**  
*(type or print name of practitioner)*

Tel. No.: (212) 708-1930

\_\_\_\_\_  
P.O. Address

Customer No.

\_\_\_\_\_  
c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

9  
Total number of pages submitted

For:  U.S. and/or  Foreign Rights  
 For:  U.S. Application or  
        U.S. Provisional Application  
 For:  U.S. Patent  
 For:  PCT Application  
 By: Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**

Maria ALVAREZ HERNANDEZ  
 (type or print name of inventors)

SPAIN

Address	Nationality
<u>Arcos de la Frontera 15</u>	
<u>28033 Madrid, Spain</u>	

hereby sells, assigns and transfers to  
ASSIGNEE

BIOCOSMETICS, S.L.  
 (type or print name of ASSIGNEE)

Arcos de la Frontera 15

Address  
28033 Madrid, Spain

SPAIN  
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

the entire right, title and interest

an undivided \_\_\_\_\_ percent (\_\_\_\_\_ %) interest  
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:

\_\_\_\_\_  
Name of inventor(s)

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a)  U.S. patent application executed on even date herewith
- (b)  U.S. patent application executed on \_\_\_\_\_
- (c)  U.S. provisional application naming the above inventor(s) for the above-entitled invention.  
 Express mail label no.: \_\_\_\_\_  
Mailed: \_\_\_\_\_
- To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d)  U.S. application no. \_\_\_\_\_  
filed on \_\_\_\_\_
- (e)  International application no. PCT/ ES98 / 00310
- (f)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
 A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

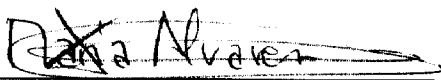
and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

<u>Maria ALVAREZ HERNANDEZ</u> (type name of Inventor)	 Signature of INVENTOR	this <u>3 May 2000</u> date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing
_____ (type name of Inventor)	_____ Signature of INVENTOR	this _____ date of signing

[ ] Notarization or Legalization Page Added.

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.