Form PTO-1619A (Modified)

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U.S. Department of Commerce Patent and Trademark Office PATENT

## RECORDATION FORM COVER SHEET PATENTS ONLY

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| New                                                                                                                          | ■ Assignment                                                                                 | ☐ Security Agreement 은                                      |  |  |
| ☐ Resubmission (Non-Recordation)  Document ID #                                                                              | □ License                                                                                    | ☐ Change of Name                                            |  |  |
| □ Correction of PTO Error Reel # Frame #                                                                                     | □ Merger                                                                                     | □ Other □ 365/6                                             |  |  |
| ☐ Corrective Document Reel # Frame #                                                                                         |                                                                                              | j                                                           |  |  |
| Attorney Docket NoCPTI.P0001_                                                                                                |                                                                                              |                                                             |  |  |
| Conveying Party (ies)                                                                                                        |                                                                                              |                                                             |  |  |
| ( realise ( repairs) ( regain, ma                                                                                            | 59584/                                                                                       | 06082000                                                    |  |  |
| Name (2 <sup>nd</sup> party) Kraskin, Mitchel                                                                                |                                                                                              | 05242000                                                    |  |  |
| Name (2 <sup>nd</sup> party)  Name (3 <sup>rd</sup> party)  Kraskin, Mitchel  Kanevskiy, Boris  Name (4 <sup>th</sup> party) |                                                                                              | 05252000                                                    |  |  |
|                                                                                                                              |                                                                                              |                                                             |  |  |
| Receiving Party                                                                                                              | nal names of receiving parties attached                                                      |                                                             |  |  |
| Name Compliance Tools, Inc.                                                                                                  |                                                                                              | ☐ If document to be recorded is                             |  |  |
| Name A New Jersey Corporation                                                                                                | A New Jersey Corporation an assignment and the receiving party is not domiciled in the U.S., |                                                             |  |  |
| Address 240 5th Avenue, Suite 300                                                                                            |                                                                                              | an appointment of a domestic                                |  |  |
| Address                                                                                                                      |                                                                                              | representative is attached. (Designation must be a separate |  |  |
| City, State, Zip New York, NY 10001                                                                                          |                                                                                              | document from Assignment)                                   |  |  |
| Domestic Representative (Complete only if receiving party is not domiciled in the United States)                             |                                                                                              |                                                             |  |  |
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PATENT REEL: 010882 FRAME: 0199

| Form PTO-1619B RECORDATION FORM COVER SHEET U.S. Department of Commerce                                                                                                                                  |                                                                                                |            |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------|--|--|
| (Modified)                                                                                                                                                                                               | Page 2 Patent and Trademark C                                                                  |            |  |  |
|                                                                                                                                                                                                          | PATENT                                                                                         |            |  |  |
| Correspond                                                                                                                                                                                               | dent Name and Address                                                                          | 41         |  |  |
| Name:                                                                                                                                                                                                    | John Stattler                                                                                  | 28.        |  |  |
| Address:                                                                                                                                                                                                 | Stattler Johansen & Adeli LLP                                                                  | 22.5       |  |  |
|                                                                                                                                                                                                          | P.O. Box 51860 Area Code and Telephone Number: (650) 934-047                                   |            |  |  |
|                                                                                                                                                                                                          | Palo Alto, California 94043-0728 Area Code and Fax Number: (650) 934-047                       | 2 =        |  |  |
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|                                                                                                                                                                                                          | Number(s) or Patent Number(s)   Mark if additional numbers attached                            |            |  |  |
| Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property.)  Patent Application Number(s)  Patent Number(s)                                       |                                                                                                |            |  |  |
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| If this document is being filed together with a new Patent Application, enter the date the patent Application was signed by the first named executing inventor. <a href="MMDDYYYY">MMDDYYYY</a> 06082000 |                                                                                                |            |  |  |
| Patent Cooperation Treaty (PCT)                                                                                                                                                                          |                                                                                                |            |  |  |
|                                                                                                                                                                                                          |                                                                                                |            |  |  |
| Enter PCT application number(s) only if a U.S.                                                                                                                                                           |                                                                                                |            |  |  |
| Application Number has not been assigned.                                                                                                                                                                |                                                                                                |            |  |  |
|                                                                                                                                                                                                          |                                                                                                |            |  |  |
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| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is                                                                                           |                                                                                                |            |  |  |
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|                                                                                                                                                                                                          | N to the last                                                                                  | $\wedge$   |  |  |
| John St                                                                                                                                                                                                  |                                                                                                | $\bigcirc$ |  |  |
| Name o                                                                                                                                                                                                   | of Person Signing Signature Date                                                               | _          |  |  |

**PATENT** 

**REEL: 010882 FRAME: 0200** 

## JOINT INVENTORS TO CORPORATION ASSIGNMENT

WHEREAS, the undersigned Inventors:

- (1) Ilya Kogan, a resident of Union City, New Jersey;
- (2) <u>Mitchel Kraskin</u>, a resident of <u>Rye</u>, <u>New York</u>; and
- (3) Boris Kanevskiy, a resident of Brooklyn, New York

have invented certain new and useful improvements in:

## A RULE COMPLIANCE SYSTEM AND A RULE DEFINITION LANGUAGE

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature.

WHEREAS Compliance Tools, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at New York, State of New York, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest

Page 1

herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefore and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives, and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

Date of Execution of Declaration for Patent Application:

Ilya Kogan

Mitchel Kraskin

Boris Kanevskiy

acceed

**RECORDED: 06/16/2000**