

07-05-2000

Patent Case No. 19945

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

101395289

ET

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:  
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

## 1. Name(s) of Conveying party(ies):

Robert K. Baker, Jianming Bao, Frank Kayser, Shouwu Miao, William H. Parsons, and Kathleen M. Rupprecht

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the date appearing below.

MERCK &amp; CO., INC.

By J. Antonio Garcia-Rivas Date 5/25/00

## 3. Name and address of receiving party(ies):

Name: MERCK &amp; Co., INC.

Internal Address: RY60-30

Street Address: P.O. Box 2000

City &amp; State: RAHWAY, NEW JERSEY

Zip/Postal Code: 07065-0907

Execution Date: Feb 18, 1998

Additional name(s) &amp; addresses attached?

☐ Yes☒ No

## 4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 09/164,906, filed on Oct 1, 1998, and titled:

TETRACYCLIC TRITERPENE DERIVATIVES WITH IMMUNOSUPPRESSANT ACTIVITY

(b) If this document is being filed together with a new application, the execution date of the application:

Additional numbers attached?

☐ Yes☒ No

## 5. Name &amp; address of party to whom correspondence concerning documents should be mailed:

Name: J. Antonio Garcia-Rivas

Internal Address: PATENT DEPARTMENT

MERCK &amp; CO., INC.

P.O. BOX 2000 -- RY60-30

City &amp; State: RAHWAY, N.J. Zip: 07065-0907

## 6. Total no. of applications &amp; patents involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ The Commissioner is hereby authorized to charge deposit account number 13-2755 for any fees which may be required or to credit any overpayment.

07/03/2000 DNGUYEN 00000236 132755 09164906

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## 8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Antonio Garcia-Rivas Reg. No. 42,567

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Do Not Detach This Portion

Mail documents to be recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

ASSIGNMENT AND AGREEMENT

For value received, we, Robert K. Baker, Jianming Bao, Frank Kayser, Shouwu Miao, William H. Parsons and Kathleen M. Rupprecht

of 92 Kenilworth Boulevard, Cranford, New Jersey 07016;  
2299 Stocker Lane, Scotch Plains, New Jersey 07076;  
935 Park Avenue - 5S, Hoboken, New Jersey 07030;  
295 Prestwick Way, Edison, New Jersey 08820;  
29 Grayson Drive, Belle Mead, New Jersey 08502; and  
710 Springfield Avenue, Cranford, New Jersey 07016

hereby sell, assign and transfer to MERCK & CO., Inc., a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to  
Tetracyclic Triterpene Derivatives With Immunosuppressant Activity

described in an application for Letters Patent of the United States, executed by each of us on even date herewith, or executed on the date shown in the Declaration and Power of Attorney relating to said application, and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property and related treaties, under any and all Letters Patents which may be granted in any foreign country, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

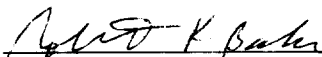
We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, Valerie J. Camara or an attorney with Power of Attorney in this application, of the said MERCK & CO., Inc., to insert **Serial No.**, and **Filing Date** of said application(s) when known.

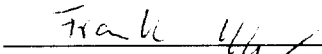
Signed at Rahway, New Jersey

this 19<sup>th</sup> day of February, 1998.




Robert K. Baker

  
Jianming Bao

  
Frank Kayser

Rev. 8/19/96

  
Shouwu Miao

  
William H. Parsons

  
Kathleen M. Rupprecht

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ASSIGNMENT AND AGREEMENT

Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

STATE OF NEW JERSEY }  
County of Union } SS.

Personally appeared before me the above-named Robert K. Baker Jianming Bao Frank Kayser Shouwu Miao  
William H. Parsons Kathleen M. Rupprecht

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their

free act and deed this 19<sup>th</sup> day of February, 1998.

Joanne Ciraco  
Notary Public

JOANNE CIRACO  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires January 20, 2000

\_\_\_\_\_  
County of \_\_\_\_\_ } SS.

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their

free act and deed this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public