

07-05-2000

Form PTO-1595 (Modified)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark A. Goldsmith
Roberto F. Speck
Robert E. Atchison
Oliver KepplerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The J. David Gladstone Institutes

Internal Address:

Street Address: P.O. Box 419100

City: San Francisco State: CA ZIP: 94141-9100

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:Execution Date: 02/07/00; 03/22/00; 03/09/00;
03/06/00

4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/470,817

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dianna L. DeVore
Street Address: BOZICEVIC, FIELD, & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025Telephone: (650) 327-3400
Facsimile: (650) 327-32316. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karl Bozicevic, Reg. No. 28,807

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet 3

ASSIGNMENT OF APPLICATION (JOINT)

Address to:
Box Assignment
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney Docket Number	GLAD-001
First Named Inventor	Mark A. Goldsmith et al.
Application Number	09/470,817
Filing Date	December 23, 1999
Title	Transgenic Rodents and Rodent . . .
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned

THIS ASSIGNMENT, by Mark A. Goldsmith, Roberto F. Speck, Robert E. Atchison and Oliver Keppler (hereinafter referred to as the assignors), residing at 4227 24th Street, San Francisco, California 94114, Ramistrasse 100, U Rae 38, Zurich CH-8091, Switzerland, 631 O'Farrell Street, #911, San Francisco, California 94109 and 1540 10th Avenue, San Francisco, California 94122 respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in TRANSGENIC RODENTS AND RODENT CELL LINES EXPRESSING HIV CO-RECEPETERS

 X for which an application for a United States Patent was filed on December 23, 1999, Application No. 09/470,817.

 for which an application for a United States Patent was executed on , and

WHEREAS, The J. David Gladstone Institutes, a non-profit organization, and having its principal place of business at P.O. Box 419100, San Francisco, California 94141-9100 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date 2/7/00 Name of Inventor Mark A. Goldsmith
Mark A. Goldsmith

Date 22 Mar 2000 Name of Inventor Roberto F. Speck
Roberto F. Speck

Date 3-9-00

Name of Inventor Robert E. Atchison
Robert E. Atchison

Date 3/6/00

Name of Inventor Oliver Keppler
Oliver Keppler

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