

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

Docket: UK9-95-060

08-22-2000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101424567

To the Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

- 1) Iain Stuart Caldwell Houston
2) Mark Brian Josephs

2. Name and address of receiving party(ies):

International Business Machines Corporation
Old Orchard Road
Armonk, New York 10504

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: November 27, 1996 and November 20, 1996

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 20, 1996 and November 27, 1996

A. Patent Application No.(s)

B. Patent No.(s)

08794912

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gates & Cooper
Address: Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
Telephone: (310) 642-4146

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2724

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George H. Gates

Name of Person Signing

Signature

February 4, 1997

Date

Total number of pages including cover sheet, attachments, and document: Three

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

Docket No: UK9-95-060

ASSIGNMENT

Whereas, we,

	(1)	Iain Stuart Caldwell Houston	, of City	Sherborne	,
		County of Dorset	,	and State of United Kingdom	.
and	(2)	Mark Brian Josephs	, of City	London	,
		County of London	,	and State of United Kingdom	.
and	(3)		, of City		,
		County of	,	and State of	.
and	(4)		, of City		,
		County of	,	and State of	.
and	(5)		, of City		,
		County of	,	and State of	.
and	(6)		, of City		,
		County of	,	and State of	.

have invented certain improvements in

OBJECT-ORIENTED DATA PROCESSING SYSTEM WITH TRANSACTIONAL ADAPTER

and executed, respectively, a United States patent application therefor on

(1) *27 November 1996*, and (2) *20 November 1996*, and (3)
 and (4) , and (5) , and (6)

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

2

Docket No: UK9-95-060

SIGNED

(1) at Winchester on 27th November 19 96

Iain Stuart Caldwell Houston
Iain Stuart Caldwell Houston

(2) at London on 20 November 19 96

M Josephs
Mark Brian Josephs

(3) at _____ on _____ 19 _____

(4) at _____ on _____ 19 _____

(5) at _____ on _____ 19 _____

(6) at _____ on _____ 19 _____

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant: Iain Stuart Caldwell Houston and Mark Brian Josephs

Title: OBJECT-ORIENTED DATA PROCESSING SYSTEM WITH TRANSACTIONAL ADAPTER

Docket: UK9-95-060

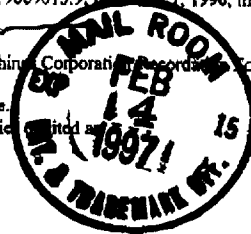
Express Mail No.: EM298805717US

Date of Deposit: February 4, 1997

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ Utility Patent Application: Spec. 29 pgs; 20 claims; Abstract 1 pgs.
- ☒ Two sheets of informal drawings
- ☒ Certified copy of a United Kingdom application, Serial No. 9609013.9, filed May 1, 1996, the right of priority of which is claimed under 35 U.S.C. 119
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to International Business Machines Corporation, Form Cover Sheet
- ☒ A check in the amount of \$770.00 to cover the Filing Fee
- ☒ A check for \$40.00 to cover the Assignment Recording Fee.
- ☒ Other: Information Disclosure Statement, Form 1449, copies attached as indicated
- ☒ Return postcard

(30571.78US01)
Patent

61305 U.S. PTO
08/794912
02/04/97



GHG/fo