

07-10-2000



101398077

UNUS #97-0457-LIP

DOCKET #F6121(C)

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE - PATENT

To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.

1. NAME OF CONVEYING PARTY(IES):

Douglas A. BALENTINE
Steven A. GOBBO
Robert HANG
Andrew D. PARRY
Christopher W. GOODSALL
Xiaoqun QI

KRD
6.7.00

2. NAME & ADDRESS OF RECEIVING PARTY(IES):

NAME: Lipton, Division of Conopco, Inc.
STREET ADDRESS: 800 Sylvan Avenue
CITY: Englewood Cliffs STATE: New Jersey ZIP: 07632

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES)
ATTACHED? YES [<>] NO [xx]

3. NATURE OF CONVEYANCE:

[xx] ASSIGNMENT [<>] SECURITY AGREEMENT [<>] MERGER [<>] CHANGE OF NAME [<>] OTHER
EXECUTION DATE : 1/6/00, 1/20/00, 1/20/00, 2/10/00, 2/10/00, 1/20/00

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/498,081 FILED February 4, 2000
IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS: <>

A. PATENT APPLICATION NO.(S) 09/498,081
ADDITIONAL NUMBERS ATTACHED? [<>] YES [xx] NO

B. PATENT NO.(S) <>
ADDITIONAL NUMBERS ATTACHED? [<>] YES [xx] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [xx] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT
[xx] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155

DO NOT USE THIS SPACE

9. STATEMENT AND SIGNATURE: TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT

JAMES J. FARRELL
ATTORNEY FOR THE APPLICANT(S)
REGISTRATION NO. 26,162

DATE

6/7/00

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 7

07/10/2000 BNGUYEN 00000032 121155 09498081 OMB NO. 0651-0011 (EXP. 4/94)

01 F0381 40.00 CH
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MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON D.C. 20231

PATENT
REEL: 010891 FRAME: 0457

ASSIGNMENT

WHEREAS, *DOUGLAS A. BALENTINE*, a citizen of The United States, residing at 569 Lockhaven Drive, River Vale, NJ 07675, and *STEVEN A. GOBBO*, a citizen of The United States, residing at 278 Grace Avenue, Secaucus, NJ 07094, and *ROBERT HANG*, a citizen of The United States, residing at 3-43 26th Street, Fairlawn, NJ 07410, and *ANDREW D. PARRY*, a citizen of The United Kingdom, residing at Colworth House, Sharnbrook, Bedford, MK 44 1LQ, U.K., and *CHRISTOPHER W. GOODSALL*, a citizen of The United Kingdom, residing at Colworth House, Sharnbrook, Bedford, MK 44 1LQ, U.K. and *XIAOQUN QI*, a citizen of The United States (as of June 18, 1999; previously a citizen of The People's Republic of China), residing at 246 Ross Avenue, Hackensack, NJ 07601, respectively, invented certain improvements in

COLD BREW TEA

(bearing Attorney's Docket Number 97-0457-P-LIP,) which is found in:

- (a) TM U.S. patent application executed on _____
- (b) Π To comply with 37 CFR 3.21 for recordal of this assignment, I/WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/498,081 filed on February 4, 2000

- (c) TM International Application No. PCT _____ / _____.

which claims the benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

60/119,102
(Application No.)

February 8, 1999
(Filing Date)

(Application No.)

(Filing Date)

3109

AND WHEREAS, **LIPTON, DIVISION OF CONOPCO, INC.**, a corporation of **NEW YORK**, having a principal place of business at **800 SYLVAN AVENUE, ENGLEWOOD CLIFFS, NEW JERSEY 07632** desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer *nunc pro tunc* as of February 8, 1999, to the aforesaid **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and from the filing of any of the provisional application(s) listed above and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **LIPTON, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **LIPTON, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said **LIPTON, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 20th day of January, 2000.

STATE OF NJ) Douglas A. Balentine (Seal)
COUNTY OF Bergen) **Douglas A. Balentine**

On this 20th day of January, 2000 before me came **Douglas A. Balentine** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Barbara C. Escher
Notary Public

BARBARA C. ESCHER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Sept. 20, 2001

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 20th day of January, 2000.

STATE OF NJ) Steven A. Gobbo (Seal)
COUNTY OF Bergen) **Steven A. Gobbo**

On this 20th day of January, 2000 before me came **Steven A. Gobbo** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Barbara C. Escher
Notary Public

BARBARA C. ESCHER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Sept. 20, 2001

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 20th day of January, 2000.

STATE OF NJ) Robert Hang (Seal)
COUNTY OF Bergen) **Robert Hang**

On this 20th day of January, 2000 before me came **Robert Hang** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Barbara C. Escher
Notary Public

BARBARA C. ESCHER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Sept. 20, 2001

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 10 day of February, 2000.

STATE OF
COUNTY OF

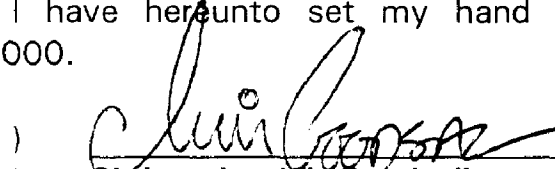
 (Seal)
Andrew D. Parry

On this day of , 2000 before me came Andrew D. Parry to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 10 day of FEBRUARY, 2000.

STATE OF
COUNTY OF

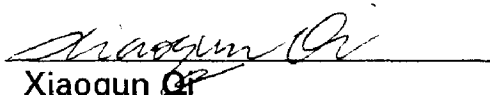
 (Seal)
Christopher W. Goodsall

On this day of , 2000 before me came Christopher W. Goodsall to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

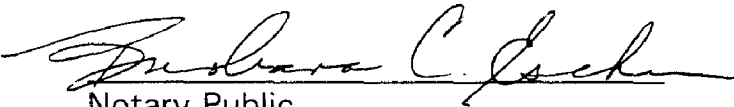
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 20th day of January, 2000.

STATE OF NJ
COUNTY OF Bergen

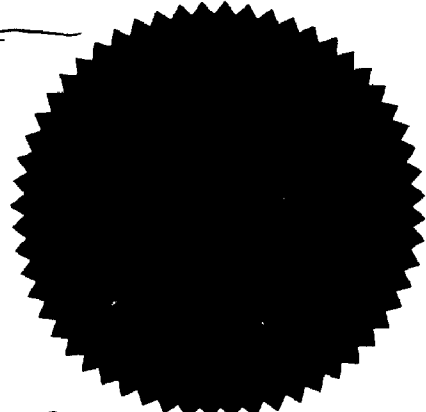
 (Seal)
Xiaoqun Qi

On this 20th day of January, 2000 before me came Xiaoqun Qi to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.


Notary Public

BARBARA C. ESCHER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Sept. 20, 2001

Seen by me William Sydney Hothey
Notary Public, FOR
LEGALISATION of the Signature/s
above of Andrew D. Parry and
Christopher W. Goodsall
W.S. Hothey, Notary Public.



Bedford England this 10th day of February 2000

APOSTILLE

(Hague Convention of 5 October 1961/Convention de La Haye du 5 octobre 1961)

- 1. Country: United Kingdom of Great Britain and Northern Ireland
Pays: Royaume-Uni de Grande-Bretagne et d'Irlande du Nord

This public document/Le présent acte public

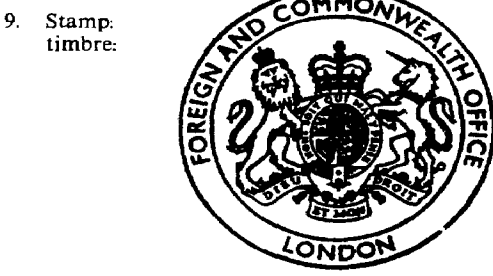
- 2. has been signed by / a été signé par **W. S. NORTHY**
- 3. acting in the capacity of / agissant en qualité de **Notary Public**
THE SAID NOTARY PUBLIC
- 4. bears the seal/stamp of / est revêtu du sceau/timbre de

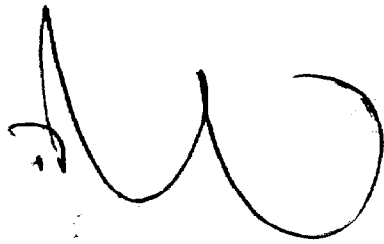
Certified/Attesté

17 FEB 2000

- 5. at London/à Londres
- 6. the/le
- 7. by Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs/
par le Secrétaire d'Etat Principal de Sa Majesté aux Affaires Etrangères et du Commonwealth.

8. Number/sous N° **G 716842**



10. Signature: 

B. AMARTEIFIO

For the Secretary of State / Pour le Secrétaire d'Etat

RECEIVED
 1000.01 to 2000.01
 1000.01 to 2000.01