07-11-2000

Attorney Docket No. 2307V-0989-10

| FORM PTO-1595 | U.S. Department of Commerce | | | | |
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| (Rev. 6-93) To the Honorable Asst. Commissioner for 1014001 | Patent and Trademark Office documents or copy thereof | | | | |
| Name of conveying party(ies): | Name and address of receiving party(ies) | | | | |
| Kyriakos Komvopoulos | Name: The Regents of the University of California | | | | |
| Catherine M. Klapperich | | | | | |
| Lisa A. Pruitt Stephen L. Kaplan | Internal Address: 12 th Floor | | | | |
| Additional name(s) of conveying parties attached? Yes | Street Address: 111 Franklin St. | | | | |
| ⊠ No. | City: Oakland State: California ZIP: 94607-5200 | | | | |
| 3. Nature of conveyance: | Additional assess and address at the had O T Van T Na | | | | |
| ⊠ Assignment | Additional names and addresses attached? ☐ Yes ☒ No | | | | |
| Security Agreement Change of Name | _ S | | | | |
| ☐ Other: | | | | | |
| Execution Date: March 10, 16 and 22, 2000 | | | | | |
| Application Number(s) or Patent Numbers. | | | | | |
| If this document is being filed together with a new application, the ex | xecution date of the application is: | | | | |
| A. Patent Application No(s): 09/450,815 | B. Patent No(s): | | | | |
| Additional numbers attached? | ☐ Yes ☒ No | | | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: | Total number of applications and patents involved 1 | | | | |
| Name: M. Henry Heines | 7. Total fee (37 CFR 3.41):\$40.00 | | | | |
| TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 th Floor San Francisco, California 94111-3834 | ☐ Enclosed ☐ Charge Fees to Deposit Account | | | | |
| (415) 576-0200 | ☐ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. | | | | |
| | 8. Deposit account number: 20-1430 | | | | |
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| 9. Statement and signature. | | | | | |
| To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. | | | | | |
| M. Henry Heines Name of Person Signing Signature | June 7, 2000 Date | | | | |
| Atty Reg. No. 28,219 Total number of pages including cover sheet, attachments and document 2 | | | | | |
| 10. Change Correspondence Address to that of Part 5? ☑ Yes ☐ No | | | | | |
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| 10/2000 DNGUYEN 00000233 201430 09450815) Asst. Commissioner for Patents | | | | | |
| EC-Sat An On Cu Box: Ass | signments , D.C. 20231 | | | | |
| SF 150911 v1 | And the state of t | | | | |

PATENT REEL: 010896 FRAME: 0700

ASSIGNMENT

| 1 | | \sim | Case | NI. | DOO | Δ | 11 7 |
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| ι | J. | L. | Case | INO. | 898 | ·U/ | 4-2 |

| 0.6. Case No. 696-074-2 | | | | | |
|--|--|---|--|--|--|
| For good and valuable consideration, | , the receipt o | f which is hereby acknowledged, ASSIGNOR(S), | | | |
| 1. <u>Kyriakos Komvopoulos</u> | | 2. Catherine M. Klapperich | | | |
| 3. <u>Lisa A. Pruitt</u> | | 4. Stephen L. Kaplan | | | |
| hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12 th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: | | | | | |
| PLASMA-ASSISTED SURFACE MODIFICATION OF POLYMERS FOR MEDICAL DEVICE APPLICATIONS | | | | | |
| and which is found in | | | | | |
| (a) U.S. provisional app | plication filed | herewith and listing the above-named persons as inventors | | | |
| (b) U.S. patent applica | b) U.S. patent application filed herewith and listing the above-named persons as inventors | | | | |
| (c) X U.S. application ser | rial no. <u>09</u> | /450,815 , filed on <u>November 29, 1999</u> | | | |
| (d) U.S. Patent No.: | | , issued | | | |
| and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-inpart, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; | | | | | |
| <u>ASSIGNOR(S)</u> hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; | | | | | |
| ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. | | | | | |
| AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. | | | | | |
| executed this | | Signature of Inventor(s) | | | |
| 1. <u>16</u> day of <u>March</u> | , 79_ | M. Monnight | | | |
| 1. 16 day of <u>March</u> 2. 10 day of <u>MARCH</u> 3. 16 day of <u>MARCH</u> | , 19 2 000 | Cmy | | | |
| 3. <u>16</u> day of <u>MARCH</u> | , 18 <u> 200</u> 0 | Just Church | | | |
| 4. 22day of | | - Car | | | |

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RECORDED: 06/04/2000

PATENT REEL: 010896 FRAME: 0701