

07-17-2000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101405579

To the Honorable Commission
documents or copy thereof.

rd the attached original

1. Name of conveying party(ies):

Keith Miller
Matthew Pritchard
Steve Rouse
Max Tuttle

Additional Names attached: ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ Other

Execution Date(s): 09/01/99

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Internal Address:
Intellectual Property Department

Street Address:
100 N.E. Adams Street

City: Peoria State: Illinois

Zip: 61629-6490

Additional name(s) & addresses
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

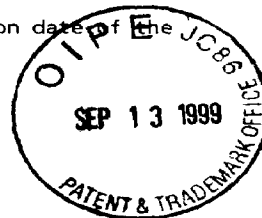
A. Patent Application No.(s)
29/105,483, filed: May 26, 1999

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Title of Invention: JOY-STICK

Attorney Docket No.: 98-723



5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John J. Cheek
CATERPILLAR INC.

Internal Address: Intellectual Property Dept.

Street Address: 100 N. E. Adams Street

City: Peoria State: IL

Zip: 61629-6490

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1129
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John J. Cheek
Name of Person Signing

John Cheek
Signature

09/8/99
Date

Total number of pages comprising cover sheet, attachments, and document: [3]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, KEITH MILLER; MATTHEW PRITCHARD; STEVE ROUSE; and MAX TUTTLE, residing respectively at England, United Kingdom; England, United Kingdom; England, United Kingdom; and England, United Kingdom, hereinafter referred to as ASSIGNORS, have invented a new and original design on an invention entitled:

JOY-STICK

for which ASSIGNORS have made application for Design Patent in the United States of America, such invention being described and identified by said application identified as Application No. 29/105,483, filed May 26, 1999; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Design Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;


1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Design Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Design Patent on said invention, when granted, to CATERPILLAR INC.


4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

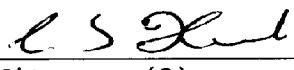

KEITH MILLER

1/9/99
Date

IN WITNESS OF the execution hereof by KEITH MILLER we have hereunto set our hands.

 1/9/99
Witness (1) (Date)

Address: 8 THRELL ST
LEICESTER
LE3 5SA

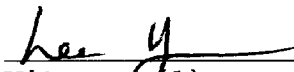
 1/9/99
Witness (2) (Date)

Address: 136 HARLOW ROAD
LEICESTER
LE3 0JX

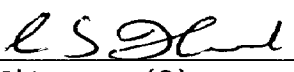

MATTHEW PRITCHARD

1. SEPTEMBER. 99
Date

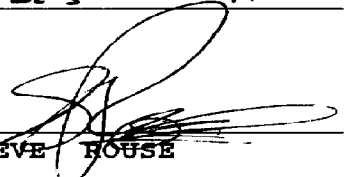
IN WITNESS OF the execution hereof by MATTHEW PRITCHARD we have hereunto set our hands.

 1/9/99
Witness (1) (Date)

Address: 8 THRELL ST
LEICESTER
LE3 5SA


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Witness (2) (Date)

Address: 136 HARLOW ROAD
LEICESTER
LE3 0JX

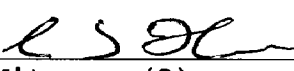

STEVE ROUSE

1/9/99
Date

IN WITNESS OF the execution hereof by STEVE ROUSE we have hereunto set our hands.

 1/9/99
Witness (1) (Date)

Address: 8 THRELL ST
LEICESTER
LE3 5SA

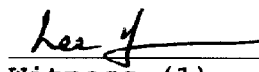
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Witness (2) (Date)

Address: 136 HARLOW ROAD
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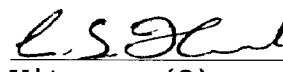

MAX TUTTLE

1/9/1999
Date

IN WITNESS OF the execution hereof by MAX TUTTLE we have hereunto set our hands.

 1/9/99
Witness (1) (Date)

Address: 8 TYRRELL ST
LEICESTER
LE3 5SA

 1/9/99
Witness (2) (Date)

Address: 136 HARRO ROAD
LEICESTER
LE3 0JX