

07-18-2000

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

07-18-2000 17:53

OFFICE

Recordation Form Cover Sheet

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):

**Paul L. Hermonat
J. Gerald Quirk
Brian Bishop**

2. Name and address of
receiving party(ies):

Name: **The Board of Trustees of the
University of Arkansas**
Address: **2404 North University Ave.
Little Rock, AR 72207-3608**

Additional names of conveying party(ies) attached?

☒ NO ☐ YES

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement
☐ Change of Name
☐ OTHER _____

Additional names & addresses attached?

☒ NO ☐ YES

Execution Date(s): March 20, 1997, March 1, 1997 & April 14, 2000

4. Application number(s) or patent number(s): **09/004,877**

Additional numbers attached? ☒ NO ☐ YES

5. Name and address of party to whom correspondence concerning
document should be mailed:

Name:
**Dr. Benjamin Adler
McGREGOR & ADLER, LLP**

Address:
**8011 Candle Ln.
Houston, Texas 77071**

6. Total number of applications and patents involved: **1**

7. Total Fee (37 CFR 3.41):.....\$**40.00** ☒ enclosed ☐ authorized to charge
deposit account

8. Deposit Account number: _____ (duplicate copy attached if paying by deposit
account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and
correct and any attached copy is a true copy of the original document.*

Benjamin Aaron Adler Ph.D, J.D.

Name of person signing

Signature

6/7/00

Date

Total number of pages comprising cover sheet: 1

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ASSIGNMENT

WHEREAS, **Paul L. Hermonat**, of 1812 Reservoir Rd., Apt. 143, Little Rock, AR 72227, (hereinafter collectively called "ASSIGNOR"), is inventor and owner of certain new and useful improvements in *A Method of Human Therapy Using Wildtype Adeno-Associated Viral (AAV) Vector*, an application for United States Letters Patent as filed January 9, 1998 as United States Serial No. 09/004,877.

WHEREAS, **The Board of Trustees of the University of Arkansas System**, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors and assigns the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same

the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 3/20/97 Paul L. Hermonat
Paul L. Hermonat, Ph.D.

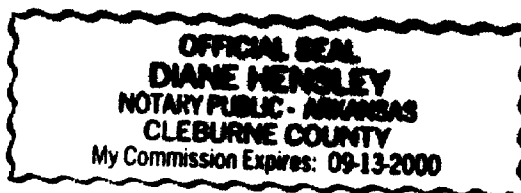
STATE OF Arkansas :

COUNTY OF Cleburne :

Before me this 20th day of March, 1997, personally appeared Paul L. Hermonat known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Diane Hensley

My Commission Expires: Sept. 13, 2000



ASSIGNMENT

WHEREAS, **J. Gerald Quirk**, of 14 Cascade Drive, Little Rock, AR 72212, (hereinafter collectively called "ASSIGNOR"), is inventor and owner of certain new and useful improvements in *A Method of Human Therapy Using Wildtype Adeno-Associated Viral (AAV) Vector*, an application for United States Letters Patent as filed January 9, 1998 as United States Serial No. 09/004,877.

WHEREAS, **The Board of Trustees of the University of Arkansas System**, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors and assigns the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same

in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 3/7/97 J. Gerald Quirk
J. Gerald Quirk, Ph.D.

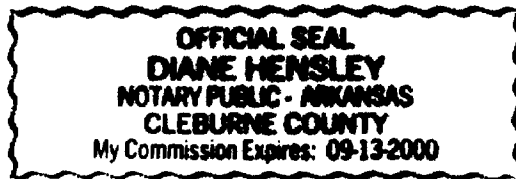
STATE OF Arkansas :

COUNTY OF Cleburne :

Before me this 7th day of March, 1997, personally appeared J. Gerald Quirk known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Diane Hensley
Notary Public

My Commission Expires: 9/13/2000



ASSIGNMENT

WHEREAS, **Brian Bishop**, of 2622 Wildwood Drive, Harrison, AR 72601, (hereinafter collectively called "ASSIGNOR"), is inventor and owner of certain new and useful improvements in *A Method of Human Therapy Using Wildtype Adeno-Associated Viral (AAV) Vector*, an application for United States Letters Patent as filed January 9, 1998 as United States Serial No. 09/004,877.

WHEREAS, **The Board of Trustees of the University of Arkansas**, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR is desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to me in hand paid, and for other good and valuable consideration as defined and outlined in the policies of the Board of Trustees of the University of Arkansas and, in particular Board policy 210.1, Patent and Copyright Policy, the receipt of all of which is hereby acknowledged, does hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the ASSIGNEE to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the ASSIGNEE may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the ASSIGNEE, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said ASSIGNEE, its successors or other legal representatives.

DATE: 4/14/00



Brian Bishop

STATE OF Arkansas:

COUNTY OF Boone:

Before me this 14 day of April, 2000, personally appeared Brian Bullock
known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged
that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Dottie L. Holt

My Commission Expires: 12-05-2005

