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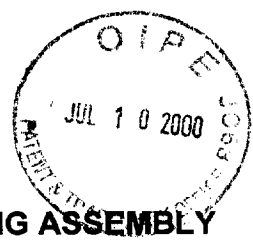
MARK OFFICE

IN RE APPLICATION OF:

Tyler Schilling, Greg Collier, William Klassen,  
Pat Whelan, Chris McIlwain, Jeff Prince, and  
Andy Lyons

SERIAL NUMBER: 09/520,562

FILED: May 8, 2000



For: **ACTUATOR WITH SEALING ASSEMBLY**

PATENT

ART UNIT NO.: 3681

EXAMINER: Not Assigned

ATTORNEY DOCKET NO.:  
**ALST1715**

Morgan Hill, California  
June 8, 2000

I hereby certify that this document referred to as enclosed  
therein are being deposited with the United States Postal  
Service on July 5, 2000 in an envelope addressed  
to the Box Missing Parts Application, Assistant  
Commissioner of Patents Washington, D.C. 20231  
Elia Salinas

Typed or printed name of person mailing paper or fee

Signature of person mailing paper

**COVER SHEET FOR ASSIGNMENT (DOCUMENT)**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

1. The Patent Application filed ☐ herewith: ☒ on May 8, 2000, and to which the  
attached assignment refers is identified as follows:

a. Name of each inventor:

- (1) Greg Collier
- (2) Andy Lyons
- (3) William Klassen
- (4) Jeff Prince
- (5) Chris McIlwain
- (6) Tyler Schilling
- (7) Pat Whelan

b. Date of Execution

- April 21, 2000
- April 24, 2000
- April 24, 2000
- April 13, 2000
- April 11, 2000
- April 24, 2000
- April 21, 2000

c. Title of invention: **ACTUATOR WITH SEALING ASSEMBLY**

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00 00 581

June 8, 2000

**NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(A)(1))**

(8) The party(ies) conveying this interest are: Greg Collier, Andy Lyons, William Klassen, Jeff Prince, Chris McIlwain, Tyler Schilling and Pat Whelan.

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST  
(37 CFR 3.31(A)(2))**

3. The rights are being conveyed to:  
Name: ALSTOM  
Address: 201 Cousteau Place  
Davis, California 95616

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 CFR 3.31(A)(3))**

4. The accompanying document intends to accomplish:

<input checked="" type="checkbox"/>	An assignment	<input type="checkbox"/>	a change of name
<input type="checkbox"/>	A security agreement	<input type="checkbox"/>	a change of address
<input type="checkbox"/>	A license	<input type="checkbox"/>	Other
<input type="checkbox"/>	A merger		

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE  
MAILED (37 CFR 3.31(A)(5))**

5. Please address correspondence to:  
  
Douglas A. Chaikin, Esq.  
**Peninsula IP Group**  
**A Professional Law Corporation**  
16275 South Monterey Road, Suite F  
Morgan Hill, CA 95037  
Telephone: (408) 782-1400

**DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(A)(7))**

(9) The attached assignment (document) was executed by Greg Collier on April 21, 2000; Andy Lyons on April 24, 2000; William Klassen on April 24, 2000; Jeff Prince on April 13, 2000; Chris McIlwain on April 11, 2000; Tyler Schilling on April 24, 2000; and Pat Whelan on April 21, 2000.

ALST1715

June 8, 2000

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

The attached document:

- ☒ is in the English language  
☐ is not in the English language and a verified English translation signed by the individual making the translation is attached

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

7. Submitted herewith is:  
☒ the original document  
☐ a true copy of the original document

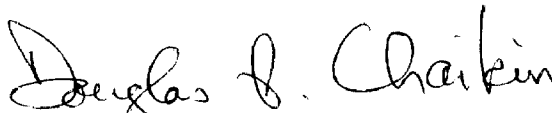
**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31 (A)(6))**

8. A. This cover sheet identifies only one application:  
B. The fee for recordal (37 CFR 1.21(h)) is \$40.00  
☒ Attached is a check number 3000 in the amount of \$860.00 which includes the recordal fee.

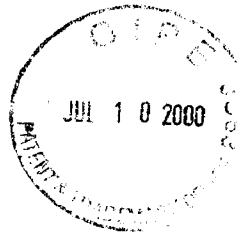
**STATEMENT (37 CFR 3.31(A)(9)) AND SIGNATURE (37 CFR 3.31(A)(10))**

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,  
**PENINSULA IP GROUP**  
A Professional Law Corporation



Douglas A. Chaikin  
16275 South Monterey Road, Suite F  
Morgan Hill, CA 95037  
Reg. No. 29,140  
(408) 782-1400



## ASSIGNMENT

WE, GREG COLLIER, ANDY LYONS, WILLIAM KLASSEN, JEFF PRINCE, CHRIS McIIWAIN, TYLER SCHILLING and PAT WHELAN, hereinafter ASSIGNORS, our address of 201 Cousteau Place, Davis, California, 95616 respectively, do hereby sell, assign and transfer unto **Alstom**, hereinafter, ASSIGNEE, a corporation organized under the Laws of the State of California, and having its principal place of business at 201 Cousteau Place, Davis, California, 95616, the entire right, title and interest, together with all rights of priority, in and to our invention for "**ACTUATOR WITH SEALING ASSEMBLY**" as described and claimed in our application for Letters Patent of the United States of America, Serial No. not yet assigned filed this day and as described and/or claimed in any and all applications for Letters Patent based thereon including divisions, continuations and reissues thereof as well as all foreign counterparts thereof together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent therefor which may be granted.

AND WE HEREBY AUTHORIZE AND EMPOWER ASSIGNEE, its successors, assigns and legal representatives or nominees, to make applications for patent or other form of protection for our invention referenced above, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us, and to receive Letters Patent for said invention in any foreign countries in its own name, or in our names, at its election.

AND WE HEREBY COVENANT AND AGREE that WE will execute or procure any further necessary assurance of title to said invention and any Letters Patent which may issue therefor and that WE will, at any time, upon the request and at the expense of ASSIGNEE deliver any

testimony in any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns, or other legal representatives, and that WE will, at any time, upon the request and at the expense of ASSIGNEE execute any continuations, divisions, reissues, or any other additional applications for Letters Patent for said invention or any part or parts thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

AND WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said assignee as the sole assignee.

AND WE hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right priority provided by the International Convention for the Protection of Industrial Property as, or by any convention which may henceforth be substituted for it.

AND WE covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance and that WE have full right to convey the same as herein expressed.

April 2, 1999

Signed at Davis, California, this 21 day of April, 2000.

  
\_\_\_\_\_  
GREG COLLIER

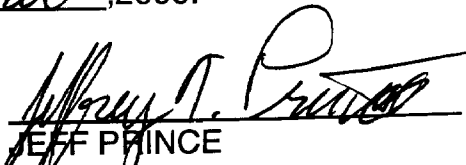
Signed at Davis, California, this 24 day of APRIL, 2000.

  
\_\_\_\_\_  
ANDY LYONS

Signed at Davis, California, this 24 day of April, 2000.

  
\_\_\_\_\_  
WILLIAM KLASSEN

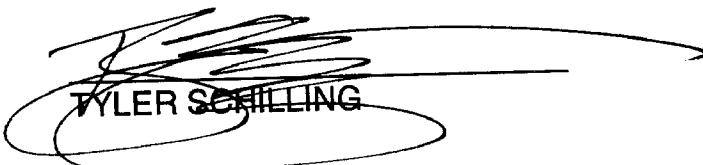
Signed at Davis, California, this 18 day of April, 2000.

  
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JEFF PRINCE

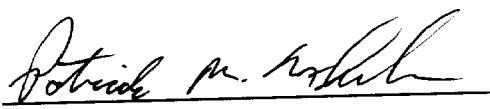
Signed at Davis, California, this 11 day of April, 2000.

  
\_\_\_\_\_  
CHRIS McILWAIN

Signed at Davis, California, this 24 day of APRIL, 2000.

  
\_\_\_\_\_  
TYLER SCHILLING

Signed at Davis, California, this 21 day of APRIL, 2000.

  
\_\_\_\_\_  
PAT WHELAN