

07-18-2000



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Name (line 1)

Jim K. Carroll

Execution Date
Month Day Year

06/07/2000

Name (line 2)

Second Party

Execution Date
Month Day Year

06/12/2000

Name (line 1)

Delbert L. Kramer

Name (line 2)

Receiving Party

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Name (line 1)

Caterpillar Inc.

Name (line 2)

Intellectual Property Department

Address (line 1)

100 N.E. Adams Street

Address (line 2)

Address (line 3)

Peoria

City

Illinois

State/Country

61629-6490

Zip Code

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Correspondent Name and Address

Area Code and Telephone Number (309) 675-1056

Name Jeff A. Greene

Address (line 1) Caterpillar Inc.

Address (line 2) Intellectual Property Department

Address (line 3) 100 N. E. Adams Street

Address (line 4) Peoria, IL 61629-6490

Pages

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4

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Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.Month Day Year
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Number of Properties

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1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-1129

Docket No. 98-595

Authorization to charge additional fees:

Yes

☒

No

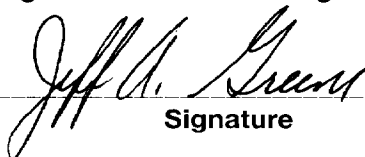
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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jeff A. Greene

Name of Person Signing



Signature

06/19/2000

Date

ASSIGNMENT

WHEREAS, JIM K. CARROLL; and DELBERT L. KRAMER, residing respectively at Peoria, Illinois; and Metamora, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

IMPROVED SEALING SYSTEM FOR A CENTRIFUGAL FAN

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on

____ June 7, 2000 _____, and _____ June 12, 2000 _____; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

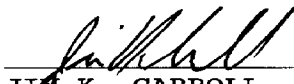
1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

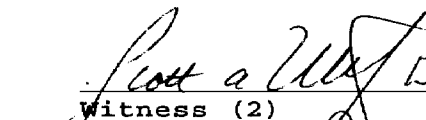

JIM K. CARROLL

6-7-00
Date

IN WITNESS OF the execution hereof by JIM K. CARROLL we have hereunto set our hands.

 June 7, 2000
Witness (1) (Date)

Address: 1276 School St
Washington Illinois 61571

 13-JUNE-00
Witness (2) (Date)

Address: 1315 W. Elm St.
Chillicothe, IL 61523.

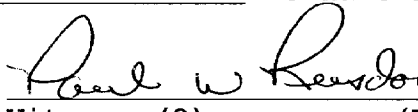

DELBERT L. KRAMER

17 JUNE 00
Date

IN WITNESS OF the execution hereof by DELBERT L. KRAMER we have hereunto set our hands.

 6/12/00
Witness (1) (Date)

Address: 1733 W. Willow Val Dr
Peoria IL 61614

 6/12/00
Witness (2) (Date)

Address: 305 WOOD RIDGE DR
DUNLAP, IL 61525