

07-21-2000

Based on FORM PTO-1595
(Rev. 6-93) F2-46-P

101410419

:T

U.S. DEPT. OF COMMERCE
Patent & Trademark Office

To: Hon. Commissioner of Patents and Trademarks: Please record the attached original document or copy:

1. Name of conveying party(ies): *copy* *100 JUN 15 AM 10:37*Hideto FURUTA and Naoyuki MORIYA *OPR/FINANCE*Additional names? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 8, 2000

2. Name and address of receiving party(ies) in Equal Shares:

Name: SHIN CATERPILLAR MITSUBISHI LTD.Street Address: 10-1, Yoga 4-chome, Setagaya-ku,
Tokyo 158-8530 JapanAdditional Names attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

Attorney Docket No.: M1596-214

If this document is being filed together with a new application, execution date of application is: _____

A. Patent Serial No.(s): 09/513,363

B. Patent No.(s):

Filing Date: February 25, 2000

Issue Date:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence should be mailed:

Name: Thomas R. Morrison, Esq.Internal Address: Morrison Law FirmStreet Address: 145 North Fifth AvenueCity: Mount Vernon State: N.Y. ZIP: 105506. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Authorized to be charged to Deposit Account

(Attach duplicate copy of page if paying by deposit account)

8. ☒ Charge any additional fees in connection with this submission to our Deposit Account No. 13-4550.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas R. Morrison, Esq.

Name of Person Signing

Reg. No. 27,361*[Signature]*
Signature6/14/00
DateTotal number of pages including cover sheet, attachments, and document: 3

07/20/2000 DNGUYEN 00000146 09513363

01 FC:581

40.00 DP

PATENT
REEL: 010924 FRAME: 0413

M1596-214

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

**Hideito FURUTA and Naoyuki MORIYA, c/o SHIN CATERPILLAR
MITSUBISHI LTD., 10-1, Yoga 4-chome, Setagaya-ku, Tokyo 158-8530
Japan
Residence for both: Tokyo, Japan**

hereby sells, assigns, and transfers to **SHIN CATERPILLAR MITSUBISHI LTD.** a corporation of **JAPAN** having a place of business at **10-1, Yoga 4-chome, Setagaya-ku, Tokyo 158-8530 Japan**

their successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries including the right to claim priority, in and to any and all Improvements which are disclosed in the application for United States Letters Patent, filed on February 25, 2000 and identified as Serial No. 09/513,363 and Docket No. M1596-214 and entitled:

METHOD AND DEVICE FOR LOCKING WORK MACHINE

and in and to said application and all divisional, continuing, substitute, renewal and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements, together with the right to sue for past damages, if any;

Agrees that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge in to but at the expense of said Assignee, its successors, assigns and legal representative, to carry out in good faith the Intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications in any and all said improvements, execute all rightful oaths, assignments, powers of attorney and other property communicate to said Assignee, its successors, assign, and representatives, all facts known to the undersigned relating in said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representative shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenants with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage license or other agreement affecting the rights and property herein

Page 1 of 2

conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under section 1001 of Title 18 of the United States Code and that each willful false statement may jeopardize the validity of this assignment, patent application and any patent or patent application and any patent issuing thereon.

HIDE-TO FURUTA

Hideto FURUTA

Date: June 8, 2000

NAOYUKI MORIYA

Naoyuki MORIYA

Date: June 8, 2000