

07-24-2000

Docket No.: 13218 (YOR91999-0556)

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
Copyright 1996-97 LegalStar  
P08A/REV02



ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101412212

6.19.00

Tab settings → → → ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**Kevin K. Chan, Christopher Jahnes, Leathen Shi,  
James L. Speidell and James F. Ziegler**

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: **International Business Corporation**Address: **New Orchard Road**City: **Armonk**State/Prov.: **NY**Country: **USA**ZIP: **10504**Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **June 7, 2000 and June 13, 2000**

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09596725

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Richard L. Catania**Registration No. **32,608**Address: **Scully, Scott, Murphy & Presser****400 Garden City Plaza**City: **Garden City**State/Prov.: **NY**Country: **USA**ZIP: **11530**

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

**50-0510\IBM**

07/24/2000 MTHAI1 00000038 500510 09596725

DO NOT USE THIS SPACE

## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Richard L. Catania**

Name of Person Signing

Signature

June 19, 2000

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 010926 FRAME: 0202

## Assignment

Whereas, we

Inventor           (1) Kevin K. Chan           of Staten Island  
                          County of Richmond           and State of New York

and   (2) Christopher Jahnes   of Upper Saddle River  
          Bergen County           and State of New Jersey

and   (3) Leathen Shi           of Yorktown Heights  
          County of Westchester   and State of New York

and   (4) James L. Speidell   of Poughquag  
          County of Dutchess       and State of New York

and   (5) James F. Ziegler   of Yorktown Heights  
          County of Westchester   and State of New York

have invented certain improvements in IMPROVED MECHANISM  
FOR BUILDING ACCESS CONTROL STRUCTURES FOR AUTHORIZING SYSTEMS

and executed, respectively, a United States patent application therefor  
on

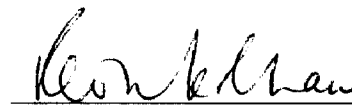
DATES THAT (1) June 13 2000. (2) June 13, 2000 (3) June 13, 2000  
(4) June 7, 2000, (5) June 7, 2000

INVENTORS  
SIGNED THE  
DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of  
New York, having a place of business at Armonk, New York 10504, (hereinafter called  
IBM) desires to acquire the entire right, title and interest in the said application  
and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged,  
we, the above named, hereby sell, assign, and transfer to IBM, its successors and  
assigns, the entire right, title and interest in the said application and invention  
therein disclosed for the United States and foreign countries, and all rights of  
priority resulting from the filing of said United States application, and we request  
the Commissioner of Patents to issue any Letters Patent granted upon the inventions  
set forth in said application to IBM, its successors and assigns; and we hereby agree  
that IBM may apply for foreign Letters Patent on said invention and we will execute  
all papers necessary in connection with the United States and foreign applications  
when called upon to do so by IBM.

Signed and sealed  
CITY (1) at Yorktown Heights, NY  
DATE on June 13, 2000

  
Kevin K. Chan

SIGNATURE

CITY (2) at Signed and sealed  
DATE on Yorktown Heights, NY. Christopher Jhanes SIGNATURE  
June 13, 2000

CITY (3) at Signed and sealed  
DATE on Yorktown Hgts., NY Leathen Shi SIGNATURE  
June 13, 2000

CITY (4) at Signed and sealed  
DATE on Yorktown Hgts., NY James L. Speidel SIGNATURE  
June 7, 2000

CITY (5) at Signed and sealed  
DATE on Yorktown Hgts., NY James F. Ziegler SIGNATURE  
June 7, 2000

State of New York )ss.:  
County of Westchester )

INVENTOR  
(1)

On this 13<sup>th</sup> day of June 2000, before me personally came  
Kevin K. Chan to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged to me  
that he executed the same as his free act and deed.

JENNIFER A. SMITH  
Notary Public, State of New York  
No. 01SM5063001  
Qualified in Westchester County  
Commission Expires July 15, 2000

Notary

State of New York )ss.:  
County of Westchester )

INVENTOR  
(2)

On this 13<sup>th</sup> day of JUNE 2000, before me personally came  
Christopher Jahnes to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged to me  
that he executed the same as his free act and deed.

JENNIFER A. SMITH  
Notary Public, State of New York  
No. 01SM5063001  
Qualified in Westchester County  
Commission Expires July 15, 2000

Notary

State of New York )ss.:  
County of Westchester )

INVENTOR  
(3)

On this 13<sup>th</sup> day of JUNE 2000, before me personally came  
Leathen Shi to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged to me  
that he executed the same as his free act and deed.

JENNIFER A. SMITH  
Notary Public, State of New York  
No. 01SM5063001  
Qualified in Westchester County  
Commission Expires July 15, 2000

Notary

JENNIFER A. SMITH  
Notary Public, State of New York  
No. 01SM5063001  
Qualified in Westchester County  
Commission Expires July 15, 2000

State of New York )ss.:  
County of Westchester )

On this 7<sup>th</sup> day of June 2000, before me personally came  
James L. Speidell to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged to me  
that he executed the same as his free act and deed.

INVENTOR  
(4)

  
\_\_\_\_\_  
Notary

LUCILLE FOURGEOT  
NOTARY PUBLIC, State of New York  
No. 4502670  
Qualified in Westchester Count.  
Commission Expires 06/30/2001

State of New York )ss.:  
County of Westchester )

On this 7<sup>th</sup> day of June 2000, before me personally came  
James F. Ziegler to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged to me  
that he executed the same as his free act and deed.

INVENTOR  
(5)

  
\_\_\_\_\_  
Notary

LUCILLE FOURGEOT  
NOTARY PUBLIC, State of New York  
No. 4502670  
Qualified in Westchester Count.  
Commission Expires 06/30/2001