

07-24-2000

6-19-00



FORM PTO-1595

(Rev. 6-93)

OMD NO. 0651-0011 exp. 4/94)

101411625

PATENTS ONLY

set

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bay Networks, Inc.

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Other:

Execution Date(s): 04/29/1999

2. Name and address of receiving party(ies):

Nortel Networks NA Inc.

Internal Address:

Street Address: 4401 Great America Parkway

P.O. Box 58185

City: Santa Clara State: California

Zip: 95052

Country: USA

Additional name(s) & address(es) attached? ☒ No ☐ Yes

4. Application Number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/153,638

Additional numbers attached? ☐ No ☒ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blakely, Sokoloff, Taylor & Zafman LLP

Internal Address:

Street Address: 12400 Wilshire Boulevard, 7th Floor

City: Los Angeles State: California Zip: 90025

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and believe, the foregoing is true and correct and any attached copy is a true copy of the original document

William W. Schaal

Name of Person Signing

Signature

June 15, 2000

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents, Box Assignments
Washington, D.C. 20231

07/21/2000 ASCOTT 00000243 09153638

01 FC:581

40.00 DP

082771.P274

PATENT
REEL: 010928 FRAME: 0459

MRD 11-15-99

11-19-1999

FORM PTO-1595
(Rev. 6-93)
OMD No. 0651-0011 (exp. 4/94)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101204504

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bay Networks, Inc.

2. Name and address of receiving party(ies):

Name: Nortel Networks Corporation

Internal Address:

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance:

☒ Assignment ☐ Change of Name☐ Security Agreement☐ Other☐ MergerStreet Address: World Trade Center of Montreal,
380 St. Antoine Street West, 8thCity: Montreal, State: Can ZIP: H2Y
374

Execution Date: 10/28/99

Additional name(s) & address(es) attached? ☒ No ☐ Yes

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/22/98

A. Patent Application No.(s)

09/153,638

B. Patent No.(s)

11/30/98

Additional numbers attached?

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blakely, Sokoloff, Taylor & Zafman LLP

Internal Address:

Street Address: 12400 Wilshire Boulevard
7th Floor

City: Los Angeles State: CA ZIP: 90025

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

11/17/1999 JSH/DAZZ 00000155 09153638

01 FC:581

40.00 OP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

William W. Schaal, Reg. No. 39, 018

Name of Person Signing

Signature

November 11, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents, Box Assignments

Washington, D.C. 20231

Attorney Docket No. 082771.P274

PATENT
REEL: 010928 FRAME: 0460

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BAY NETWORKS, INC.", CHANGING ITS NAME FROM "BAY NETWORKS, INC." TO "NORTEL NETWORKS NA INC.", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF APRIL, A.D. 1999, AT 9 O'CLOCK A.M.



A handwritten signature in cursive script, reading "Edward J. Freel", is written over a horizontal line.

Edward J. Freel, Secretary of State

2090048 8100

991329905

AUTHENTICATION: 9911479

DATE: 08-09-99

PATENT
REEL: 010928 FRAME: 0461

**CERTIFICATE OF AMENDMENT
OF THE CERTIFICATE OF INCORPORATION OF
BAY NETWORKS, INC.**

Bay Networks, Inc., a corporation organized and existing under the laws of the State of Delaware (the "Corporation"), hereby certifies as follows:

1. The name of this corporation is Bay Networks, Inc.
2. The original Certificate of Incorporation of the Corporation was filed with the Secretary of State of the State of Delaware on May 2, 1986.
3. The Certificate of Incorporation of this corporation is hereby amended, effective April 29, 1999, by changing the Article 1 thereof to read as follows:

"The name of this corporation is Nortel Networks NA Inc."


4. The Amendment to Certificate of Incorporation of this corporation has been approved by the Board of Directors of the Corporation, which declared that said Amendment was advisable, and by all of the Stockholders in accordance with Sections 228 and 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, Bay Networks, Inc. has caused this Certificate to be signed by its Executive Vice President and Assistant Secretary this 29th day of April, 1999.

Bay Networks, Inc.


By: David J. Rynne
Title: Executive Vice President

Attest:


DEBORAH TOWNSEND, Assistant Secretary

6122654.1

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 04/30/1999
991171575 - 2090048

ASSIGNMENT

WHEREAS, Bay Networks, Inc., a corporation of Delaware, having a principal place of business at: 4401 Great America Parkway, Santa Clara, California 95052 hereinafter called the "Assignor," assigns the invention entitled:

METHOD AND APPARATUS FOR STREAM AGGREGATION IN A MULTIPROTOCOL LABEL SWITCHING NETWORK ENVIRONMENT

for which reference a full description is here made in an application for Letters Patent of the United States filed September 15, 1998, and assigned Application No. 09/153,638 and was assigned to Assignor in the Assignment Recorded on 12/09/98, Reel 9636, Frame 0732.

WHEREAS, **NORTEL NETWORKS CORPORATION**, a Canadian corporation having a principal office and a place of business at, World Trade Center of Montreal, 380 St. Antoine Street West, 8th Floor, Montreal, Quebec H2Y 3Y4, Canada hereinafter called the "Assignee," is desirous of acquiring the entire right, title and interest in and to said invention, the application above-identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title and interest in and to the invention and the application herein above-identified, and all letters Patents that may issue for the said invention, and all divisions, reissues, substitutions, continuations, and extensions thereof, to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns to the full end of the term for which any and all of said Letters Patents for the said invention may issue.

FURTHER, be it known that the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire foreign rights to the invention disclosed in said application, in all countries of the world, including the right to file applications and obtain patents under the terms of the International Convention for the Protection of Industrial Property, and of the European Patent Convention, and further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.

And the Assignor does hereby covenant and agree for itself and its legal representatives, that it will assist the Assignee in the prosecution of the application herein identified; in making and prosecution of any other applications for Letters Patent that the Assignee may elect to make covering the invention herein identified, as herein before set forth, including any application for reissue, application for reexamination, application for foreign patent rights, or any proceeding in the United States Patent and Trademark Office affecting the invention, investing in the Assignee exclusive title in and to all such other applications and Letters Patent; and in the prosecution of

any interference which may arise involving said invention, or any application or Letters Patents herein contemplated; that they will promptly execute and deliver to the Assignee any and all additional papers and make all lawful oaths which may be requested by the Assignee to fully carry out the terms of this assignment; and further that they will communicate to Assignee, or to its successors, assigns, and legal representatives, any facts known respecting said invention, and at the expense of the Assignee, testify in any legal proceeding, and generally do everything possible to aid the Assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in all countries.

And the Commission of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent to the Assignee in accordance with the terms of the assignment.

Assignor

Date: 10/28/99

By: Mary Stenberg
Print Name: Mary Stenberg
Title: Asst. Secretary

Assignment Document Return Address:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard, Seventh Floor
Los Angeles, CA 90025-1026
(714) 557-3800

COPY



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

FEBRUARY 17, 2000

BLAKELY, SOKOLOF, TAYLOR & ZAFMAN LLP
WILLIAM W. SCHAAL

12400 WILSHIRE BOULEVARD

7TH FLOOR

LOS ANGELES, CA 90025

RECEIVED
FEB 23 2000



101204504A

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

WWS
82771 P274

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/15/1999

REEL/FRAME: 010384/0383

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAY NETWORKS, INC.

DOC DATE: 10/28/1999

ASSIGNEE:

NORTEL NETWORKS CORPORATION
380 ST. ANTOINE STREET WEST, 8TH
WORLD TRADE CENTER OF MONTREAL
MONTREAL, CANADA H2Y 3744

SERIAL NUMBER: 09153638

FILING DATE: 09/15/1998

PATENT NUMBER:

ISSUE DATE:

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED
FEB 24 2000

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
COSTA MESA

RECORDED: 06/19/2000

PATENT
REEL: 010928 FRAME: 0465