

07-28-2000

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101415765

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☐ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
☒ Reel # 010114 Frame # 0821

Conveyance Type

☐ Assignment ☐ Security Agreement
☐ License ☒ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
07/06/99

Name (line 1) Randal B. Lord

Name (line 2)

Second Party

Name (line 1) Robert M. Scharf

Execution Date
Month Day Year
07/02/99

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Methode Florida, Inc.

Name (line 2)

Address (line 1) 3950 Dow Road

Address (line 2) Suite A

Address (line 3) Melbourne
City

FL
State/Country

32934
Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

07/26/2000 ASCOTT 00000057 501413 09333462
01 FC:581 40.00 CH

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 010941 FRAME: 0514

Correspondent Name and Address

Area Code and Telephone Number (708) 867-9600

Name David L. Newman

Address (line 1) Stratos Lightwave, Inc.

Address (line 2) 7444 West Wilson Avenue

Address (line 3) Chicago, IL 60706-9130

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

6

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/333,462

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☐Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-1413

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David L. Newman

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Execution Date
Month Day Year

RECEIVING PARTY - NAME CHANGES

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party name changes

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

FL

State/Country

32909

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

FL

State/Country

32909

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT


WHEREAS, **Randal B. Lord** of Melbourne, Florida, and **Robert M. Scharf** of Melbourne, Florida; hereinafter referred to as **ASSIGNORS**, have made certain improvements or inventions in **SWITCHED MULTI-PORT COMMUNICATIONS DEVICE AND ASSOCIATED METHODS**, for which **ASSIGNORS** have executed an application for United States Letters Patent filed on even date herewith in the United States Patent and Trademark Office; and

WHEREAS, **Methode Florida, Inc.**, a Delaware corporation, hereinafter referred to as **ASSIGNEE**, is desirous of acquiring the same;

NOW THEREFORE, this Indenture Witnesseth; That for good and valuable consideration, receipt whereof is hereby acknowledged, said **ASSIGNORS** do hereby sell, assign and transfer to said **ASSIGNEE**, its successors and assigns, all right, title and interest in and to said United States Patent application, the invention or inventions therein shown and described and any improvements on said inventions heretofore or hereafter made, any divisions or continuations or said applications, and all patents, United States and foreign, to be granted upon any such application or for the invention or inventions thereof, and any reissues, continuations or extensions of said patents; and said **ASSIGNORS** do hereby authorize and request the Commissioner of Patents to issue all patents on said United States Patent applications or for the invention or inventions hereof, in accordance with this assignment.

And said **ASSIGNORS** for said consideration hereby covenant and agree that said **ASSIGNORS** are the owners of the full title herein conveyed and have the right to convey the same, and agree that said **ASSIGNORS** will communicate to said **ASSIGNEE** any facts known to said **ASSIGNORS** respecting said invention or inventions, and testify in any legal proceedings when called upon by said **ASSIGNEE**, sign all lawful papers deemed by said **ASSIGNEE** as expedient to vest in it the legal title herein sought to be conveyed or for the filing and prosecution of all applications and patents, United States and foreign, and otherwise aid said **ASSIGNEE** its successors and assigns, in obtaining full patent protection on said invention and enforcing proper protection under said patents, but in every instance at the **ASSIGNEE'S** expense.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 6th day of July, 1999.

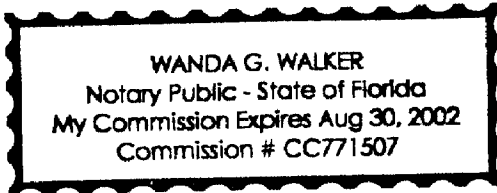

Randal B. Lord

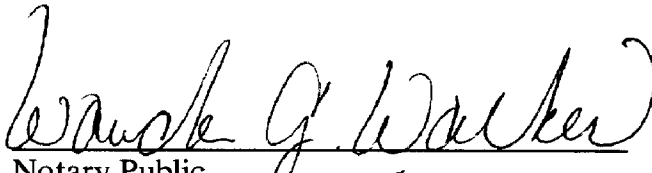
State of Florida :

: SS. 243-47-435

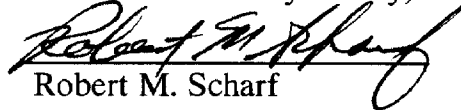
County of Brevard:

On this 6th day of July, 1999, before me personally appeared the above-named ASSIGNOR, **Randal B. Lord**, to me personally know/provided identification (personally known) as the individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.




Notary Public
My Commission expires: 8-30-02

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 2nd day of July, 1999.

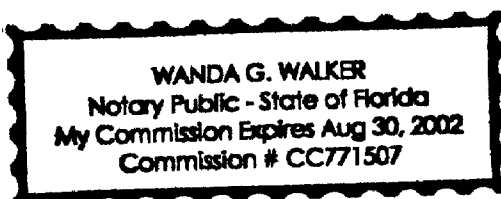

Robert M. Scharf

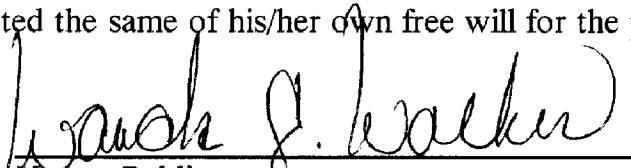
State of Florida :

: SS. 184-44-3810

County of Brevard:

On this 2nd day of July, 1999, before me personally appeared the above-named ASSIGNOR, **Robert M. Scharf**, to me personally know/provided identification (personally known) as the individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.




Notary Public
My Commission expires: 8-30-02

**CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION
OF
METHODE COMMUNICATIONS MODULES, INC.**

Methode Communications Modules, Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "Corporation"), DOES
HEREBY CERTIFY:

FIRST: That the Board of Directors of the Corporation adopted resolutions proposing and declaring advisable the following amendment to the Certificate of Incorporation of the Corporation:

RESOLVED, that Article FIRST of the Corporation's Certificate of Incorporation be amended to read in its entirety as set forth below:

"FIRST. The name of the corporation is:

STRATOS MICRO SYSTEMS, INC."

SECOND: That in lieu of a meeting and vote of stockholders, the sole stockholder has given written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said Methode Communications Modules, Inc. has caused this certificate to be signed by David R. Lipinski, its Secretary, this 31st day of May, 2000.

METHODE COMMUNICATIONS MODULES, INC.

By: 

David R. Lipinski, Secretary

State of Delaware
Office of the Secretary of State

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "METHODE COMMUNICATION MODULES, INC.", CHANGING ITS NAME FROM "METHODE COMMUNICATION MODULES, INC." TO "STRATOS MICRO SYSTEMS, INC.", FILED IN THIS OFFICE ON THE SIXTH DAY OF JUNE, A.D. 2000, AT 4 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



3020640 8100

001287844



Edward J. Freel, Secretary of State

AUTHENTICATION:

0481858

DATE:

06-07-00

PATENT
REEL: 010941 FRAME: 0520

**CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION
OF
METHODE FLORIDA, INC.**

Methode Florida, Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "Corporation"), DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of the Corporation adopted resolutions proposing and declaring advisable the following amendment to the Certificate of Incorporation of the Corporation:

RESOLVED, that the First Article of the Corporation's Certificate of Incorporation be amended to read in its entirety as set forth below:

"FIRST. The name of the Corporation is:

METHODE COMMUNICATION MODULES, INC."

SECOND: That in lieu of a meeting and vote of stockholders, the sole stockholder has given written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said Methode Florida, Inc. has caused this certificate to be signed by James W. McGinley, its President, this 15 day of September, 1999.

METHODE FLORIDA, INC.

By: James W. McGinley
James W. McGinley, President

State of Delaware

PAGE 1

Office of the Secretary of State

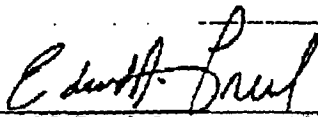
I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "METHODE FLORIDA, INC.", CHANGING ITS NAME FROM "METHODE FLORIDA, INC." TO "METHODE COMMUNICATION MODULES, INC.", FILED IN THIS OFFICE ON THE TWENTY-FOURTH DAY OF SEPTEMBER, A.D. 1999, AT 3 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



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991402596


Edward J. Freel, Secretary of State

AUTHENTICATION:

DATE:

9990451

09-24-99