

07-31-2000

Atty. Docket No.: 2224-151P

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yasutaka ISHII

Additional name(s) of conveying party(ies) attached? ☒ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: June 20, 2000

2. Name and address of receiving party(ies)

Name: DAICEL CHEMICAL INDUSTRIES, LTD.

Internal Address:

Street Address: 1, Teppo-cho, Sakai-shi,

City: OSAKA

State:

ZIP: 590-8501

Country: JAPAN

Postal Code:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/214,724

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/214,724

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total number of applications and patents involved: One (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 02-2448

(Attach triplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

for

Raymond C. STEWART, #21066

Name of Person Signing/Reg. No.

Richard L. Kelly 28,781

Signature

July 6, 2000

Date

07/28/2000 MTHA11 00000279 09214724

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Total number of pages including cover sheet, attachments, and document: Four (4)
40.00 DP

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, DC 20231

RCS/RG/clb

PATENT
REEL: 010946 FRAME: 0369

ASSIGNMENT

WHEREAS, YASUTAKA ISHII, residing at 19-21, Besshohonmachi, Takatsuki-shi, Osaka, Japan, is a co-owner by previous assignment, dated January 11, 1999 (recorded at Reel 010176, Frames 0355-0360), of the right, title and interest of the inventors Yasutaka ISHII, Tatsuya NAKANO and Naruhisa HIRAI in and to an invention for new and useful improvements in or relating to a POLYMERIZABLE ADAMANTANE DERIVATIVES AND PROCESS FOR PRODUCING THE SAME, for which an application for Letters Patent in the United States was executed on December 22, 1998, and assigned Serial No. 09/214,724, and is a co-owner of the right, title and interest in and to said application in the United States by said previous assignment;

WHEREAS, DAICEL CHEMICAL INDUSTRIES, LTD., a corporation organized and existing under and by virtue of the laws of Japan, having a place of business at 1, Teppo-cho, Sakai-shi, Osaka 590-8501 Japan, is desirous of acquiring the entire right, title and interest in and to the said application and the invention therein described and claimed and any Letters Patent that may be issued upon said application or for the improvements therein contained;

NOW, THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) lawful money of the United States and other valuable considerations, to me in hand paid, the receipt of and sufficiency whereof are hereby acknowledged, said YASUTAKA ISHII has sold, assigned and transferred and does hereby sell, assign and transfer unto DAICEL CHEMICAL INDUSTRIES, LTD., its successors and assigns, his entire right, title and interest in and to the said application and the

invention therein contained, including the right to apply for any Letters Patent in the United States of America on said invention and including the right to claim the priority of the date of filing in the United States and any Letters Patent that may issue thereon, or therefor, in the United States and all reissues, extensions, renewals, divisions and continuations thereof, to the full end of the term or terms for which said Letters Patent may be issued, to be held and enjoyed by DAICEL CHEMICAL INDUSTRIES, LTD., its successors and assigns the same as it would have been held and enjoyed by YASUTAKA ISHII, if this assignment and sale had not been made.

AND, YASUTAKA ISHII hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all such Letters Patents to DAICEL CHEMICAL INDUSTRIES, LTD., in accordance with this instrument of assignment.

YASUTAKA ISHII hereby represents and warrants that there are no rights or interests outstanding inconsistent with the rights and interest granted herein and that YASUTAKA ISHII will not execute any instrument or grant or transfer any rights or interests inconsistent therewith, and YASUTAKA ISHII binds himself and his heirs, executors, administrators, employees and legal representatives, as the case may be, to execute and deliver to DAICEL CHEMICAL INDUSTRIES, LTD., its successors and assigns, any further documents or instruments and do any and all further acts that may be deemed necessary by DAICEL CHEMICAL INDUSTRIES, LTD., its successors and assigns to file applications for the said improvements and invention in any country where it may elect to file such applications, and that may be necessary to vest in DAICEL

CHEMICAL INDUSTRIES, LTD., its successors and assigns, the title herein conveyed, or intended so to be, and to enable such title to be recorded in the United States and foreign countries where such application or applications may be filed.

AND, YASUTAKA ISHII further covenants and agrees, in consideration of the premises, that he, his executors and administrators will, at any time upon request, communicate to DAICEL CHEMICAL INDUSTRIES, LTD., its successors and assigns, any facts relating to the said invention and improvements and the history thereof, known to it or its successors and assigns, and that he will testify as to the same in any interference or other proceeding when requested to do so by DAICEL CHEMICAL INDUSTRIES, LTD., its successors and assigns.

The undersigned hereby grants the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, YASUTAKA ISHII has hereunto set my hands and seal this 20th day of June, 2000.

By: 
YASUTAKA ISHII