

6.28.00

Practitioner's Docket No. 3261.002

07-27-2000

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 101416876

Box Assignments  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. Section 3.31)

Attached please find an assignment (document) for recordal.

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL  
(37 C.F.R. Section 3.21 and 37 C.F.R. Section 3.31(a)(4))

1. This assignment is for the following patent application and/or issued patent:

a. Patent No: 5,975,434

Issued: November 2, 1999

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CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*  
(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Address"  
Mailing Label No. EL569874421US

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_\_.

Date: 06/27/2000

Signature

Lia H. Costello, Legal Assistant

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

07/27/2000 DMGUYEN 00000022 131992 5975434

01 FC:581

40.00 CH

(Assignment (Document) Cover Sheet--page 1 of 3)

PATENT  
REEL: 010949 FRAME: 0393

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE**

2. A. The total number of applications and/or patents identified in this cover sheet is: 1.

B. The total fee is (37 C.F.R. Section 1.21(h)):

$$1 \times \$40.00 = \$40.00$$

C. Please charge Account 13-1992 the sum of \$40.00. A duplicate of this cover sheet is attached.

Please charge Account 13-1992 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY CONVEYING INTEREST  
(37 C.F.R. Section 3.31(a)(1))**

3. The party conveying interest is:

John H. Douglas

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST  
(37 C.F.R. Section 3.31 (a)(2))**

4. The rights are being conveyed to:

Paragon Water Systems, Inc.  
14001 63rd Way N.  
Clearwater, FL 33760

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 C.F.R. Section 3.31(a)(3))**

5. The accompanying document intends to accomplish an assignment.

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. Section 3.31 (a)(5))**

6. Please address correspondence to:

Name: Mason & Associates, P.A.

(Assignment (Document) Cover Sheet--page 2 of 3)

Address: 17757 US Hwy 19 N., Suite 500  
Clearwater, FL 33764

Telephone No.: 727-538-3800

**DATE ASSIGNMENT (DOCUMENT) EXECUTED**  
**(37 C.F.R. Section 3.31(a)(7))**

7. The attached assignment (document) was executed on May 31, 2000.

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

8. The attached document is in the English language.

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

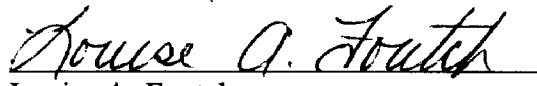
9. Submitted herewith is the original document.

**STATEMENT (37 C.F.R. Section 3.31(a)(9)) AND**  
**SIGNATURE (37 C.F.R. Section 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Practitioner

Reg. No.: 37,133  
Tel. No.: 727-538-3800  
Customer No.: 24040

  
Louise A. Foutch

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

11. The total number of pages being submitted, including documents are: 5.

(Assignment (Document) Cover Sheet--page 3 of 3)

**PATENT**  
**REEL: 010949 FRAME: 0395**

For: U.S. Rights and Foreign Rights  
For: U.S. Patent  
By: Inventor

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEES to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:** John H. Douglas  
14001 63rd Way  
Clearwater, FL 36420  
Nationality: U.S.

hereby sells, assigns and transfers to

**ASSIGNEE:** Paragon Water Systems, Inc.  
14001 63rd Way N.  
Clearwater, FL 33760  
Nationality: U.S.

and the successors, assigns and legal representatives of the ASSIGNEES the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

**SHOWERHEAD SYSTEM WITH TURBULENCE  
INDUCING AND WATER PURIFICATION MEANS**

and which is found in (37 C.F.R. section 3.21) U.S. patent no. 5,975,434 issued November 2, 1999, and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation

(Assignment of Invention--page 1 of 2)

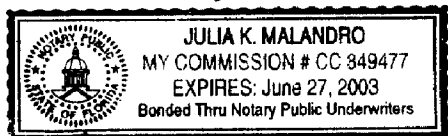
or proceeding related thereto and will promptly execute and deliver to ASSIGNEES or their legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

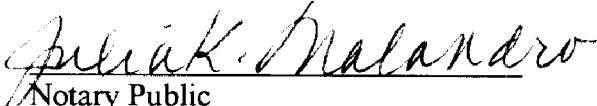
IN WITNESS WHEREOF, I have hereunto set hand and seal this 31<sup>st</sup> day of May, 2000.

  
Signature of John H. Douglas

STATE OF Florida  
COUNTY OF Pinellas

The foregoing instrument was subscribed before me this 31<sup>st</sup> day of May, 2000, by John H. Douglas, who is personally known to me or has produced Fl. dr. license as identification.



  
Notary Public

My Commission Expires:

Julia K. Malandro  
(Print Name of Notary Public)

J:\Lhc\3261.002.assign.doc

(Assignment of Invention--page 2 of 2)

For: U.S. Rights and Foreign Rights  
For: U.S. Patent  
By: Inventor

**ASSIGNMENT OF INVENTION**

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14001 63rd Way  
Clearwater, FL 36420  
Nationality: U.S.

hereby sells, assigns and transfers to

**ASSIGNEE:** Paragon Water Systems, Inc.  
14001 63rd Way N.  
Clearwater, FL 33760  
Nationality: U.S.

and the successors, assigns and legal representatives of the ASSIGNEES the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

**SHOWERHEAD SYSTEM WITH TURBULENCE  
INDUCING AND WATER PURIFICATION MEANS**

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(Assignment of Invention--page 1 of 2)

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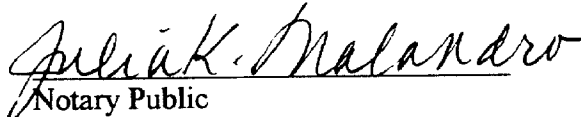
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Notary Public

My Commission Expires:

Julia K. Malandro  
(Print Name of Notary Public)

J:\Lhc\3261.002.assign.doc

(Assignment of Invention--page 2 of 2)