

08-02-2000



Substitute Form PTO-1595  
 Attorney Docket No.: 08215-395001  
 Client's Ref. No.: HLD-025934-US

101421611  
**RECORDATION FORM COVER SHEET  
 PATENTS ONLY**

7-27-00

Assistant Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): John S. Schubert, James B. Lee, Alice M. Jandristis, Stephen H. Yuen and David E. Doubek Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): COOPER TECHNOLOGIES COMPANY 600 TRAVIS HOUSTON, TEXAS 77002 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 04/20/2000; 04/24/2000; 04/26/2000	
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: 04/20/2000; 04/24/2000/ 04/26/2000 A. Patent Application No(s).: 09/559,534 B. Patent No(s).: Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: JOHN F. HAYDEN Fish & Richardson P.C. 601 Thirteenth Street, NW Washington, DC 20005	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  William D. Hare Reg. No. 44,739 Name of Person Signing	
Signature: <u>William D. Hare</u> Date: <u>July 27, 2000</u>	
Total number of pages including coversheet, attachments and document: 8	

40026994.doc

**PATENT**  
**REEL: 010955 FRAME: 0076**

05-17-2000



Substitute Form PTO-1595  
 Attorney Docket No.: 08215-395001  
 Client's Ref. No.: HLD-025934-US

4/28/00

101359355  
 RECORDATION FORM COVER SHEET  
 PATENTS ONLY

jc571 U.S. PTO  
 09/559534  
 04/28/00

Assistant Commissioner for Patents: Please record the attached original document(s) or copy(ies).

## 1. Name of conveying party(ies):

John S. Schubert, James B. Lee, Alice M.  
 Jandristis, Stephen H. Yuen and David E.  
 Doubek

Additional name(s) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

COOPER TECHNOLOGIES COMPANY

Additional names/addresses attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: 04/20/2000; 04/24/2000;  
 04/26/2000

## 4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 04/20/2000; 04/24/2000/  
 04/26/2000

A. Patent Application No(s):

B. Patent No(s):

09/559534

Additional numbers attached? ☐ Yes ☒ No

## 5. Name/address of party to whom correspondence concerning document should be mailed:

JOHN F. HAYDEN  
 Fish & Richardson P.C.  
 601 Thirteenth Street, NW  
 Washington, DC 20005

## 6. Total number of applications/patents involved: 1

## 7. Total fee (37 CFR §3.41): \$40

- ☒ Enclosed  
☐ Authorized to charge Deposit Account.

## 8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our  
 Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

05/16/2000 JSW000ZZ 00000121 09559534

01 FC:581

40.00 DP

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

William D. Hare  
 Reg. No. 44,739  
 Name of Person Signing

Signature

William D. Hare

Date

April 28, 2000

Total number of pages including coversheet, attachments and document: 7

40026994.doc

ASSIGNMENT

For valuable consideration, we, JOHN S. SCHUBERT of Arlington Heights, Illinois,  
JAMES B. LEE of Schaumburg, Illinois, ALICE M. JANDRISITS of Des Plaines, Illinois,  
STEPHEN H. YUEN of Chicago, Illinois, DAVID E. DOUBEK of LaGrange, Illinois, hereby  
assign to COOPER TECHNOLOGIES COMPANY, a Delaware corporation having a place of  
business at:

600 Travis

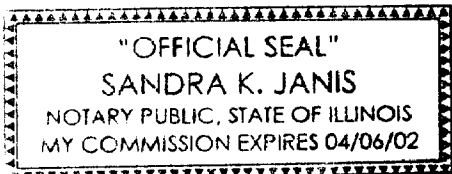
Houston, Texas 77002

and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right,  
title and interest throughout the world in the inventions and improvements which are subject of  
an application for United States Patent signed by us this day, entitled RECESSED LIGHTING  
FIXTURE, filed \_\_\_\_\_, and assigned U.S. Serial Number \_\_\_\_\_, and we  
authorize and request the attorneys appointed in said application to hereafter complete this  
assignment by inserting above the filing date and serial number of said application when known;  
this assignment including said application, any and all United States and foreign patents, utility  
models, and design registrations granted for any of said inventions or improvements, and the  
right to claim priority based on the filing date of said application under the International  
Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the  
European Patent Convention, and all other treaties of like purposes; and we authorize the  
Assignee to apply in all countries in our name or in its own name for patents, utility models,  
design registrations and like rights of exclusion and for inventors' certificates for said inventions  
and improvements; and we agree for ourselves and our respective heirs, legal representatives and  
assigns, without further compensation to perform such lawful acts and to sign such further  
applications, assignments, Preliminary Statements and other lawful documents as the Assignee  
may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at COOPER LIGHTING,  
this 24 day of APRIL, 2000  
JOHN S SCHUBERT John S Schubert L.S.  
First Middle Initial Last JOHN S. SCHUBERT

State of IL :  
: ss.  
County of COOK :

Before me this 24 day of APRIL, 2000, personally  
appeared JOHN S. SCHUBERT known to me to be the person whose name is subscribed to the  
foregoing Assignment and acknowledged that he executed the same as his free act and deed for  
the purposes therein contained.



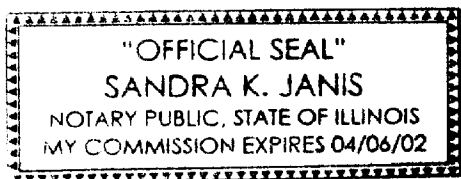
[Notary's Seal Here]

Sandra K Janis 4-24-00  
Notary Public  
My Commission Expires: 4-6-02

IN WITNESS WHEREOF, I hereto set my hand and seal at Cooper Lightin.  
this 24th day April of, 20 00  
James B Lee L.S.  
First Middle Initial Last JAMES B. LEE

State of \_\_\_\_\_ :  
: SS.  
County of \_\_\_\_\_ :

Before me this 24 day of APRIL, 20 00, personally  
appeared JAMES B. LEE known to me to be the person whose name is subscribed to the  
foregoing Assignment and acknowledged that he executed the same as his free act and deed for  
the purposes therein contained.



Sandra K Janis 4-24-00  
Notary Public

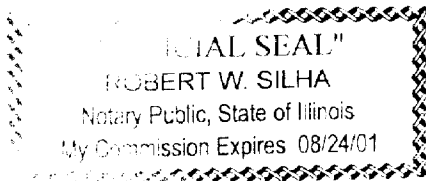
My Commission Expires: 4-6-02

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this 20th day of April of, 2000 Stephen H. Yuen L.S.  
First Middle Initial Last STEPHEN H. YUEN

State of \_\_\_\_\_ :  
: ss.  
County of \_\_\_\_\_ :

Before me this 20 day of April, 2000, personally  
appeared STEPHEN H. YUEN known to me to be the person whose name is subscribed to the  
foregoing Assignment and acknowledged that he executed the same as his free act and deed for  
the purposes therein contained.



Robert W. Silha

Notary Public

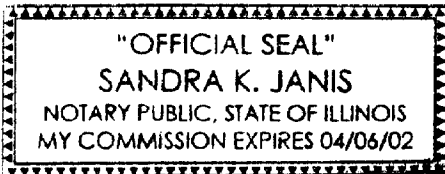
My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Carpenter Court,  
this 24<sup>th</sup> day of April, 2000  
David E. Doubek L.S.  
First Middle Initial Last DAVID E. DOUBEK

State of \_\_\_\_\_ :  
: ss.  
County of \_\_\_\_\_ :

Before me this 24 day of April, 2000, personally  
appeared DAVID E. DOUBEK known to me to be the person whose name is subscribed to the  
foregoing Assignment and acknowledged that he executed the same as his free act and deed for  
the purposes therein contained.



Sandra K. Janis 4-24-00  
Notary Public  
My Commission Expires: 4-6-02

[Notary's Seal Here]

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IN WITNESS WHEREOF, I hereto set my hand and seal at ROLLING MEADOWS IL,  
this 26 day APRIL of, 2000  
Alice M. Jandrisits Alice M. Jandrisits L.S.  
First Middle Initial Last ALICE M. JANDRISITS

State of ILLINOIS :  
: SS.  
County of COOK :

Before me this 26 day of APRIL, 2000, personally  
appeared ALICE M. JANDRISITS known to me to be the person whose name is subscribed to  
the foregoing Assignment and acknowledged that he executed the same as his free act and deed  
for the purposes therein contained.



[Notary's Seal Here]

Marlene Placko  
Notary Public  
My Commission Expires: 8/2/03



08215/395001 JFH WDH  
**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 19, 2000

PTAS

FISH & RICHARDSON P.C.  
JOHN F. HAYDEN  
601 THIRTEENTH STREET, NW  
WASHINGTON, DC 20005



\*101359355A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF NON-RECORDATION OF DOCUMENT**

DOCUMENT ID NO.: 101359355

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE NAME AND ADDRESS OF THE RECEIVING PARTY(S) MUST BE INDICATED ON THE COVER SHEET.

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Docketed By Billing Secretary	
Due Date:	8-18-00
Deadline:	8-18-00
Initials:	[Signature]

Docketed By Practice Systems	
Action Code:	RESUBMIT Assignment
Base Date:	7-19-00
Due Date:	8-18-00
Deadline:	
Initials:	
Record:	

RECORDED: 04/29/2000

**PATENT**  
**REEL: 010955 FRAME: 0084**