

08-02-2000



Docket No.: (O) 6819.9

FORM PTO-1595 (Modified)
(Rev. 6-93)
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SHEET

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Erwin Gaber 02/21/00
Christian Wagner 02/22/00
Hubert Holderer 02/24/00
Michael Gerhard 02/18/00

2. Name and address of receiving party(ies):

Name: Carl-Zeiss-Stiftung trading as Carl Zeiss

Address: 89518 Heidenheim (Brenz)

Additional names(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

City: State/Prov.: N/A

Country: Germany ZIP:

Execution Date: 02/18/00, 02/21/00, 02/22/00, 02/24/00

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No. Filing date

B. Patent No.(s)

09/486,017

05/24/00

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. Robert Kestenbaum

Registration No. 20,430

Address: 11011 Bermuda Dunes NE

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00 €

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

11-0665

City: Albuquerque State/Prov.: NM

Country: USA ZIP: 87111

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. Robert Kestenbaum

June 19, 2000

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

PATENT

REEL: 010956 FRAME: 0223

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Erich Merz 02/21/00

Jochen Becker 02/21/00

Arie Cornelis Scheiberlich 03/21/00

Additional names(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 02/21/00, 03/21/00

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Name: Carl-Zeiss-Stiftung trading as Carl Zeiss

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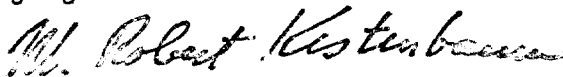
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Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents

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PCT/EP99/04246

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, the inventors identified below: (hereinafter referred to as ASSIGNORS), have invented a certain invention entitled: Optical System, in Particular Projection Exposure System for Microlithography, for which application for Letters Patent of the United States was filed June 18, 1999, and received PCT International Serial number PCT/EP99/04246, and

WHEREAS, Carl-Zeiss-Stiftung, a foundation organized and existing under the laws of the Federal Republic of Germany and trading as Carl Zeiss, having its principal office at 89518 Heidenheim (Brenz), Federal Republic of Germany, (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire interest in the United States in, to and under said invention, said application and the United States Letters Patent to be obtained therefor,

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign, and transfer and confirm the sale, assignment and transfer to ASSIGNEE of the full and exclusive right, title and interest in the United States to said invention, said application, and all Letters Patent of the United States to be obtained on said application, and any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, said application, said Letters Patent and legal equivalents thereof, as may be known and accessible to


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ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said invention, said application, said Letters Patent and said equivalents thereof, which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, we have hereunto set hand and seal on the date set forth below.

 02/21/2000
1st Erwin GABER Date

Osterholzstrasse 85, D-89522 Heidenheim, Germany
1st Inventor's Residence

 02/22/2000
2nd Christian WAGNER Date

Mährenstrasse 9, D-73431 Aalen, Germany
2nd Inventor's Residence

 02/24/2000
3rd Hubert HOLDERER Date

Gräfinstrasse 6, D-89551 Königsbrunn, Germany
3rd Inventor's Residence

 02/18/2000
4th Michael GERHARD Date

Bühlstrasse 4, D-73432 Aalen, Germany
4th Inventor's Residence

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Erich Merz 21st Feb. 2000
5th Erich MERZ Date

Baindtstrasse 1, D-73457 Essingen, Germany
5th Inventor's Residence

Jochen Becker 02/21/2000
6th Jochen BECKER Date

Junoweg 10, D-73447 Oberkochen, Germany
6th Inventor's Residence

A.C. Scheiberlich 21 March 2000
7th Arie Cornelis SCHEIBERLICH Date

Provincialeweg 38, NL-5503 HG Veldhoven, Netherlands
7th Inventor's Residence