

08-03-2000

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RE

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DOCKET #35-95

101421777

To the Honorable Commissioner of Patents

original documents or copy thereof

## 1. Name of conveying party(ies):

**Westaim Advanced Display Technologies Inc.**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: **Westaim Advanced Display  
Technologies Incorporated**Address: **10102-114 Street  
Fort Saskatchewan, Alberta  
CANADA T8L 3W4**Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of name
- ☐ Other

Execution Date: **6/14/2000**

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

5,432,015; 5,756,147; 5,634,835;  
5,702,565; 5,679,472Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom  
correspondence concerning document should  
be mailed:Name: Greenlee, Winner & Sullivan, P.C.

Internal Address: \_\_\_\_\_

Street Address: 5370 Manhattan Circle, Ste. 201City: Boulder State: CO ZIP: 803036. Total number of applications and patents  
involved: 57. Total fee (37 CFR 3.41): **\$200**

- ☒ Enclosed
- ☐ Authorized to be charged to deposit account

8. Deposit Account Number 07-1969

DO NOT USE THIS SPACE

## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Donna M. Ferber  
Name of Person Signing  
SignatureJune 30, 2000  
DateTotal number of pages including cover sheet, attachments, and document: 7

08/02/2000 DNGUYEN 00000263 5432015

(1 FD-360)

200.00 OP

PATENT  
REEL: 010958 FRAME: 0032

## ASSIGNMENT

WHEREAS, WESTAIM ADVANCED DISPLAY TECHNOLOGIES INC., having a principal office or place of business at 10102 - 114 Street, Fort Saskatchewan, Alberta, Canada, T8L 3W4, (hereinafter referred to as the "ASSIGNOR" ) is the owner of the entire right, title and interest in the patents and patent applications listed in Schedule "A", attached hereto; and

WHEREAS, WESTAIM ADVANCED DISPLAY TECHNOLOGIES INCORPORATED, whose full post office address is 10102 - 114 Street, Fort Saskatchewan, Alberta, Canada, T8L 3W4, (hereinafter referred to as the "ASSIGNEE"), is desirous of securing the entire right, title, and interest in and to said patent applications, the patents to be issued upon said applications and the inventions and discoveries disclosed and claimed therein;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR has sold, assigned and conveyed, and hereby sells, assigns and conveys to ASSIGNEE, its successors and assigns, its entire right, title and interest in and to the inventions and discoveries disclosed in the patents and patent applications listed in Schedule "A", including all other patent applications and any corresponding patent rights in any and all foreign countries which have been or shall be filed on any of the inventions and discoveries disclosed and claimed in any of said patents and patent applications, and all divisions, reissues, continuations, renewals and prolongations thereof, and further including all rights of priority under the International Convention in foreign countries and the right to file and prosecute such applications, and in and to any and all patents which have been or may be granted by any country based either in whole or in part upon any or all of said inventions and discoveries of the said patents and patent applications;

ASSIGNOR has sold, assigned and conveyed, and further sells, assigns and conveys to ASSIGNEE all claims by reason of past infringement of the said patents and patent applications, including the right to sue for and collect for said past infringements;

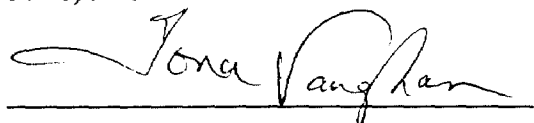
ASSIGNOR has authorized and requested, and hereby authorizes and requests the Commissioner of Patents in Canada and any official of any foreign country whose duty it is to issue patents on said applications, to issue all patents for said inventions to the ASSIGNEE, its successors and assigns, in accordance with the terms of this Assignment;

ASSIGNOR has covenanted, and hereby covenants that it has the right to convey the entire right, title and interest to ASSIGNEE, herein assigned, and that it has not executed, and will

not execute, any agreement in conflict therewith;

ASSIGNOR has agreed, and hereby agrees that it will, without further consideration, communicate with and provide to ASSIGNEE, its successors and assigns, all facts known and documents available to ASSIGNOR relating to said inventions, discoveries, patents and patent applications, including the histories thereof; testify in any legal proceedings; execute and secure all papers that may be necessary to file, prosecute and maintain said patents and patent applications in any country and to assign the same; make all rightful oaths; and generally do everything possible to aid ASSIGNEE, its successors, assigns and nominees, to obtain, uphold and enforce proper patent protection for said inventions and discoveries in all countries.

EXECUTED at Fort Saskatchewan, Alberta, Canada, effective as of the 14<sup>th</sup> day of June, 2000.

  
\_\_\_\_\_

Witness

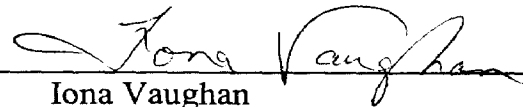
WESTAIM ADVANCED DISPLAY  
TECHNOLOGIES INC.

By:   
\_\_\_\_\_

Douglas H. Murray  
Assistant Corporate Secretary

**Certificate of Witness**

I acknowledge that I was personally present and did see Douglas H. Murray, Assistant Corporate Secretary of Westaim Advanced Display Technologies Inc., who is personally know to me, duly execute the above assignment on the date therein set forth.

Signature of Witness   
\_\_\_\_\_

Name of Witness Iona Vaughan

Address of Witness 9634 - 89 Street, Fort Saskatchewan, Alberta, Canada

This assignment is agreed to and accepted by WESTAIM ADVANCED DISPLAY TECHNOLOGIES INCORPORATED.

EXECUTED at Fort Saskatchewan, Alberta, Canada, effective as of the 14<sup>th</sup> day of June, 2000.

Iona Vaughan

Witness

WESTAIM ADVANCED DISPLAY  
TECHNOLOGIES INCORPORATED

By: [Signature]

Douglas H. Murray  
Assistant Corporate Secretary

Certificate of Witness

I acknowledge that I was personally present and did see Douglas H. Murray, Assistant Corporate Secretary of WESTAIM ADVANCED DISPLAY TECHNOLOGIES INCORPORATED, who is personally know to me, duly execute the above assignment on the date therein set forth.

Signature of Witness Iona Vaughan

Name of Witness Iona Vaughan

Address of Witness 9634 - 89 Street, Fort Saskatchewan, Alberta, Canada

**SCHEDULE "A"**

**Title: "ELECTROLUMINESCENT LAMINATE WITH THICK FILM DIELECTRIC"**

| <b><u>Country</u></b> | <b><u>Patent/Appln No.</u></b> | <b><u>Patent/Appln. Date</u></b> | <b><u>Status</u></b> |
|-----------------------|--------------------------------|----------------------------------|----------------------|
| United States         | 07/880,436                     | May 8, 1992                      | Abandoned            |
| United States         | 07/996,547                     | December 24, 1992                | Abandoned            |
| United States         | 5,432,015                      | July 11, 1995                    | Granted              |
| Canada                | 2,118,111                      | June 15, 1999                    | Issued               |
| Canada                | 2,214,066                      | May 6, 1993                      | Pending              |
| Canada                | 2,214,044                      | May 6, 1993                      | Pending              |
| Taiwan                | NI 091149                      | March 27, 1998                   | Granted              |
| Mexico                | 182558                         | September 4, 1996                | Granted              |
| PCT                   | PCT/CA93/00195                 | May 6, 1993                      | Completed            |
| Japan                 | 327 981/93                     | December 24, 1993                | Pending              |
| South Korea           | 1994-703981                    | May 6, 1993                      | Pending              |
| Finland               | FI 945257                      | May 6, 1993                      | Pending              |
| Europe                | 0 639 319                      | September 3, 1997                | Granted              |
| United Kingdom        | 0 639 319                      | September 3, 1997                | Granted              |
| Belgium               | 0 639 319                      | September 3, 1997                | Granted              |
| Germany               | 69313632.4                     | September 3, 1997                | Granted              |
| France                | 0 639 319                      | September 3, 1997                | Granted              |
| Italy                 | 0 639 319                      | September 3, 1997                | Granted              |
| Netherlands           | 0 639 319                      | September 3, 1997                | Granted              |
| Spain                 | 0 639 319                      | September 3, 1997                | Granted              |
| Europe                | 96203180.3                     | May 6, 1993                      | Pending              |
| Hong Kong             | 98101573.6                     | September 18, 1998               | Granted              |
| Hong Kong             | 98114180.4                     | December 21, 1998                | Pending              |

**Title: "METHOD OF FORMING A DIELECTRIC LAYER IN AN ELECTROLUMINESCENT LAMINATE"**

| <b><u>Country</u></b> | <b><u>Patent/Appln No.</u></b> | <b><u>Patent/Appln. Date</u></b> | <b><u>Status</u></b> |
|-----------------------|--------------------------------|----------------------------------|----------------------|
| United States         | 5,756,147                      | May 26, 1998                     | Granted              |

**Title: "ELECTROLUMINESCENT DISPLAY PANEL"**

| <u>Country</u> | <u>Patent/Appln No.</u> | <u>Patent/Appln. Date</u> | <u>Status</u> |
|----------------|-------------------------|---------------------------|---------------|
| United States  | 5,634,835               | June 3, 1997              | Granted       |

**Title: "PROCESS FOR LASER SCRIBING A PATTERN IN A PLANAR LAMINATE"**

| <u>Country</u> | <u>Patent/Appln No.</u> | <u>Patent/Appln. Date</u> | <u>Status</u> |
|----------------|-------------------------|---------------------------|---------------|
| United States  | 5,702,565               | December 30, 1997         | Granted       |

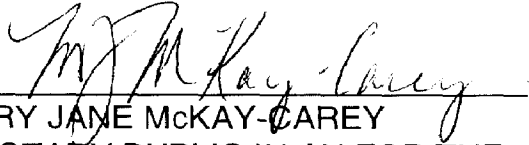
**Title: "ELECTROLUMINESCENT LAMINATE AND A PROCESS FOR FORMING ADDRESS LINES THEREIN"**

| <u>Country</u> | <u>Patent/Appln No.</u> | <u>Patent/Appln. Date</u> | <u>Status</u> |
|----------------|-------------------------|---------------------------|---------------|
| United States  | 5,679,472               | October 21, 1997          | Granted       |

## NOTARIZATION OF COPY

I, **MARY JANE McKAY-CAREY**, a Notary Public in and for the Province of Alberta, Canada, hereby certifies that the attached copy is a true and exact replica of a Deed of Assignment in favour of WESTAIM ADVANCED DISPLAY TECHNOLOGIES INCORPORATED, the said copy having been compared by me with the original of the said document.

Dated at the City of Edmonton, in the Province of Alberta, this 21<sup>st</sup> day of June, 2000.

  
MARY JANE McKAY-CAREY  
A NOTARY PUBLIC IN AN FOR THE  
PROVINCE OF ALBERTA  
BARRISTER AND SOLICITOR