08-04-2000

OMB No. 0651-0011 (exp. 4/94)



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Ple	ease record the attached original documents or copy thereof.					
. Name of conveying party(ies): 2. Name and address of receiving party(ies):						
Stormedia Incorporated	Name: United Module Corporation 993 Highlands Circle					
Additional name(s) of conveying party(ies) attached? Yes No	Los Altos, CA 94024					
3. Nature of conveyance:						
Assignment □ Merger □ Security Agreement □ Change of Name □ Other:						
Execution Date: November 15, 1999	Additional name(s) & address(es) attached: ☐ Yes ☑ No					
4. Application number(s) or patent number(s):						
If this document is being filed together with a new application,	the execution date of the application is:					
A. Patent Application No.(s)	B. Patent No.(s)					
09/048,869, 08/692,367, 08/925,610, 08/947,647, 08/780,381, 08/761,338, 09/072,415, 09/165,513, 08/979,427, 09/037,283, 09/079,941, 09/104,777 and 60/088,322	4,861,662, 4,880,514, 5,599,632, 5,800,863, 5,798,164, 5,705,044, 5,723,033, 5,858,477, 5,470,447, 5,421,975, 5,482,785, 5,660,695, 5,462,796, 5,620,574, 5,674,582, 5,718,942, 5,721,033, 5,726,455, 5,774,303, 5,958,543, 5,851,601, 5,013,616, 5,082,750, 5,314,745, 5,480,733, 5,700,593, and 5,789,090					
Additional num	nbers attached? Yes □ No 🕱					
Name and address of party to whom correspondence concerning document should be mailed:						
Name: J. Nicholas Gross, Esq.	6. Total number of applications and patents involved: 40					
Internal Address:	7. Total fee (37 CFR 3.41)\$40.00					
	□ Enclosed					
	Authorized to be charged to deposit account					
Street Address:	8. Deposit account number:					
1385 Mission Street, Suite 240 San Francisco, CA 94103	23-3264					
08/2000 DNGUYEN 00000166 233264 09048869	(Attach duplicate copy of this page if paying by deposit account)					
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9. Statement and signature. To the best of my knowledge and belief, the foregoing information of the original document.						
Name of Person Signing: <u>J. Nicholas Gross, R.N. 34,175</u> Si	Name of Person Signing: J. Nicholas Gross, R.N. 34,175 Signature: J. Nicholas Gross, R.N. 34,175					
Total number of pages including cover sheet: 5	Attorney Docket No: <u>UnitedMod-001</u>					
Commissioner of Par	ded with required cover sheet information to: tents & Trademarks, Box Assignments Ishington, D.C. 20231					
J. Nicholas Gross, Reg. No. 34,175, 1385 Mission St	J. Nicholas Gross, Reg. No. 34,175, 1385 Mission Street, Suite 240, San Francisco, CA 94103; phn: (415) 551-8298					

ASSIGNMENT OF PATENT RIGHTS FROM STORMEDIA TO UNITED MODULE CORPORATION FIRST SET OF PATENTS AND APPLICATIONS

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Stormedia Incorporated, a Delaware corporation ("Assignor") hereby sells, assigns, transfers and conveys to United Module Corporation, a California corporation ("Assignee"), its designees, successors, assigns and legal representatives, the entire right, title and interest in and to the following patents, applications and inventions identified in Exhibit A hereto, and all divisions, continuations, and renewals therefor, (including but not limited to, all license royalties and proceeds of infringement suits) and all United States and foreign letters patents which may be granted on the applications or any corresponding applications in a country foreign to the United Staes, and all reissues, extensions thereof, and to any and all causes of action for past, present and future infringement of any of the Letters of Patents, or relating to any inventions or discoveries described therein, including the right to collect damages for all such infringments and the right to sue on all such causes of action for their own use and benefit and the use and benefit of their successors, assigns and legal representatives, each and every of the foregoing rights, titles and interests herein assigned to be held and enjoyed by Assignee, its successors, assigns and legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor had this Assignment not been made.

IN TESTIMONY WHEREOF, Assignors has caused this Assignment to be duly executed in its name and behalf by affixing its hand and seal thereto by its designated officer, director, or agent, whose name and title appear below.

Executed at Santa Clara, California, and effective November 15, 1999.

STORMEDIA

Signature

Name: Pablo Luther

Title: CFO

PATENT REEL: 010958 FRAME: 0412

Stormedia Patents - Exhibit A - First Set

· · · · · · · · · · · · · · · · · · ·					Application	
Ref.No.	Titla	Pat. No.	Country	Date	Serial No	Date
		1 at. 140.	JP	Date	63-502152	2/2/88
	Protective Layer for Magnetic Disk Protective Layer for Magnetic Disk		PCT		88-00419	2/2/88
		4861662	US	8/29/89	00-00417	2/2/00
	Protective Layer for Magnetic Disk	4001002	JP	0/29/09	101219/86	5/2/86
103	Method of Making a Thin Film Magnetic Disk	4000514	US	11/14/89	101219/80	3/2/00
	Method of Making a Thin Film Magnetic Disk	4880514	PCT	11/14/09	87/03130	12/17/97
	Magnetic Disk with High Incidence Chromium Underlayer	l - C			94/00328	1/6/94
	Magnetic Recording Media on Non-Metallic Substrates & method		PCT	0/4/07	94/00328	1/0/94
110	Carbon Seedlaye on Non-Metallic Substrates for Magnetic Recor		US	2/4/97		
111	Carbon Seedlayer on Non-Metallic Substrates for Magnetic Reco	.,,	US	9/1/98		
.,	Zone Textured Magnetic Recording Media & Methods for their	5798164	US	8/25/98		2/2//00
114	Zone Textured Magnetic Recording Media & Methods for their I		US		09/048869	3/26/98
	Modular Sputtering Machine having Batch Processing & Serial T		TW	4/6/00	85104424	4/13/96
~~~~	Modular Sputtering Machine having Batch Processing & Serial T		US	1/6/98		01/10/
119	Modular Deposition System having Batch Processing & Serial Th		US		08/692367	8/6/96
	Modular Sputtering Machines having Batch Processing & Serial		US		08/925610	9/8/97
123	Discrete Track Media Produced By Underlayer Laser Ablation	91797	TW	4/17/98		
	Discrete Track Media Produced by Underlayer Laser Ablation	5723033	US	3/3/98		
	Discrete Track Media Produced by Underlayer Laser Ablation		US		08/947647	10/9/97
127	Magnetic Recording Media having Crtix Underlayers to Reduce (	Circu	РСТ		97/02532	2/18/97
	Magnetic Recording Media having Crtix Underlayers to Reduce (		TW	5/28/98		
129	Magnetic Recording Media having Crtix Underlayers to Reduce (	Circu	US		08/780381	1/8/97
132	Recording Media having Protective Overcoats of highly Tetrahed	lral	PCT		97/09375	5/29/97
133	Recording Media having Protective Overcoats of highly Tetrahed		US	1/12/99	08/761336	
134	Highly Tetrahedral Amorphous Carbon Films & Methods for the	ir Prod	PCT		97/09393	
135	Highly Tetrahedral Amorphous Carbon Films & Methods for the	eir Pro	US		08/761338	
136	Magnetic Recording Media having CrMo Underlayers		US		09/072415	
	Method of Producing Recording Media having Protective Overco	oats	US		09/165513	12/11/97
137	Carbon Coated Head w/ Insulation	5470447	US	11/28/95	315,092	
138	Seed Layer Roughness (Peritectic Alloy)	5421975	US	6/6/95	959,986	
139	Seed Layer Roughness (Peritectic Alloy)	5482785	US	1/9/96	346,474	
140	Carbon Surface Probes	5660695	US	8/27/97	375,961	
	Flash Chromium Interlayers	5462796	US	10/31/95	887,187	THE RESERVE OF THE PARTY OF THE
142	SIMT (IN/BI Disc)	5620574	US	4/15/97	296,958	
143	Zone Lubrication	5674582	US	10/7/97	363,725	
144	Composite Lubricant Dispersed In Vertrel XF	5718942	US	2/17/98	673,338	
145	SIMT (IN/BI Disc)	5721033	US	2/24/98	684,854	
146	Laser Optical Evaluation of Disk	5726455	US	3/10/98	640,567	
147	SIMT Textured Head	5774303	US	6/30/98	409,698	
151	Composite Lubricant Dispersed in Vertrel XF		US		08/979427	
151	SIMT with T1/H	5958543	US	9/28/99	08/673342	+
	Control of X-1P Thickness	5851601	US	12/22/98	+	
155 157	Target Profiling		US	,	09/037283	
	Disk Texturing with Laser Modulation		US		09/079941	
158			US		09/104,777	<del></del>
159	Disk Texturing Evaluation (Resonance, etc.)		US		60/088322	
161	Dual Domain Phosphate Functionalized Lubricant Additive		JP		054155JP	
163		5013616	US	5/7/91	581266	+
166	Co-N-Cr-P Magnetic Layer	5082750	US	6/21/92	<del></del>	
167	Co-Cr-Nb or Co-Cr-Ni-Nb Magnetic Layer	5004130	ر ب	1 0/21/72	15 1500	L

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PATENT REEL: 010958 FRAME: 0413

## Stormedia Patents - Exhibit A - First Set

160	Glass/Cr/NiP-Base	521 4745	LIC	0/10/02	021266	
168		5314745	US	8/18/92	931366	
169	Ni/P/Mo or W or Ta, etc. Magnetic Layer	5480733	US	1/2/96	208958	( /02 /02
170	Oxygentaed, Amorphous Cr or V-Seed Layer	5700593	US	12/23/97	206849	6/23/93
171	Cr-Ni Seed Layer	5789090	US	8/4/98	692491	8/6/96
181	Preparation of Film on Magnetic Disk Substrate By Sputtering	2071152	JP	7/10/96	272653	
182	Thin Film Media Involving Cobalt, Nickel, Chromium, and Phos		JP	4/25/96	170547	
183	Structure of Solid Lubricating Film of Magnetic Recording Medi	2626737	JP	4/18/97	057056	***************************************
184	Structure of Solid Lubricating Film of Magnetic Recording Medi		JP	2/20/98	057047	
185	Thin Metallic Film Type Magnetic Recording Medium	2527616	<u>JP</u>	6/14/96	147637	
186 187	Thin Metallic Film Type Magnetic Recording Medium Thin Metallic Film Type Magnetic Recording Medium	2527617 2527618	JP JP	6/14/96 6/14/96	147638 147639	
188	Thin Metallic Film Type Magnetic Recording Medium	2544205	JP	7/25/96	147640	The date of the William Control of the Control of t
189	Thin Metallic Film Type Magnetic Recording Medium	2552546	JP	8/22/96	147641	
190	Carbonaceous Solid Lubricating Film Structure on Surface of Ma	2544206	JP	7/25/96	208930	
191	Protective Film Structure for Magnetic Layer of Magnetic Record	2527623	JP	6/14/96	208935	
192	Magnetic Film Containing Nb, Pt, Co and Cr	2723153	JP	11/18/97	094672	
193	Heat Sink Layer for Disk Substrate	2724067	JP	11/18/97	006791	
194	Underlayer Comprising CrB Alloy	2721624	JP	10/28/97	253084	
195	Magnetic Film Containing Tantalum with Underlayer conprising	2834154	JP	10/2/98	266956	
196	Carbonaceous Protective Film for Metal Thin film Type Magnetic	: Re	JP		042266	2/22/90
197	Metallic Thin film Type Magnetic Recording Disk		JP		134595	5/24/90
198	Carbon Protective Film for Metallic Thin Film Type Magnetic Re	cor	JР		176797	7/3/90
199	Manufacture of Thin Metallic Film Type Magnetic Recording Dis		JΡ		192607	7/19/90
216	Metal Film Type Magnetic Recording Medium	2834380	JP		054155	3/15/93
217	Production of Magnetic Recording Medium	200 1000	JP		065502	3/24/93
218	Production of Magnetic Recording Medium		JP		082122	4/8/93
219	Production of Metallic Thin Film Type Magnetic Recording Med	ium	JP JP		101564	4/27/93
220	Substrate Comprising Cr/Cu Alloy Containing One of B, C, or N		JP	7/10/98	101565	
223	Method for Modifying Surface of Magnetic Recording Medium	2002010	JP	1,710,70	143942	6/15/93
224	Metallic Thin Film Type Magnetic Recording Medium		JP		149469	6/21/93
225	Head Floating amount Measuring Device for Hard Disk		JP		150754	6/22/93
226	Head Floating amount Measuring Device for Hard Disk		JP JP		155554	6/25/93
231	Magnetic Recording Medium and its Production		JP JP		049212	3/18/94
232	Metallic Thin Film Type Magnetic Recording Medium		JP JP		081827	4/20/94
233	Metal Thin Film Magnetic Recording Medium		JP		164122	7/15/94
234	Substrate for Magnetic Recording Medium and its Production		J <u>r</u> JP	-	208835	9/1/94
	Magnetic Recording Medium		<u>. Jr</u> JP		274034	
235			JP JP			11/18/94
236	Magnetic Recording Medium		<u>Ji</u> JP			12/15/94
237	Production of Magnetic Recording Medium		JP JP	<del> </del>	020452	2/8/95
238	Production of Magnetic Recording Medium		JP JP	-	020452	
239	Method and Apparatus for Inspecting Surface Defect			<del> </del>	020453	
240 241	Magnetic Recording Medium and its Production  Magnetic Recording Medium and its Production		JP JP	+	029634	2/1//95
241	Metallic Thin Film Type Magnetic Recording Medium		JP			11/20/95
244	Magnetic Recording Medium and a Method of Manufacturing the	e same	JP	+	009072	
244	Thin Metal Film Magnetic Recording Medium	U DELETE	JP		18701	2/5/96
245	Magnetic Recording medium and a Process for Manufacturing the	same	JP JP	<del>                                     </del>	33710	
	Thin Metal Film Magnetic Recording Medium	Janic	Jr JP		34461	
247	Magnetic Recording Medium		JP	+	38318	
248	Process for Manufacturing a Magnetic Recording Medium		JP		153606	
249	Thin Metal Film Magnetic Recording Medium		Jr JP			11/29/96
261	Thin ivicial citin iviagnenc recording ivietimi				547,110	,,

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PATENT REEL: 010958 FRAME: 0414

## Stormedia Patents - Exhibit A - First Set

262	Thin Metal Film Magnetic Recording Medium	JP	319462 11/29/96
263	Method of Locating and Analyzing Defects in a Magnetic Recording	JP	18702 1/31/97
264	Process for Determining the S/N Ratio of a Magnetic Recording Med	JP	125152 5/15/97
265	Method of Determining the Overwrite Property of a Magnetic Record	JP	125191 5/5/97
266	Process for Determining the Half Bandwidth of an Isolated Wavefor	JР	125199 5/15/97
267	Process for Determining the Intensity of a Magnetic Signal in a M	JР	125208 5/15/97
268	Process for Estimating the Off-Track Characteristics of a Magneti	JP	162339 6/19/97
269	Process for Manufacturing a Base and a Magnetic Recording Medium	JP	170081 6/26/97
270	Process for Determining the Frequence of Occurrence of Partial Er	JP	173324 6/30/97
271	Process for Determining the Noise of a Magnetic Recording Medium	JP	263628 9/29/97
272	Process for Determining the Noise of a Magnetic Recording Medium	JP	325962 11/27/97
273	Process for Determining the Noise of a Magnetic Recording Medium	JP	331374 12/2/97

**PATENT RECORDED: 07/03/2000 REEL: 010958 FRAME: 0415**